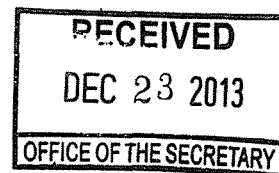


UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION



ADMINISTRATIVE PROCEEDING
File No. 3-15580

In the matter of:

ANTHONY CHIASSON,

Respondent.

DECLARATION OF MATTHEW J. WATKINS

I, Matthew J. Watkins, pursuant to 28 U.S.C. § 1746, declare as follows:

1. I am over 18 years old and a member of the bar of the State of New York.

2. I am employed as a Senior Counsel in the Division of Enforcement (“Division”) at the New York Regional Office of the Securities and Exchange Commission (“Commission”). I make this declaration to provide to the Court certain documents cited in the Division’s Reply Memorandum of Law in Further Support of the Division of Enforcement’s Motion for Summary Disposition against Respondent Anthony Chiasson.

3. Attached as exhibits to this Declaration are true and correct copies of the following documents:

Exhibit 1: the docket in *U.S. v. Newman (Chiasson)*, No. 13-1917 (2d Cir.), as of December 19, 2013.

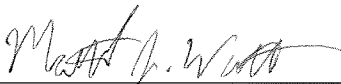
Exhibit 2: the docket in *U.S. v. Gupta*, No. 12-4448 (2d Cir.), as of December 19, 2013.

Exhibit 3: the docket in *U.S. v. Raj Rajaratnam*, No. 11-4416 (2d Cir.), as of
December 19, 2013.

Exhibit 4: the docket in *U.S. v. Goffer et al.*, No. No. 11-3591 (2d Cir.), as of
December 19, 2013.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on December 20, 2013
New York, New York



Matthew J. Watkins

1

**General Docket
Court of Appeals, 2nd Circuit**

Court of Appeals Docket #: 13-1917		Docketed: 05/16/2013		
United States of America v. Newman(Chiasson)				
Appeal From: SDNY (NEW YORK CITY)				
Fee Status: Paid				
Case Type Information:				
1) Criminal				
2) Direct Criminal				
3) none				
Originating Court Information:				
District: 0208-1 : 1:12-cr-121-2				
Trial Judge: Richard J. Sullivan, U.S. District Judge				
Date Filed: 02/07/2012				
Date Order/Judgment:	Date NOA Filed:	Date Rec'd COA:		
05/14/2013	05/15/2013	05/16/2013		
Prior Cases:				
None				
Current Cases:				
	Lead	Member	Start	End
Consolidated	13-1837	13-1917	05/16/2013	
Panel Assignment: Not available				

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Defendant - Appellant

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






















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FOR THE CAPTION AND A COMPLETE SET OF ATTORNEY LISTINGS PLEASE SEE THE LEAD DOCKET NUMBER 13-1837.


05/16/2013	 <u>1</u> 49 pg, 322.39 KB	NOTICE OF CRIMINAL APPEAL, with district court docket, on behalf of Appellant Anthony Chiasson in 13-1917, FILED. [939350] [13-1917, 13-1837]
05/16/2013	 <u>2</u> 7 pg, 2.4 MB	DISTRICT COURT JUDGMENT, dated 05/14/2013, RECEIVED.[939368] [13-1917]
05/16/2013	 <u>3</u>	NOTE: See lead case, 13-1837, containing complete set of docket entries.[939383] [13-1917]
05/16/2013	 <u>4</u> 1 pg, 123.9 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee USA United States of America in 13-1837, FILED. Service date 05/16/2013 by CM/ECF. [939482] [13-1917, 13-1837]
05/16/2013	 <u>5</u>	ATTORNEY, Antonia Marie Apps for United States of America, in case 13-1917 , [4], ADDED.[939490] [13-1917]
05/16/2013	 <u>6</u> 1 pg, 37.36 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Anthony Chiasson in 13-1917, 13-1837, FILED. Service date 05/16/2013 by CM/ECF. [939725] [13-1917, 13-1837]
05/16/2013	 <u>7</u>	ATTORNEY, Alexandra A.E. Shapiro for Anthony Chiasson, in case 13-1917 Alexandra A.E. Shapiro for Anthony Chiasson, in case 13-1837 , [6], ADDED.[939736] [13-1917, 13-1837]
05/16/2013	 <u>8</u> 106 pg, 7.01 MB	MOTION, for bail, on behalf of Appellant Anthony Chiasson in 13-1917, 13-1837, FILED. Service date 05/16/2013 by CM/ECF. [940026] [13-1917, 13-1837]
05/16/2013	 <u>12</u> 1 pg, 58.47 KB	ACKNOWLEDGMENT AND NOTICE OF APPEARANCE, on behalf of Appellant Anthony Chiasson in 13-1837, 13-1917, FILED. Service date 05/16/2013 by CM/ECF.[940385] [13-1837, 13-1917]
05/16/2013	 <u>13</u> 3 pg, 268.05 KB	MOTION, to consolidate for argument, on behalf of Appellant Todd Newman in 13-1837, FILED. Service date 05/16/2013 by CM/ECF. [940450] [13-1837, 13-1917]
05/16/2013	 <u>14</u> 1 pg, 33.17 KB	ACKNOWLEDGMENT AND NOTICE OF APPEARANCE, on behalf of Appellant Todd Newman in 13-1837, FILED. Service date 05/16/2013 by CM/ECF.[940452] [13-1837, 13-1917]
05/17/2013	 <u>17</u>	ATTORNEY, John A. Nathanson for Todd Newman, in case 13-1837 , [940820-2], ADDED.[941109] [13-1837, 13-1917]
05/17/2013	 <u>20</u> 1 pg, 37.09 KB	NEW CASE MANAGER, Toneta Sula, ASSIGNED.[941481] [13-1837, 13-1917]
05/20/2013	 <u>21</u> 48 pg, 202.83 KB	ELECTRONIC INDEX, in lieu of record, FILED.[942006] [13-1917]
05/20/2013	 <u>22</u> 1 pg, 32.33 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Anthony Chiasson in 13-1917, 13-1837, FILED. Service date 05/20/2013 by CM/ECF. [942215] [13-1917, 13-1837]
05/20/2013	 <u>23</u>	ATTORNEY, Daniel J. O'Neill for Anthony Chiasson, in case 13-1917 , [22], ADDED.[942258] [13-1917]
05/23/2013	 <u>28</u> 1 pg, 142.6 KB	ORDER, dated 05/23/2013, adjourning oral argument, FILED.[946530] [13-1837, 13-1917]
05/24/2013	 <u>30</u> 1 pg, 38.46 KB	ARGUMENT NOTICE, to attorneys/parties, TRANSMITTED.[947032] [13-1837, 13-1917]
05/28/2013	 <u>32</u>	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Anthony Chiasson in 13-1837, 13-1917, FILED. Service date 05/28/2013 by CM/ECF. [948568] [13-1837, 13-1917]
05/28/2013	 <u>33</u> 2 pg, 55.68 KB	DEFECTIVE DOCUMENT, notice of additional counsel, [32], on behalf of Appellant Anthony Chiasson, FILED.[948596] [13-1917]
05/29/2013	 <u>34</u> 2 pg, 25.9 KB	FORM B, on behalf of Appellant Anthony Chiasson in 13-1917, 13-1837 , FILED. Service date 05/29/2013 by CM/ECF.[949663] [13-1917, 13-1837]
05/29/2013	 <u>35</u> 1 pg, 33.4 KB	SCHEDULING NOTIFICATION, on behalf of Appellant Anthony Chiasson in 13-1917, 13-1837, informing Court of proposed due date 08/15/2013, RECEIVED. Service date 05/29/2013 by CM/ECF.[949695] [13-1917, 13-1837]
05/29/2013	 <u>37</u> 1 pg, 15.67 KB	SCHEDULING NOTIFICATION, on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, informing Court of proposed due date 08/15/2013, RECEIVED. Service date 05/29/2013 by CM/ECF.[949727] [13-1837, 13-1917]
05/29/2013	 <u>39</u>	OPPOSITION TO MOTION for bail [934150-2], for bail [8], on behalf of Appellee USA United States of America in 13-1837, 13-1917, FILED. Service date 05/29/2013 by CM/ECF. [950787][39] [13-1837, 13-1917]
05/30/2013	 <u>41</u> 1 pg, 55.25 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee USA United States of America in 13-1837, 13-1917, FILED. Service date 05/30/2013 by CM/ECF. [951033] [13-1837, 13-1917]
05/30/2013	 <u>42</u> 2 pg, 55.79 KB	DEFECTIVE DOCUMENT, motion for leave to file an oversized response, [39], on behalf of Appellee United States of America in 13-1917, FILED.[951115] [13-1837, 13-1917]


