UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING File No. 3-15671

RECEIVED

MAR 1 S 2014

OFFICE OF THE SECRETARY

In the Matter of

PATRICK G. ROONEY

Respondent.

DIVISION OF ENFORCEMENT'S MOTION FOR SUMMARY DISPOSITION AGAINST RESPONDENT PATRICK G. ROONEY

The Division of Enforcement ("Division") hereby moves for summary disposition pursuant to Rules 154 and 250 of the Securities and Exchange Commission's Rules of Practice. The Division respectfully submits that summary disposition is appropriate and that the Court should resolve this proceeding in favor of the Division and impose a collateral bar in the public interest against Respondent Patrick G. Rooney.

In support of this Motion, the Division relies upon the accompanying memorandum of law and supporting exhibits. The Division respectfully requests that the Court grant this Motion.

Dated: March 12, 2014

Respectfully Submitted,

By:

Daniel J. Hayes Andrew Shoenthal

Securities and Exchange Commission 175 West Jackson Boulevard, Suite 900

Chicago, Illinois 60604 Telephone: 312.353.4947

Fax: 312.353.7398

Counsel for the Division of Enforcement