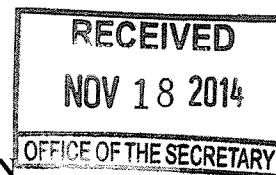


UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION



ADMINISTRATIVE PROCEEDING  
File No. 3-15790

In the Matter of

MICHAEL A. HOROWITZ, and  
MOSHE MARC COHEN,

Respondents.

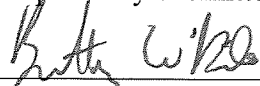
Division of Enforcement's Post-Hearing List of Exhibits in Evidence

<b>Exhibit Moved</b>	<b>Description</b>	<b>Accepted</b>
Div. Exhibit 347	Richard Jedwab Trust	Yes
Div. Exhibit 396	Email from Mike Horowitz to Howard Feder re blank and attachment. Email attaches spreadsheet showing annuity comparisons.	Yes
Div. Exhibit 397	Annuity Comparison Spreadsheet attached from FND0035828. Native printout.	Yes
Div. Exhibit 119	Email Horowitz to Feder dated January 13,2008 re: 102016 New Customer Acct Packet BKN 07.pdf.	Yes
Div. Exhibit 400	MetLife variable annuity application and attachments for Esther Zeidman Trust and Sherry Pratt.	Yes
Div. Exhibit 285	Sun Life Financial Masters Extra Annuity Application with Stanley Gilbert and Bina Levy Trust dated January 22, 2008.	Yes
Div. Exhibit 286	Hartford Life and Annuity Insurance Company Application with Stanley Gilbert and Bina Levy Trust dated January 22, 2008.	Yes
Div. Exhibit 401	Email from Marc Cohen to Howard Feder re blank. Sending Trust Summary Sheet for Friday February 1, 2008 spreadsheet.	Yes
Div. Exhibit 402	Email from Marc Cohen to Howard Feder re blank. Sending Trust Summary Sheet for Friday February 8, 2008 spreadsheet.	Yes
Div. Exhibit 301	Email Cohen to Feder dated February 13, 2008 re: Very Important.	Yes
Div. Exhibit 130	Email Nordlicht to Feder dated March 25, 2008 (blank re: line)	Yes
Div. Exhibit 609	Email from Jay Baker to Timothy Stone re Marc Moshe Cohen.	Yes
Div. Exhibit 292	Email Smallidge to Frieda dated January 18, 2008 re: Need Security Benefit Licensing to be Executed Immediately URGENT.	Yes
Div. Exhibit 332	Email from Steve Smallidge to mfrieda@uspny.com re Follow-up.	Yes
Div. Exhibit 333	Email from Marc Cohen to Steve Smallidge re interest in talking.	Yes
Div. Exhibit 335	Email from Steve Smallidge to Timothy Stone and Kenneth Cherrier re Marc Cohen call.	Yes
Div. Exhibit 336	Email from Steve Smallidge to Marc Cohen re request for information.	Yes
Div. Exhibit 621	Composite Exhibit of New Account Forms, Point of Sale Documents, and Applications submitted by Marc Cohen to Woodbury Financial Services.	Yes
Div. Exhibit 618	Woodbury Financial Representative Procedures Manual.	Yes
Div. Exhibit 612	Woodbury Financial Representative Acknowledgement.	Yes
Div. Exhibit 616	PowerPoint Presentation of Woodbury Financial Services Annual Compliance Meeting 2007.	Yes
Div. Exhibit 374	Letter from Woodbury Financial to FINRA re statement from the firm about Moshe Marc Cohen.	Yes
Div. Exhibit 303	Woodbury Financial Services, Inc. Agency Production Reports Compensation Report year to date 12/31/2007.	Yes

Div. Exhibit 304	Woodbury Financial Services, Inc. re: Compensation Statement for Marc Cohen for period February 1- 15, 2008	Yes
Div. Exhibit 305	Woodbury Financial Services, Inc. re: Compensation Statement for Marc Cohen for period February 16 - 29, 2008.	Yes
Div. Exhibit 636	Investigative Testimony Transcript of Moshe Marc Cohen.	Yes
Div. Exhibit 628	Composite Exhibit: applications, contracts, account statements, death benefit claims, surrender requests, and/or payment records for contracts issued by Hartford Life Insurance Company.	Yes
Div. Exhibit 629	Composite Exhibit: applications, contracts, account statements, death benefit claims, surrender requests, and/or payment records for contracts issued by MetLife Investors USA Insurance Company.	Yes
Div. Exhibit 630	Composite Exhibit: applications, contracts, account statements, death benefit claims, surrender requests, and/or payment records for contracts issued by Sunlife Assurance Company of Canada (U.S.).	Yes
Div. Exhibit 631	Composite Exhibit: applications, contracts, account statements, death benefit claims, surrender requests, and/or payment records for contracts issued by ING USA Annuity and Life Insurance Company.	Yes
Div. Exhibit 632	Composite Exhibit: applications, contracts, account statements, death benefit claims, surrender requests, and/or payment records for contracts issued by AIG SunAmerica Life Assurance Company.	Yes
Div. Exhibit 633	Composite Exhibit: applications, contracts, account statements, death benefit claims, surrender requests, and/or payment records for contracts issued by Security Benefit Life Insurance Company.	Yes
Div. Exhibit 634	Composite Exhibit: applications, contracts, account statements, death benefit claims, surrender requests, and/or payment records for contracts issued by Genworth Life and Annuity Insurance Company.	Yes

Dated: November 18, 2014

Respectfully submitted,



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Dean M. Conway

Britt Biles

Division of Enforcement

Securities and Exchange Commission

Mail Stop 5971

100 F Street, N.E.

Washington, D.C. 20549

Tel: 202-551-4412 (Conway)

Fax: 202-772-9246 (Conway)

conwayd@sec.gov

## CERTIFICATE OF SERVICE

I hereby certify that true copies of the foregoing document were served on the following on this 18th day of November, 2014 in the manner indicated below:


**By Hand:**

Office of the Secretary  
Chief Administrative Law Judge  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549-2557

The Honorable Brenda. P. Murray  
Chief Administrative Law Judge  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549-2557

**By First Class U.S. Mail and Email:**

Marc Cohen  
1496 Ocean Parkway  
Brooklyn, NY 11230



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Britt Biles