05/30/2013  [43](#)
30 pg, 158.54 KB MOTION, to file oversized brief, on behalf of Appellee USA United States of America in 13-1837, 13-1917, FILED. Service date 05/30/2013 by CM/ECF. [951336] [13-1837, 13-1917]


05/30/2013  [45](#)
CURED DEFECTIVE MOTION, [42], [43], on behalf of Appellee USA United States of America in 13-1917, FILED.[951655] [13-1837, 13-1917]


05/31/2013  [52](#)
1 pg, 39.41 KB SO-ORDERED SCHEDULING NOTIFICATION, setting Appellant Anthony Chiasson Brief due date as 08/15/2013; Joint Appendix due date as 08/15/2013, FILED.[952347] [13-1837, 13-1917]


05/31/2013  [53](#)
1 pg, 33.67 KB NOTICE, for the submission of additional paper copies of the appendix or joint appendix, SENT.[952359] [13-1837, 13-1917]


05/31/2013  [54](#)
1 pg, 39.41 KB SO-ORDERED SCHEDULING NOTIFICATION, setting Appellant Todd Newman Brief due date as 08/15/2013; Joint Appendix due date as 08/15/2013, FILED.[952373] [13-1837, 13-1917]


05/31/2013  [55](#)
1 pg, 33.67 KB NOTICE, for the submission of additional paper copies of the appendix or joint appendix, SENT.[952390] [13-1837, 13-1917]


05/31/2013  [56](#)
ATTORNEY, Brent Scott Wible for United States of America, in case 13-1917, 13-1837, [41], ADDED. [952753] [13-1837, 13-1917]


06/10/2013  [59](#)
41 pg, 1.31 MB MOTION, to file oversized brief, on behalf of Appellant Anthony Chiasson in 13-1917, 13-1837, FILED. Service date 06/10/2013 by CM/ECF. [960342] [13-1917, 13-1837]


06/10/2013  [64](#)
184 pg, 21.42 MB REPLY TO OPPOSITION [39], on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 06/10/2013 by CM/ECF.[960891][64] [13-1837, 13-1917]


06/11/2013  [68](#)
1 pg, 38.08 KB MOTION ORDER, granting motion to file oversized brief [59] filed by Appellant Anthony Chiasson in 13-1837, FILED. [961585][68] [13-1837, 13-1917]


06/13/2013  [70](#)
39 pg, 1.26 MB REPLY TO OPPOSITION [39], on behalf of Appellant Anthony Chiasson in 13-1917, 13-1837, FILED. Service date 06/10/2013 by CM/ECF.[964110][70] [13-1917, 13-1837]


06/20/2013  [74](#)
1 pg, 36.55 KB NEW CASE MANAGER, Frank Perez, ASSIGNED.[970248] [13-1837, 13-1917]


06/21/2013  [76](#)
1 pg, 28.52 KB REQUEST FOR ARGUMENT CD, with fee, RECEIVED.[971993] [13-1837, 13-1917]


06/21/2013  [77](#)
1 pg, 146.96 KB MOTION ORDER, granting motions for bail pending appeal [934150-2], filed by Appellant Todd Newman, [8] and Appellant Anthony Chiasson, by GC, JAC, BDP, C.JJ., FILED. [972134][77] [13-1837, 13-1917]


06/21/2013  [78](#)
1 pg, 398.6 KB CERTIFIED ORDER, dated 06/21/2013, to SDNY, ISSUED.[972254] [13-1837, 13-1917]


06/21/2013  [80](#)
1 pg, 51.45 KB REQUEST FOR ARGUMENT CD from Securities and Exchange Comm., with fee, RECEIVED.[972502] [13-1837, 13-1917]


06/24/2013  [81](#)
1 pg, 110.84 KB NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Anthony Chiasson in 13-1837, 13-1917, FILED. Service date 06/24/2013 by CM/ECF. [973216] [13-1837, 13-1917]


06/24/2013  [82](#)
1 pg, 38.3 KB LETTER, dated 06/19/2013, on behalf of Jennifer K. Vakiener from Morvillo LLP requesting CD of the Oral Argument. Fee Paid. Forwarded to Calendar Team. Receipt # 218952. RECEIVED. Service date 06/24/2013 by US mail.[973672] [13-1917, 13-1837]


06/25/2013  [83](#)
ATTORNEY, Gregory Robert Morvillo for Anthony Chiasson, in case 13-1837, [81], ADDED.[974090] [13-1837]


06/26/2013  [84](#)
ARGUMENT CD, TRANSMITTED.[976197] [13-1837, 13-1917]


06/26/2013  [85](#)
ARGUMENT CD, TRANSMITTED.[976203] [13-1837, 13-1917]


06/28/2013  [86](#)
ARGUMENT CD, TRANSMITTED.[978548] [13-1837, 13-1917]


07/01/2013  [87](#)
ARGUMENT CD, TRANSMITTED.[979468] [13-1917, 13-1837]

07/09/2013  [88](#)
1 pg, 39.11 KB REQUEST FOR ARGUMENT CD from Shapiro et al., with fee, RECEIVED.[985109] [13-1837, 13-1917]





















07/16/2013  [89](#)
ARGUMENT CD, TRANSMITTED.[991089] [13-1837, 13-1917]

08/01/2013  [90](#)
5 pg, 183.92 KB MOTION, to file oversized brief, on behalf of Appellant Anthony Chiasson in 13-1837, 13-1917, FILED. Service date 08/01/2013 by CM/ECF. [1005492] [13-1837, 13-1917]

08/06/2013  [94](#)
1 pg, 156.82 KB MOTION ORDER, granting motion to file oversized brief [90] filed by Appellant Anthony Chiasson, by DC, FILED. [1009318][94] [13-1837, 13-1917]

08/09/2013  [95](#)
1 pg, 1.07 MB NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Anthony Chiasson in 13-1917, FILED. Service date 08/09/2013 by CM/ECF. [1013344] [13-1917, 13-1837]

