

## ADMINISTRATIVE PROCEEDING FILE NO. 3-15648 HARD COPY

## UNITED STATES OF AMERICA before the SECURITIES AND EXCHANGE COMMISSION

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In the Matter of

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**RONALD GENE ANGLIN,** 

**Respondent.** 

## DIVISION OF ENFORCEMENT'S BRIEF IN REPLY ON THE DIVISION'S MOTION FOR SUMMARY DISPOSITION

The Court should permanently bar respondent Ronald Gene Anglin ("Anglin") from association with any broker, dealer, investment adviser, municipal securities dealer, municipal advisor, transfer agent, or nationally recognized statistical rating organization, and from participating in an offering of penny stock. Anglin's Opposition to the Motion for Summary Disposition fails to raise any genuine issue with regard to any material fact. Although Anglin contends that he "does not pose any threat to the public" neither his illness nor his criminal sentence provides assurance against future violations. As set forth in the Division of Enforcement's ("Division") moving papers, the requested permanent bars are necessary and appropriate in the public interest. In his Opposition, Anglin also requests an allowance for legal representation. The Division emailed Anglin a courtesy copy of the OIP on December 18, 2013 – more than three months before Anglin filed his Opposition – and Anglin received two extensions of time in connection with these proceedings, including an extension of time to file his Opposition. Accordingly, Anglin has already had sufficient opportunity to obtain counsel in connection with this proceeding. *See In the Matter of Kent D. Nelson*, Initial Decision Rel. No. 371, 2009 WL 454556, at \*\*4-5 (February 24, 2009) (respondent's guilty plea was admission of wrongful conduct and his collateral attack on his guilty plea demonstrated failure to fully recognize or appreciate that admission; respondent also failed to make any assurances against future violations).

For the reasons set forth above and in its initial papers, the Division respectfully requests that the Administrative Law Judge grant the Division's Motion for Summary Disposition and issue an order, pursuant to Section 15(b)(6) of the Exchange Act and Section 203(f) of the Advisers Act, permanently barring Anglin from association with any broker, dealer, investment adviser, municipal securities dealer, municipal advisor, transfer agent, or nationally recognized statistical rating organization, and from participating in an offering of penny stock.

Respectfully submitted,

DIVISION OF ENFORCEMENT

Dated: March 31, 2014

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