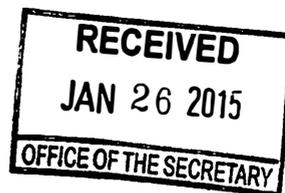


UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION



ADMINISTRATIVE PROCEEDING  
File No. 3-15746

In the Matter of

Roy Dixon, Jr.,

Respondent.

**DIVISION OF ENFORCEMENT'S  
MOTION FOR ENTRY OF JUDGMENT BY DEFAULT**

The Division of Enforcement ("Division") respectfully moves this Court to deem Respondent Roy Dixon, Jr. ("Dixon") in default pursuant to Rule 155 of the SEC's Rules of Practice, and to enter an order barring him from the securities industry pursuant to Section 15(b) of the Securities Exchange Act of 1934 ("Exchange Act") and Section 203(f) of the Investment Advisers Act of 1940 ("Advisers Act"). In support of this Motion, the Division states as follows:

1. The Securities and Exchange Commission instituted these proceedings with an Order Instituting Proceedings ("OIP") on February 11, 2014, pursuant to Section 15(b) of the Exchange Act and Section 203(f) of the Advisers Act.
2. This is a follow-on proceeding based on SEC v. Onyx Capital Advisors, LLC, No. 2:10-cv-11633 (E.D. Mich. Jan. 31, 2014), in which Dixon was enjoined against violations of the antifraud provisions of the federal securities laws.
3. Dixon was served with a copy of the OIP by certified U.S. Mail, through his defense attorney in a parallel criminal case, on December 4, 2014.

4. A telephonic prehearing conference was held on December 19, 2014, in which Mr. Dixon appeared and participated *pro se*.

5. Following the prehearing conference, a prehearing order was entered on December 19, 2014. The Order set December 29, 2014 as the due date for Dixon to file an Answer to the OIP. The Order further stated, "If [Dixon] fails to file an Answer within the time provided, he will be deemed in default, and the undersigned will enter an order barring him from the securities industry."

6. As of January 23, 2015, Dixon has not filed an Answer or any other response to the OIP.

7. Rule 155 provides that a "party to a proceeding may be deemed to be in default and the Commission or hearing officer may determine the proceeding against that party upon consideration of the record, including the order instituting proceedings, the allegations of which may be deemed true, if that party fails...to answer, to respond to a dispositive motion within the time provided, or otherwise to defend the proceeding."

8. The Division respectfully requests that the Court deem Dixon to be in default as a result of his failure to file an Answer and determine this proceeding against him.

9. Further, the Division respectfully requests that, pursuant to Section 15(b) of the Exchange Act and Section 203(f) of the Advisers Act, this Court enter an order barring Dixon from association with any broker, dealer, investment adviser, municipal securities dealer, municipal advisor, transfer agent, or nationally recognized statistical rating organization and from participating in any offering of a penny stock, including acting as a promoter, finder, consultant, agent or other person who engages in activities with a broker, dealer or issuer for

purposes of the issuance or trading in any penny stock, or inducing or attempting to induce the purchase or sale of any penny stock.

Dated: January 23, 2015.

Respectfully Submitted,



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