08/12/2013	<u>96</u>	ATTORNEY, Jeremy Michael Licht for Anthony Chiasson, in case 13-1917 Jeremy Michael Licht for Anthony Chiasson, in case 13-1837 , [95], ADDED.[1013518] [13-1837, 13-1917]
08/15/2013	<u>97</u> 64 pg, 187.49 KB	BRIEF, on behalf of Appellant Todd Newman, FILED. Service date 08/15/2013 by CM/ECF.[1018181] [13-1837, 13-1917]
08/15/2013	<u>98</u> 270 pg, 8.35 MB	JOINT APPENDIX, volume 1 of 12, (pp. 1-255), on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 08/15/2013 by CM/ECF.[1018186] [13-1837, 13-1917]
08/15/2013	<u>99</u> 301 pg, 1.63 MB	JOINT APPENDIX, volume 2 of 12, (pp. 256-541), on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 08/15/2013 by CM/ECF.[1018188] [13-1837, 13-1917]
08/15/2013	<u>100</u> 215 pg, 1.52 MB	JOINT APPENDIX, volume 3 of 12, (pp. 542-741), on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 08/15/2013 by CM/ECF.[1018189] [13-1837, 13-1917]
08/15/2013	<u>101</u> 261 pg, 1.69 MB	JOINT APPENDIX, volume 4 of 12, (pp. 742-987), on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 08/15/2013 by CM/ECF.[1018190] [13-1837, 13-1917]
08/15/2013	<u>102</u> 297 pg, 1.87 MB	JOINT APPENDIX, volume 5 of 12, (pp. 988-1269), on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 08/15/2013 by CM/ECF.[1018193] [13-1837, 13-1917]
08/15/2013	<u>103</u> 244 pg, 1.63 MB	JOINT APPENDIX, volume 6 of 12, (pp. 1270-1498), on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 08/15/2013 by CM/ECF.[1018194] [13-1837, 13-1917]
08/15/2013	<u>104</u> 284 pg, 1.82 MB	JOINT APPENDIX, volume 7 of 12, (pp. 1499-1767), on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 08/15/2013 by CM/ECF.[1018195] [13-1837, 13-1917]
08/15/2013	<u>105</u> 310 pg, 7.51 MB	JOINT APPENDIX, volume 8 of 12, (pp. 1768-2062), on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 08/15/2013 by CM/ECF.[1018196] [13-1837, 13-1917]
08/15/2013	<u>106</u> 114 pg, 6.81 MB	JOINT APPENDIX, volume 9 of 12, (pp. 2063-2361), on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 08/15/2013 by CM/ECF.[1018197] [13-1837, 13-1917]
08/15/2013	<u>107</u> 100 pg, 7.91 MB	JOINT APPENDIX, volume 9 of 12, (pp. 2162-2261), on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 08/15/2013 by CM/ECF.[1018198] [13-1837, 13-1917]
08/15/2013	<u>108</u> 50 pg, 6.17 MB	JOINT APPENDIX, volume 9 of 12, (pp. 2262-2311), on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 08/15/2013 by CM/ECF.[1018199] [13-1837, 13-1917]
08/15/2013	<u>109</u> 50 pg, 4.43 MB	JOINT APPENDIX, volume 9 of 12, (pp. 2312-2361), on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 08/15/2013 by CM/ECF.[1018201] [13-1837, 13-1917]
08/15/2013	<u>110</u> 187 pg, 5.19 MB	JOINT APPENDIX, volume 10 of 12, (pp. 2362-2533), on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 08/15/2013 by CM/ECF.[1018204] [13-1837, 13-1917]
08/15/2013	<u>111</u> 155 pg, 5.58 MB	JOINT APPENDIX, volume 11 of 12, (pp. 2534-2673), on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 08/15/2013 by CM/ECF.[1018206] [13-1837, 13-1917]
08/15/2013	<u>112</u> 105 pg, 3.09 MB	JOINT APPENDIX, volume 11 of 12, (pp. 2674-2778), on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 08/15/2013 by CM/ECF.[1018207] [13-1837, 13-1917]
08/15/2013	<u>113</u> 35 pg, 7.66 MB	JOINT APPENDIX, volume 11 of 12, (pp. 2779-2813), on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 08/15/2013 by CM/ECF.[1018208] [13-1837, 13-1917]
08/15/2013	<u>114</u> 209 pg, 8.27 MB	JOINT APPENDIX, volume 12 of 12, (pp. 2814-3007), on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 08/15/2013 by CM/ECF.[1018210] [13-1837, 13-1917]
08/15/2013	<u>115</u> 29 pg, 2.01 MB	SPECIAL APPENDIX, on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 08/15/2013 by CM/ECF.[1018211] [13-1837, 13-1917]
08/15/2013	<u>116</u> 91 pg, 605.61 KB	BRIEF, on behalf of Appellant Anthony Chiasson in 13-1837, 13-1917, FILED. Service date 08/15/2013 by CM/ECF.[1018214] [13-1837, 13-1917]
08/15/2013	<u>117</u> 2 pg, 18.57 KB	SCHEDULING NOTIFICATION, on behalf of Appellee USA United States of America in 13-1837, 13-1917, informing Court of proposed due date 11/14/2013, RECEIVED. Service date 08/15/2013 by CM/ECF. [1018229] [13-1837, 13-1917]
08/22/2013	<u>123</u> 1 pg, 51.17 KB	NOTICE OF APPEARANCE AS AMICUS COUNSEL, on behalf of Amicus Curiae National Association of Criminal Defense Lawyers , FILED. Service date 08/22/2013 by CM/ECF. [1023567] [13-1837, 13-1917]-- [Edited 08/22/2013 by FP]
08/22/2013	<u>124</u>	NEW PARTY, Amicus Curiae National Association of Criminal Defense Lawyers in 13-1837 and Amicus Curiae National Association of Criminal Defense Lawyers in 13-1917, ADDED.[1023647] [13-1837, 13-1917]
08/30/2013	<u>126</u> 4 pg, 161.88 KB	LETTER, on behalf of Appellant Anthony Chiasson, RECEIVED. Service date 08/30/2013 by US mail, CM/ECF.[1030800] [13-1917]

09/09/2013	 130 14 pg, 512.43 KB	MOTION, for "transmission of briefs", on behalf of Appellant Anthony Chiasson in 13-1837, 13-1917, FILED. Service date 09/09/2013 by CM/ECF. [1036737] [13-1837, 13-1917]--[Edited 09/10/2013 by FP]
09/17/2013	 133 1 pg, 38.39 KB	MOTION ORDER, referring motion to file copies of briefs, to the panel assigned to hear the merits argument in U.S. v. Whitman, No. 13-491, [130] filed by Appellant Anthony Chiasson in 13-1837, FILED. [1043936][133] [13-1837, 13-1917]
10/23/2013	 134 1 pg, 40.19 KB	MOTION ORDER, denying as moot motion for permission to transmit the Government's brief, [130] filed by Appellant Anthony Chiasson in 13-1837, FILED. [1074029][134] [13-1837, 13-1917]
11/05/2013	 135 6 pg, 113.01 KB	MOTION, to file oversized brief, on behalf of Appellee USA United States of America in 13-1837, 13-1917, FILED. Service date 11/05/2013 by CM/ECF. [1084818] [13-1837, 13-1917]
11/06/2013	 137 6 pg, 58.67 KB	MOTION, to extend time, on behalf of Appellant Anthony Chiasson in 13-1837, 13-1917, FILED. Service date 11/06/2013 by CM/ECF. [1086054] [13-1837, 13-1917]
11/12/2013	 139 1 pg, 138.32 KB	MOTION ORDER, granting motion to extend time to file appellants reply briefs until 12/18/2013 [137] filed by Appellant Anthony Chiasson, FILED. [1088714][139] [13-1837, 13-1917]
11/14/2013	 142 1 pg, 146.32 KB	MOTION ORDER, granting motion to file oversized brief of up to 34,000 words [135] filed by Appellee USA United States of America, by RCW, FILED. [1092182][142] [13-1837, 13-1917]
11/14/2013	 143 149 pg, 612.22 KB	BRIEF, on behalf of Appellee USA United States of America in 13-1837, 13-1917, FILED. Service date 11/14/2013 by CM/ECF, US mail. [1092928] [13-1837, 13-1917]
11/22/2013	 146 1 pg, 51.37 KB	ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Mark F Pomerantz for Appellant Anthony Chiasson in 13-1837, 13-1917, FILED. Service date 11/22/2013 by CM/ECF. [1099461] [13-1837, 13-1917]
11/22/2013	 147 1 pg, 51.58 KB	ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Stephen Fishbein, Esq. for Appellant Todd Newman in 13-1837, Attorney Stephen Fishbein, Esq. for Todd Newman in 13-1917, FILED. Service date 11/22/2013 by CM/ECF. [1099818] [13-1837, 13-1917]
11/22/2013	 148 5 pg, 56.04 KB	MOTION, to file oversized brief, on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 11/22/2013 by CM/ECF. [1099916] [13-1837, 13-1917]
11/22/2013	 149 1 pg, 129.5 KB	ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Ms. Antonia Marie Apps for Appellee USA United States of America in 13-1837, 13-1917, FILED. Service date 11/22/2013 by CM/ECF. [1100007] [13-1837, 13-1917]
11/26/2013	 155 2 pg, 18.84 KB	MOTION ORDER, granting motion to file oversized brief [148] filed by Appellant Todd Newman, by RAK FILED. [1101741][155] [13-1837, 13-1917]
12/06/2013	 156 6 pg, 147.75 KB	MOTION, to file oversized brief, on behalf of Appellant Anthony Chiasson in 13-1837, 13-1917, FILED. Service date 12/06/2013 by CM/ECF. [1109273] [13-1837, 13-1917]
12/11/2013	 159 1 pg, 146.02 KB	MOTION ORDER, granting motion to file oversized reply brief containing up to 12,000 words [156] filed by Appellant Anthony Chiasson, by GEL, FILED. [1112265][159] [13-1837, 13-1917]
12/18/2013	 160 52 pg, 143.82 KB	REPLY BRIEF, on behalf of Appellant Todd Newman, FILED. Service date 12/18/2013 by CM/ECF. [1117928] [13-1837, 13-1917]
12/18/2013	 161 55 pg, 172.51 KB	REPLY BRIEF, on behalf of Appellant Anthony Chiasson in 13-1837, 13-1917, FILED. Service date 12/18/2013 by CM/ECF. [1118075] [13-1837, 13-1917]
12/18/2013	 162 50 pg, 7.12 MB	MOTION, to file supplemental appendix, on behalf of Appellant Todd Newman in 13-1837, Anthony Chiasson in 13-1917, FILED. Service date 12/18/2013 by CM/ECF. [1118106] [13-1837, 13-1917]--[Edited 12/18/2013 by KS]
12/19/2013	 165 1 pg, 138.14 KB	MOTION ORDER, granting motion to file supplemental appendix [162] filed by Appellant Todd Newman, Todd Newman, FILED. [1118514][165] [13-1837, 13-1917]
12/19/2013	 166 45 pg, 7.06 MB	SUPPLEMENTAL APPENDIX, on behalf of Appellant Todd Newman in 13-1837, Todd Newman in 13-1917, FILED. Service date 12/19/2013 by CM/ECF. [1118620] [13-1837, 13-1917]

