

[REDACTED]
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[REDACTED]

3-15601

December 4, 2014



Ms. Jill M. Peterson
Asst. Secretary
SEC
100 F Street, NE
Washington, D.C. 20549

Dear Ms. Peterson,

Approximately nine months ago, I filled a series of documents with The Securities and Exchange Commission so that the Commission could review FINRA's actions against me, which I fully believe are unsupported by facts and law.

In the intervening nine months my life has virtually ended. The listings on Google, which are only two (one from FINRA and One from the SEC), were discovered by my wife and children who now believe that I am a criminal, awaiting sentencing and jail time. My kids rarely speak to me and my wife has filed for divorce. I have had my brokerage account essentially closed by TD Ameritrade and a bank and a few brokerage firms have actually refused to open an account for me.

I have recently lost my teacher's job, which was attributed to the administrative department at school (and not the academic department), as my academic performance and ratings are exemplary.

FINRA has won in a way and to an extent that even they probably never conceived. I am not trying to influence the Commission's decision, as that would be useless on my part, but I am asking that The Commission reach a conclusion so that I can begin my appeal to the US Court of Appeals before Peter Malkin's age interferes with him testifying, as in a court of law, he is my best witness, as you can tell from his recorded testimony.

Please let me know if The Commission can address this very soon. Again, due to the divorce, the best places to contact me are my cell phone [REDACTED] [REDACTED] and e-mail [REDACTED] [REDACTED]. Regular mail is completely useless, as a lot of my mail gets lost.

Sincerely,

A handwritten signature in blue ink that reads "Mitchell Fillet".

Mitchell Fillet

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