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2

General Docket
Court of Appeals, 2nd Circuit

Court of Appeals Docket #: 12-4448 Docketed: 11/13/2012 United States of America v. Gupta Appeal From: SDNY (NEW YORK CITY) Fee Status: Paid	
Case Type Information: 1) Criminal 2) Direct Criminal 3) none	
Originating Court Information: District: 0208-1 : 1:11-cr-907-1 Trial Judge: Jed S. Rakoff, U.S. District Judge Date Filed: 10/25/2011 Date Order/Judgment: 11/09/2012 Date NOA Filed: 11/09/2012 Date Rec'd COA: 11/13/2012	
Prior Cases: None Current Cases: None	
Panel Assignment: Not available	

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




















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





















Appellee,












v.

Rajat K. Gupta,

Defendant - Appellant.

11/13/2012	 <u>1</u> 24 pg, 543.3 KB	NOTICE OF CRIMINAL APPEAL, with district court docket, on behalf of Appellant Rajat K. Gupta, FILED. [765984] [12-4448]
11/13/2012	 <u>2</u>	PAYMENT OF DOCKETING FEE, on behalf of Appellant Rajat K. Gupta, district court receipt # 465401052546, FILED.[766005] [12-4448]
11/13/2012	 <u>3</u> 7 pg, 958.46 KB	DISTRICT COURT JUDGMENT, dated 11/09/2012, RECEIVED.[766009] [12-4448]
11/13/2012	 <u>4</u> 23 pg, 228.68 KB	ELECTRONIC INDEX, in lieu of record, FILED.[766011] [12-4448]
11/13/2012	 <u>5</u> 1 pg, 36.87 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Rajat K. Gupta, FILED. Service date 11/13/2012 by CM/ECF. [766389] [12-4448]
11/13/2012	 <u>6</u> 1 pg, 36.95 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Rajat K. Gupta, FILED. Service date 11/13/2012 by CM/ECF. [766395] [12-4448]
11/13/2012	 <u>7</u>	ATTORNEY, Seth P. Waxman for Rajat K. Gupta, in case 12-4448 , [5], ADDED.[766400] [12-4448]
11/13/2012	 <u>8</u> 1 pg, 37.24 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Rajat K. Gupta, FILED. Service date 11/13/2012 by CM/ECF. [766405] [12-4448]
11/13/2012	 <u>10</u> 1 pg, 47.3 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Rajat K. Gupta, FILED. Service date 11/13/2012 by CM/ECF. [766410] [12-4448]
11/13/2012	 <u>11</u>	ATTORNEY, Paul Reinherz Wolfson for Rajat K. Gupta, in case 12-4448 , [6], ADDED.[766414] [12-4448]
11/13/2012	 <u>12</u>	ATTORNEY, Daniel Joseph Aguilar for Rajat K. Gupta, in case 12-4448 , [8], ADDED.[766419] [12-4448]
11/13/2012	 <u>13</u>	ATTORNEY, Megan Barbero for Rajat K. Gupta, in case 12-4448 , [10], ADDED.[766421] [12-4448]
11/13/2012	 <u>14</u> 1 pg, 305.65 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Rajat K. Gupta, FILED. Service date 11/13/2012 by CM/ECF. [766428] [12-4448]
11/13/2012	 <u>15</u>	ATTORNEY, Alan E Schoenfeld for Rajat K. Gupta, in case 12-4448 , [14], ADDED.[766444] [12-4448]
11/13/2012	 <u>16</u> 188 pg, 4.52 MB	MOTION, to stay, on behalf of Appellant Rajat K. Gupta, FILED. Service date 11/13/2012 by email, CM/ECF, hand delivery. [766559] [12-4448]
11/16/2012	 <u>21</u> 1 pg, 48.98 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Rajat K. Gupta, FILED. Service date 11/16/2012 by CM/ECF. [771323] [12-4448]
11/19/2012	 <u>22</u>	ATTORNEY, David Stanley Frankel for Rajat K. Gupta, in case 12-4448 , [21], ADDED.[771899] [12-4448]
11/20/2012	 <u>24</u> 1 pg, 76.46 KB	ACKNOWLEDGMENT AND NOTICE OF APPEARANCE, on behalf of Appellant Rajat K. Gupta, FILED. Service date 11/20/2012 by CM/ECF.[773689] [12-4448]
11/20/2012	 <u>25</u> 1 pg, 66.42 KB	FORM B, on behalf of Appellant Rajat K. Gupta , FILED. Service date 11/20/2012 by CM/ECF.[773690] [12-4448]
11/26/2012	 <u>28</u> 1 pg, 102.87 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee USA United States of America, FILED. Service date 11/24/2012 by CM/ECF. [777537] [12-4448]
11/26/2012	 <u>29</u> 25 pg, 77.42 KB	OPPOSITION TO MOTION to stay [16], on behalf of Appellee USA United States of America, FILED. Service date 11/26/2012 by CM/ECF. [777716][29] [12-4448]
11/27/2012	 <u>30</u>	ATTORNEY, Reed Michael Brodsky for United States of America, in case 12-4448 , [28], ADDED.[777752] [12-4448]
11/27/2012	 <u>34</u> 1 pg, 38.17 KB	ARGUMENT NOTICE, to attorneys/parties, TRANSMITTED.[778087] [12-4448]
11/27/2012	 <u>36</u> 1 pg, 49.88 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Rajat K. Gupta, FILED. Service date 11/27/2012 by CM/ECF. [779131] [12-4448]
11/28/2012	 <u>37</u>	ATTORNEY, Alan Roy Friedman for Rajat K. Gupta, in case 12-4448 , [36], ADDED.[779314] [12-4448]
11/29/2012	 <u>38</u> 1 pg, 39.23 KB	**REVISED**ARGUMENT NOTICE, to attorneys/parties, TRANSMITTED.[782026] [12-4448]
11/30/2012	 <u>39</u> 15 pg, 43.09 KB	REPLY TO OPPOSITION [29], on behalf of Appellant Rajat K. Gupta, FILED. Service date 11/30/2012 by CM/ECF.[783034][39] [12-4448]
12/05/2012	 <u>113</u> 2 pg, 62.96 KB	REQUEST FOR ARGUMENT CD, with fee, RECEIVED.[883667] [12-4448]

12/06/2012	 47 2 pg, 80.29 KB	MOTION ORDER, granting motion to stay [16] filed by Appellant Rajat K. Gupta, by JAC, RR, FILED. [787606][47] [12-4448]
12/06/2012	 48 2 pg, 80.29 KB	EXPEDITED SCHEDULING ORDER re: Brief due date as 01/18/2013; Brief due date as 03/15/2013., Appellant reply brief due date as 03/29/2013;, FILED.[787609] [12-4448]
12/06/2012	 50 2 pg, 334.55 KB	CERTIFIED ORDER, dated 12/06/2012, to SDNY (NEW YORK CITY), ISSUED.[787631] [12-4448]
12/06/2012	 52 1 pg, 37.41 KB	NEW CASE MANAGER, Gerard Whidbee, ASSIGNED.[788210] [12-4448]
12/20/2012	 114	ARGUMENT CD, TRANSMITTED.[883668] [12-4448]
01/15/2013	 54 4 pg, 361.2 KB	MOTION, for ___relief, on behalf of Appellant Rajat K. Gupta, FILED. Service date 01/15/2013 by CM/ECF. [816247] [12-4448]
01/17/2013	 59 1 pg, 148.75 KB	MOTION ORDER, granting motion for permission to file non-conforming exhibits, [54] filed by Appellant Rajat K. Gupta, by CFD, FILED. [819291][59] [12-4448]
01/18/2013	 61 50 pg, 1.39 MB	SPECIAL APPENDIX, on behalf of Appellant Rajat K. Gupta, FILED. Service date 01/18/2013 by CM/ECF. [821195] [12-4448]
01/18/2013	 62 267 pg, 11.84 MB	JOINT APPENDIX, volume 1 of 6, (pp. 1-251), on behalf of Appellant Rajat K. Gupta, FILED. Service date 01/18/2013 by CM/ECF.[821368] [12-4448]
01/18/2013	 63 287 pg, 1.16 MB	JOINT APPENDIX, volume 2 of 6, (pp. 252-522), on behalf of Appellant Rajat K. Gupta, FILED. Service date 01/18/2013 by CM/ECF.[821372] [12-4448]
01/18/2013	 64 287 pg, 1.17 MB	JOINT APPENDIX, volume 3 of 6, (pp. 523-793), on behalf of Appellant Rajat K. Gupta, FILED. Service date 01/18/2013 by CM/ECF.[821377] [12-4448]
01/18/2013	 65 287 pg, 1.3 MB	JOINT APPENDIX, volume 4 of 6, (pp. 794-1064), on behalf of Appellant Rajat K. Gupta, FILED. Service date 01/18/2013 by CM/ECF.[821385] [12-4448]
01/18/2013	 66 306 pg, 15.64 MB	JOINT APPENDIX, volume 5 of 6, (pp. 1065-1354), on behalf of Appellant Rajat K. Gupta, FILED. Service date 01/18/2013 by CM/ECF.[821393] [12-4448]
01/18/2013	 67 310 pg, 20.32 MB	JOINT APPENDIX, volume 6 of 6, (pp. 1355-1647), on behalf of Appellant Rajat K. Gupta, FILED. Service date 01/18/2013 by CM/ECF.[821493] [12-4448]
01/18/2013	 68 72 pg, 147.28 KB	BRIEF, on behalf of Appellant Rajat K. Gupta, FILED. Service date 01/18/2013 by CM/ECF.[821496] [12-4448]
01/18/2013	 73	EXHIBITS, on CD-ROM volume(s) 1 of 1, on behalf of Appellant Rajat K. Gupta, FILED. Service date 01/18/2013 by CM/ECF.[823771] [12-4448]
01/23/2013	 69 1 pg, 33.72 KB	NOTICE, for the submission of additional paper copies of the appendix or joint appendix, SENT.[823602] [12-4448]
02/11/2013	 79	CASE CALENDARING, for the week of 04/01/2013, PROPOSED.[843043] [12-4448]
02/12/2013	 80 1 pg, 58.54 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee USA United States of America, FILED. Service date 02/12/2013 by CM/ECF. [844074] [12-4448]
02/12/2013	 111 1 pg, 40.48 KB	REQUEST FOR ARGUMENT CD, with fee, RECEIVED.[883454] [12-4448]
02/13/2013	 81	ATTORNEY, Justin S. Weddle for United States of America, in case 12-4448, [80] , ADDED.[844253] [12-4448]
02/15/2013	 82 8 pg, 374.65 KB	MOTION, to extend time, on behalf of Appellant Rajat K. Gupta, FILED. Service date 02/15/2013 by CM/ECF. [848020] [12-4448]
02/15/2013	 83 1 pg, 61.51 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee USA United States of America, FILED. Service date 02/15/2013 by CM/ECF. [848306] [12-4448]
02/19/2013	 85	ATTORNEY, Richard Tarlowe for United States of America, in case 12-4448, [83] , ADDED.[848684] [12-4448]
02/28/2013	 90 1 pg, 148.89 KB	MOTION ORDER, granting motion to extend time [82] filed by Appellant Rajat K. Gupta, by RKW, FILED. [860063][90] [12-4448]
03/07/2013	 91 1 pg, 79.86 KB	ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Mr. Seth P. Waxman for Appellant Rajat K. Gupta, FILED. Service date 03/07/2013 by CM/ECF. [867792] [12-4448]
03/08/2013	 92 6 pg, 155.4 KB	MOTION, to file oversized brief, on behalf of Appellee USA United States of America, FILED. Service date 03/08/2013 by CM/ECF. [869484] [12-4448]

03/11/2013	 94 4 pg, 16.56 KB	OPPOSITION TO MOTION to file oversized brief [92] , on behalf of Appellant Rajat K. Gupta, FILED. Service date 03/11/2013 by CM/ECF. [870078] [94] [12-4448]
03/11/2013	 96	CASE CALENDARING, for the week of 04/29/2013, PROPOSED. [870304] [12-4448]
03/11/2013	 112	ARGUMENT CD, TRANSMITTED. [883455] [12-4448]
03/13/2013	 103 1 pg, 19.65 KB	MOTION ORDER, granting motion to file oversized brief [92] filed by Appellee United States of America, by RCW, FILED. [874660] [103] [12-4448]
03/19/2013	 104 1 pg, 19 KB	LETTER, on behalf of Appellant Rajat K. Gupta, RECEIVED. Service date 03/19/2013 by CM/ECF. [880757] [12-4448]
03/20/2013	 107	CASE CALENDARING, for argument on 04/29/2013, SET. [882091] [12-4448]
03/20/2013	 109 1 pg, 37.98 KB	ORDER, oral argument adjourned, FILED. [882131] [12-4448]
03/20/2013	 110	CASE CALENDARING, for the week of 05/20/2013, PROPOSED. [882830] [12-4448]
03/21/2013	 115 6 pg, 54.09 KB	MOTION, for ___relief, on behalf of Appellant Rajat K. Gupta, FILED. Service date 03/21/2013 by CM/ECF. [884628] [12-4448]
03/22/2013	 117 89 pg, 306.74 KB	BRIEF, on behalf of Appellee USA United States of America, FILED. Service date 03/22/2013 by CM/ECF. [886063] [12-4448]
03/27/2013	 123 5 pg, 355.06 KB	MOTION, to file oversized brief, on behalf of Appellant Rajat K. Gupta, FILED. Service date 03/27/2013 by CM/ECF. [889945] [12-4448]
04/04/2013	 128 1 pg, 37.63 KB	MOTION ORDER, granting motion to preserve the expedited schedule for briefing, [115] filed by Appellant Rajat K. Gupta, FILED. [896743] [128] [12-4448]
04/04/2013	 129 1 pg, 37.52 KB	MOTION ORDER, granting motion to file oversized brief [123] filed by Appellant Rajat K. Gupta, FILED. [896865] [129] [12-4448]
04/09/2013	 131	CASE CALENDARING, for argument on 05/21/2013, SET. [901251] [12-4448]
04/10/2013	 132 2 pg, 45.79 KB	ARGUMENT NOTICE, to attorneys/parties, TRANSMITTED. [902909] [12-4448]
04/12/2013	 134 1 pg, 55.35 KB	NOTICE OF HEARING DATE ACKNOWLEDGMENT, on behalf of Appellant Rajat K. Gupta, FILED. Service date 04/12/2013 by CM/ECF. [906567] [12-4448]
04/12/2013	 135 42 pg, 106.88 KB	REPLY BRIEF, on behalf of Appellant Rajat K. Gupta, FILED. Service date 04/12/2013 by CM/ECF. [906570] [12-4448]
04/23/2013	 141 21 pg, 131.21 KB	1ST SUPPLEMENTAL ELECTRONIC INDEX, in lieu of record, FILED. [916861] [12-4448]
05/21/2013	 142	CASE, before JON, ALK, RSP, C.JJ., HEARD. [943678] [12-4448]
05/21/2013	 143 1 pg, 39.05 KB	REQUEST FOR ARGUMENT CD, with fee, RECEIVED. [944193] [12-4448]
05/22/2013	 144	ARGUMENT CD, TRANSMITTED. [945425] [12-4448]
05/24/2013	 145 1 pg, 39.05 KB	REQUEST FOR ARGUMENT CD, with fee, RECEIVED. [947994] [12-4448]
05/29/2013	 146	ARGUMENT CD, TRANSMITTED. [950387] [12-4448]
08/28/2013	 148 2 pg, 153.76 KB	ORDER, dated 08/28/2013, directing that the appeal filed under 13-916 be held in abeyance pending the determination of the appeal in 12-4448, by JON, ALK, RSP, FILED. [1028489] [12-4448]

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3

General Docket
Court of Appeals, 2nd Circuit

Court of Appeals Docket #: 11-4416 United States of America v. Raj Rajaratnam Appeal From: SDNY (NEW YORK CITY) Fee Status: Paid		Docketed: 10/25/2011 Termed: 06/24/2013
Case Type Information: 1) Criminal 2) Direct Criminal 3) none		
Originating Court Information: District: 0208-1 : 1:09-cr-1184-1 Trial Judge: Richard J. Holwell, U.S. District Judge Date Filed: 12/15/2009 Date Order/Judgment: 10/25/2011		
Date NOA Filed: 10/25/2011		
Prior Cases: None		
Current Cases: None		
Panel Assignment: Not available		

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Retired Federal Judges
Amicus Curiae

Professor G. Robert Blakey
Amicus Curiae

Nat'l Legal Aid & Assoc. and the Bronx Defenders
Amicus Curiae

United States of America,

Appellee,

v.


Raj Rajaratnam,


Defendant - Appellant.


10/25/2011	1 43 pg, 517.1 KB	NOTICE OF CRIMINAL APPEAL, with district court docket, on behalf of Appellee Raj Rajaratnam, FILED. [428845] [11-4416]
10/25/2011	2 6 pg, 352.05 KB	DISTRICT COURT JUDGMENT, dated 10/25/2011, RECEIVED.[428849] [11-4416]
10/25/2011	3 28 pg, 332.06 KB	MOTION, for stay of surrender and for bail pending appeal, on behalf of Appellant Raj Rajaratnam, FILED. Service date 10/25/2011 by email.[428852] [11-4416]
10/25/2011	4 17 pg, 433.79 KB	MOTION, to seal document, on behalf of Appellant Raj Rajaratnam, FILED. Service date 10/25/2011 by email.[428859] [11-4416]
10/26/2011	5	PAPERS, SEALED APPENDIX 11, RECEIVED.[428952] [11-4416]
10/26/2011	7 1 pg, 44.38 KB	FORM B, on behalf of Appellee Raj Rajaratnam , FILED. Service date 10/26/2011 by CM/ECF.[429187] [11-4416]
10/26/2011	15 1 pg, 66.78 KB	ACKNOWLEDGMENT AND NOTICE OF APPEARANCE, on behalf of Appellant Raj Rajaratnam, FILED. Service date 10/26/2011 by CM/ECF.[430136] [11-4416]
10/26/2011	16 1 pg, 41.41 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Raj Rajaratnam, FILED. Service date 10/26/2011 by CM/ECF. [430138] [11-4416]
10/26/2011	17 1 pg, 42.47 KB	NOTICE OF APPEARANCE AS SUBSTITUTE COUNSEL, on behalf of Appellant Raj Rajaratnam, FILED. Service date 10/26/2011 by CM/ECF. [430146] [11-4416]
10/26/2011	18 1 pg, 43.71 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Raj Rajaratnam, FILED. Service date 10/26/2011 by CM/ECF. [430149] [11-4416]
10/26/2011	19 1 pg, 29 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Raj Rajaratnam, FILED. Service date 10/26/2011 by CM/ECF. [430186] [11-4416]
10/26/2011	20 1 pg, 46.95 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Raj Rajaratnam, FILED. Service date 10/26/2011 by CM/ECF. [430201] [11-4416]
10/27/2011	21	ATTORNEY, Patricia A. Millet, [17] , in place of attorney Terence J. Lynam, SUBSTITUTED.[430724] [11-4416]
10/27/2011	22	ATTORNEY, James Eamonn Sherry for Raj Rajaratnam, in case 11-4416 , [18] , ADDED.[430730] [11-4416]
10/27/2011	23	ATTORNEY, Samidh Guha for Raj Rajaratnam, in case 11-4416 , [19] , ADDED.[430737] [11-4416]
10/27/2011	24	ATTORNEY, Hyland Hunt for Raj Rajaratnam, in case 11-4416 , [20] , ADDED.[430739] [11-4416]
10/27/2011	25 1 pg, 37.64 KB	NEW CASE MANAGER, Michelle Roker, ASSIGNED.[430750] [11-4416]
10/28/2011	27 1 pg, 176.76 KB	LETTER, dated 10/28/2011, on behalf of Appellee USA United States of America, RECEIVED. Service date 10/28/2011 by CM/ECF.[432291] [11-4416]
10/31/2011	32 1 pg, 144.96 KB	ORDER, dated 10/31/2011, the Government's opposition to the motion for bail is due Monday, 11/14/2011 and the Appellant's reply in support of the motion is due Monday, 11/21/2011, FILED.[433733] [11-4416]
10/31/2011	36 1 pg, 145.1 KB	ORDER, dated 10/31/2011, On October 25, 2011, the appellant filed a motion for stay of surrender and for bail pending appeal. By letter dated 10/28/2011, the parties propose the following briefing schedule for the Appellant's motion; the Government's opposition to the motion due Monday, November 14, 2011; and the Appellant's reply in support of the motion due Monday, November 21, 2011. The proposed briefing schedule is so ordered. The parties will receive notice once the date and time of oral argument have been set, FILED.[434050] [11-4416]
11/02/2011	39 1 pg, 23.55 KB	SCHEDULING NOTIFICATION, on behalf of Appellant Raj Rajaratnam, informing Court of proposed due date 01/25/2012, RECEIVED. Service date 11/02/2011 by CM/ECF.[436746] [11-4416]
11/03/2011	42 1 pg, 38.04 KB	ARGUMENT/SUBMITTED NOTICE, to attorneys/parties, TRANSMITTED.[438196] [11-4416]
11/03/2011	43 1 pg, 38.82 KB	SO-ORDERED SCHEDULING NOTIFICATION, setting Appellant Raj Rajaratnam Brief due date as 01/25/2012; Joint Appendix due date as 01/25/2012, FILED.[438314] [11-4416]
11/07/2011	44 1 pg, 79.84 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee USA United States of America, FILED. Service date 11/07/2011 by CM/ECF. [440648] [11-4416]
11/07/2011	45	ATTORNEY, Jonathan Streeter for Appellee United States of America, in case 11-4416, [44] , ADDED. [440667] [11-4416]
11/08/2011	47 1 pg, 38.52 KB	ARGUMENT/SUBMITTED NOTICE, to attorneys/parties, TRANSMITTED.[442060] [11-4416]


11/08/2011	48 1 pg, 37.75 KB	MOTION ORDER, granting motion for stay of Surrender [3] filed by Appellant Raj Rajaratnam, FILED. [442188][48] [11-4416]
11/08/2011	49 1 pg, 37.98 KB	MOTION ORDER, granting motion to seal appendix 11 [4] filed by Appellant Raj Rajaratnam, FILED. [442194][49] [11-4416]
11/14/2011	50 25 pg, 76.31 KB	OPPOSITION TO MOTION for stay of removal [3] , on behalf of Appellee USA United States of America, FILED. Service date 11/14/2011 by CM/ECF, email. [446606][50] [11-4416]
11/16/2011	53 5 pg, 136.24 KB	MOTION, to file oversized brief, on behalf of Appellee USA United States of America, FILED. Service date 11/16/2011 by CM/ECF, email. [448939] [11-4416]
11/16/2011	55 4 pg, 72.74 KB	OPPOSITION TO MOTION to file oversized brief [53] , on behalf of Appellant Raj Rajaratnam, FILED. Service date 11/16/2011 by CM/ECF. [449433][55] [11-4416]
11/17/2011	62 1 pg, 37.52 KB	MOTION ORDER, granting motion to file oversized opposition [53] filed by Appellee USA United States of America, , FILED. [450663][62] [11-4416]
11/21/2011	63 16 pg, 50.79 KB	REPLY TO OPPOSITION [50] , on behalf of Appellant Raj Rajaratnam, FILED. Service date 11/21/2011 by CM/ECF. [452731][63] [11-4416]
11/28/2011	66 66 pg, 1.34 MB	LETTER, dated 11/28/2011, on behalf of Appellant Raj Rajaratnam, RECEIVED. Service date 11/28/2011 by CM/ECF. [457004] [11-4416]
11/28/2011	68 66 pg, 1.92 MB	LETTER, dated 11/28/2011, on behalf of Appellee USA United States of America, RECEIVED. Service date 11/28/2011 by CM/ECF. [457502] [11-4416]
12/01/2011	72 1 pg, 17.71 KB	ORDER, dated 12/01/2011, denying Appellant Raj Rajaratnam's motion for release pending appeal. The defendant shall surrender at such time and place as shall be instructed by the district court, by DJ, Ch.J., RAK, PWH, C.JJ., FILED. [461521] [11-4416]
12/01/2011	73 1 pg, 272.1 KB	CERTIFIED COPY OF ORDER, dated 12/01/2011, to SDNY, ISSUED. [461538] [11-4416]
12/01/2011	104 1 pg, 34.61 KB	REQUEST FOR ARGUMENT CD, with fee, RECEIVED. [515456] [11-4416]
12/12/2011	105	ARGUMENT CD, TRANSMITTED. [515457] [11-4416]
01/20/2012	74 1 pg, 34.01 KB	NOTICE, for the submission of additional paper copies of the appendix or joint appendix, SENT. [502855] [11-4416]
01/25/2012	75 99 pg, 403.26 KB	BRIEF, on behalf of Appellant Raj Rajaratnam, FILED. Service date 01/25/2012 by CM/ECF. [507426] [11-4416]
01/25/2012	76 286 pg, 8.69 MB	JOINT APPENDIX, volume 1 of 4, on behalf of Appellant Raj Rajaratnam, FILED. Service date 01/25/2012 by CM/ECF. [507446] [11-4416]
01/25/2012	77 289 pg, 4.91 MB	JOINT APPENDIX, volume 2 of 4, on behalf of Appellant Raj Rajaratnam, FILED. Service date 01/25/2012 by CM/ECF. [507454] [11-4416]
01/25/2012	78 151 pg, 6.95 MB	JOINT APPENDIX, volume 3 of 4, on behalf of Appellant Raj Rajaratnam, FILED. Service date 01/25/2012 by CM/ECF. [507458] [11-4416]
01/25/2012	79 271 pg, 7.12 MB	JOINT APPENDIX, volume 4 of 4, on behalf of Appellant Raj Rajaratnam, FILED. Service date 01/25/2012 by CM/ECF. [507463] [11-4416]
01/25/2012	80 104 pg, 5.03 MB	SPECIAL APPENDIX, on behalf of Appellant Raj Rajaratnam, FILED. Service date 01/25/2012 by CM/ECF. [507470] [11-4416]
01/25/2012	81 2 pg, 94.97 KB	NOTICE OF APPEARANCE AS AMICUS COUNSEL, on behalf of, Amicus Curiae Retired Federal Judges, FILED. Service date 01/25/2012 by CM/ECF. [507473] [11-4416]--[Edited 10/10/2012 by KS]
01/25/2012	82 2 pg, 38.17 KB	NOTICE OF APPEARANCE AS AMICUS COUNSEL, on behalf of, Amicus Curiae, FILED. Service date 01/25/2012 by CM/ECF. [507528] [11-4416]--[Edited 01/27/2012 by MR]
01/25/2012	83 2 pg, 57.31 KB	DEFECTIVE NOTICE OF APPEARANCE [81] , on behalf of Amicus Curiae, FILED. [507568] [11-4416]--[Edited 01/27/2012 by MR]
01/25/2012	84 2 pg, 38.22 KB	NOTICE OF APPEARANCE AS AMICUS COUNSEL, on behalf of, Amicus Curiae , FILED. Service date 01/25/2012 by CM/ECF. [507870] [11-4416]--[Edited 01/27/2012 by MR]
01/26/2012	85 1 pg, 87.9 KB	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee USA United States of America, FILED. Service date 01/26/2012 by CM/ECF. [509478] [11-4416]
01/27/2012	86	ATTORNEY, Reed Michael Brodsky for United States of America, in case 11-4416 , [85] , ADDED. [509669] [11-4416]


- 01/27/2012 87 CURED DEFECTIVE NOTICE OF APPEARANCE [84], on behalf of Amicus Curiae, FILED.[509673] [11-4416]--[Edited 01/27/2012 by MR]
- 01/27/2012 88 NEW PARTY, Amicus Curiae Retired Federal Judges, ADDED.[509827] [11-4416]
- 01/27/2012 89 ATTORNEY, Lawrence S. Lustberg for Amicus Curiae Retired Federal Judges, in case 11-4416 , [87], ADDED.[509835] [11-4416]
- 01/27/2012 90 SCHEDULING NOTIFICATION, on behalf of Appellee USA United States of America, informing Court of proposed due date 04/25/2012, RECEIVED. Service date 01/27/2012 by CM/ECF.[509943] [11-4416]
1 pg, 99.13 KB
- 01/27/2012 92 ATTORNEY, Alicia Bannon for Retired Federal Judges, in case 11-4416 , [82], ADDED.[510477] [11-4416]
- 01/27/2012 94 SO-ORDERED SCHEDULING NOTIFICATION, setting Appellee USA United States of America Brief due date as 04/25/2012, FILED.[510834] [11-4416]
1 pg, 38.57 KB
- 01/31/2012 95 MOTION, to amend caption, on behalf of Appellant Raj Rajaratnam, FILED. Service date 01/31/2012 by CM/ECF. [513651] [11-4416]
4 pg, 125.23 KB
- 01/31/2012 96 NOTICE OF APPEARANCE AS AMICUS COUNSEL, on behalf of Professor G. Robert Blakey, FILED. Service date 01/31/2012 by CM/ECF. [513763] [11-4416]--[Edited 02/01/2012 by MR]
1 pg, 36 KB
- 02/01/2012 99 NEW PARTY, Amicus Curiae Professor G. Robert Blakey, ADDED.[514469] [11-4416]
- 02/01/2012 100 ATTORNEY, Tai H Park for Professor G. Robert Blakey, in case 11-4416 , [96], ADDED.[514475] [11-4416]
- 02/01/2012 101 AMICUS BRIEF, of Professor G. Robert Blakey in support of appellant, FILED. Service date 02/01/2012 by CM/ECF. [514927] [11-4416]--[Edited 02/15/2012 by MR]
39 pg, 239.65 KB
- 02/01/2012 102 AMICUS BRIEF, of Retired Federal Judges in support of niether party, FILED. Service date 02/01/2012 by CM/ECF. [515202] [11-4416]--[Edited 02/15/2012 by MR]
34 pg, 98.2 KB
- 02/01/2012 103 AMICUS BRIEF, for National Legal Aid & Defender Association and the Bronx Defenders in support of defendant-appellant, FILED. Service date 02/01/2012 by CM/ECF. [515288] [11-4416]--[Edited 02/15/2012 by MR]
24 pg, 153.69 KB
- 02/02/2012 107 MOTION ORDER, dated 02/02/2012, granting appellant's motion to amend caption to remove Danielle Chiesi [95] , FILED. [516187][107] [11-4416]
1 pg, 51.2 KB
- 02/03/2012 108 NOTICE OF APPEARANCE AS AMICUS COUNSEL, on behalf of, Amicus Curiae Nat'l Legal Aid & Assoc. and the Bronx Defenders, FILED. Service date 02/03/2012 by CM/ECF. [516629] [11-4416]--[Edited 10/10/2012 by KS]
1 pg, 57.91 KB
- 02/03/2012 109 NEW PARTY, Amicus Curiae Nat'l Legal Aid & Assoc. and the Bronx Defenders, ADDED.[516656] [11-4416]
- 03/27/2012 117 NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee USA United States of America, FILED. Service date 03/27/2012 by CM/ECF. [563775] [11-4416]
1 pg, 68.83 KB
- 03/30/2012 118 ATTORNEY, Andrew Fish for Appellee United States of America, in case 11-4416, [117], ADDED.[567213] [11-4416]
- 04/10/2012 119 MOTION, to file oversized brief, on behalf of Appellee USA United States of America, FILED. Service date 04/10/2012 by CM/ECF. [576052] [11-4416]
6 pg, 147.43 KB
- 04/20/2012 124 MOTION ORDER, granting motion to file oversized brief [119] filed by Appellee USA United States of America, by PWH, FILED. [586601][124] [11-4416]
1 pg, 154.18 KB
- 04/25/2012 125 BRIEF, on behalf of Appellee USA United States of America, FILED. Service date 04/25/2012 by CM/ECF. [590376] [11-4416]
85 pg, 389.8 KB
- 04/28/2012 128 ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Mr. Reed Michael Brodsky, Esq. for Appellee USA United States of America, FILED. Service date 04/28/2012 by CM/ECF. [593556] [11-4416]
- 04/30/2012 129 DEFECTIVE DOCUMENT, Oral Argument Statement, [128], on behalf of Appellee United States of America, FILED.[593829] [11-4416]
2 pg, 57.34 KB
- 05/02/2012 131 MOTION, to extend time, on behalf of Appellant Raj Rajaratnam, FILED. Service date 05/02/2012 by CM/ECF. [596919] [11-4416]
5 pg, 154.75 KB
- 05/03/2012 134 ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Mr. Reed Michael Brodsky, Esq. for Appellee USA United States of America, FILED. Service date 05/03/2012 by CM/ECF. [599239] [11-4416]
1 pg, 64.61 KB
- 05/04/2012 135 LETTER, on behalf of Appellee USA United States of America, RECEIVED. Service date 05/04/2012 by CM/ECF.[600323] [11-4416]
2 pg, 102.07 KB


05/07/2012  [139](#) MOTION ORDER, granting motion to extend time [\[131\]](#) filed by Appellant Raj Rajaratnam, FILED. [601126] [139] [11-4416]
1 pg, 41.19 KB


05/08/2012  [140](#) MOTION, to file oversized brief, on behalf of Appellant Raj Rajaratnam, FILED. Service date 05/08/2012 by CM/ECF. [603395] [11-4416]
4 pg, 85.75 KB


05/09/2012  [141](#) ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Ms. Patricia Ann Millett for Appellant Raj Rajaratnam, FILED. Service date 05/09/2012 by CM/ECF. [604130] [11-4416]
1 pg, 64.86 KB


05/14/2012  [147](#) MOTION ORDER, granting motion to file oversized brief [\[140\]](#) filed by Appellant Raj Rajaratnam, by RCW, FILED. [608631][147] [11-4416]
1 pg, 144.37 KB


05/16/2012  [148](#) REPLY BRIEF, on behalf of Appellant Raj Rajaratnam, FILED. Service date 05/16/2012 by CM/ECF. [611812] [11-4416]
46 pg, 269.99 KB


05/18/2012  [149](#) CURED DEFECTIVE Oral Argument Statement, [\[134\]](#), on behalf of Appellee USA United States of America, FILED.[613612] [11-4416]


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4

General Docket
Court of Appeals, 2nd Circuit

Court of Appeals Docket #: 11-3591 United States of America v. Goffer (Goldfarb) Appeal From: SDNY (NEW YORK CITY) Fee Status: Paid		Docketed: 09/06/2011 Termed: 04/10/2012																									
Case Type Information: 1) Criminal 2) Direct Criminal 3) none																											
Originating Court Information: District: 0208-1 : <u>1:10-cr-56-3</u> Trial Judge: Richard J. Sullivan, U.S. District Judge Date Filed: 01/21/2010 Date Order/Judgment: 08/22/2011																											
		Date NOA Filed: 09/02/2011 Date Rec'd COA: 09/06/2011																									
Prior Cases: None																											
Current Cases: <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:15%;"></th> <th style="width:15%;">Lead</th> <th style="width:15%;">Member</th> <th style="width:15%;">Start</th> <th style="width:15%;">End</th> </tr> </thead> <tbody> <tr> <td>Consolidated</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>11-3591</td> <td><u>11-3778</u></td> <td>09/20/2011</td> <td></td> </tr> <tr> <td></td> <td>11-3591</td> <td><u>11-4193</u></td> <td>10/04/2011</td> <td></td> </tr> <tr> <td></td> <td>11-3591</td> <td><u>11-4409</u></td> <td>10/24/2011</td> <td></td> </tr> </tbody> </table>				Lead	Member	Start	End	Consolidated						11-3591	<u>11-3778</u>	09/20/2011			11-3591	<u>11-4193</u>	10/04/2011			11-3591	<u>11-4409</u>	10/24/2011	
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	11-3591	<u>11-4409</u>	10/24/2011																								
Panel Assignment: Not available																											

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United States of America,

Appellee,

v.



























Zvi Goffer, Craig Drimal, Michael Kimelman,

Defendants - Appellants,



























Jason Goldfarb, Arthur Cutillo, Emanuel Goffer, David Plate,

Defendants.

09/06/2011	1 50 pg, 231.71 KB	NOTICE OF CRIMINAL APPEAL, with district court docket, on behalf of Appellant Jason Goldfarb, FILED. [385513] [11-3591]
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09/13/2011	5 1 pg, 177.8 KB	PAYMENT OF DOCKETING FEE, on behalf of Appellant Jason Goldfarb, district court receipt # 465401016143, FILED.[392380] [11-3591]
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12/15/2011	 71 1 pg, 3.75 KB	NEW CASE MANAGER, Frank Perez, ASSIGNED.[474034] [11-4193]
12/15/2011	 72 1 pg, 3.76 KB	NEW CASE MANAGER, Frank Perez, ASSIGNED.[474039] [11-4409]
01/06/2012	 73 4 pg, 360.63 KB	MOTION, to file oversized brief, on behalf of Appellant Michael Kimelman in 11-4409, 11-3591, FILED. Service date 01/06/2012 by email, CM/ECF. [491185] [11-4409, 11-3591]
01/10/2012	 75 1 pg, 39.03 KB	SO-ORDERED SCHEDULING NOTIFICATION, setting Appellant Michael Kimelman Brief due date as 01/23/2012; Joint Appendix due date as 01/23/2012, FILED.[492629] [11-3591]
01/11/2012	 79 1 pg, 39.1 KB	SO-ORDERED SCHEDULING NOTIFICATION, setting Appellant Zvi Goffer Brief due date as 01/24/2012; Joint Appendix due date as 01/24/2012, FILED.[495032] [11-3591]
01/11/2012	 80 1 pg, 39.01 KB	SO-ORDERED SCHEDULING NOTIFICATION, setting Appellant Craig Drimal Brief due date as 01/31/2012; Joint Appendix due date as 01/31/2012, FILED.[495056] [11-3591]
01/12/2012	 82 1 pg, 17.49 KB	MOTION ORDER, granting Appellant Kimelman's motion to file an oversized brief not to exceed 25,000 words 73 , by SLC, FILED. [496564][82] [11-3591]













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01/23/2012	 85 125 pg, 2.08 MB	BRIEF & SPECIAL APPENDIX, on behalf of Appellant Michael Kimelman in 11-3591, 11-4409, FILED. Service date 01/23/2012 by CM/ECF, hand delivery. [505075] [11-3591, 11-4409]
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01/23/2012	 87 306 pg, 42.03 MB	JOINT APPENDIX, volume 2 of 13, on behalf of Appellant Michael Kimelman, FILED. Service date 01/23/2012 by hand delivery, CM/ECF, 3rd party.[505112] [11-3591]
01/23/2012	 88 261 pg, 52.13 MB	JOINT APPENDIX, volume 3 of 13, on behalf of Appellant Michael Kimelman, FILED. Service date 01/23/2012 by CM/ECF, 3rd party, hand delivery.[505146] [11-3591]
01/23/2012	 89 306 pg, 54.15 MB	JOINT APPENDIX, volume 4 of 13, on behalf of Appellant Michael Kimelman, FILED. Service date 01/23/2012 by CM/ECF, hand delivery, 3rd party.[505161] [11-3591]
01/23/2012	 90 271 pg, 646.2 KB	JOINT APPENDIX, volume 5 of 13, on behalf of Appellant Michael Kimelman, FILED. Service date 01/23/2012 by 3rd party, CM/ECF, hand delivery.[505163] [11-3591]
01/23/2012	 91 305 pg, 684.58 KB	JOINT APPENDIX, volume 6 of 13, on behalf of Appellant Michael Kimelman, FILED. Service date 01/23/2012 by CM/ECF, hand delivery, 3rd party.[505164] [11-3591]
01/23/2012	 92 275 pg, 716.95 KB	JOINT APPENDIX, volume 7 of 13, on behalf of Appellant Michael Kimelman, FILED. Service date 01/23/2012 by CM/ECF, 3rd party, hand delivery.[505167] [11-3591]
01/23/2012	 93 282 pg, 719.85 KB	JOINT APPENDIX, volume 8 of 13, on behalf of Appellant Michael Kimelman, FILED. Service date 01/23/2012 by hand delivery, CM/ECF, 3rd party.[505168] [11-3591]
01/23/2012	 94 315 pg, 1.36 MB	JOINT APPENDIX, volume 9 of 13, on behalf of Appellant Michael Kimelman, FILED. Service date 01/23/2012 by hand delivery, CM/ECF, 3rd party.[505169] [11-3591]
01/23/2012	 95 328 pg, 17.44 MB	JOINT APPENDIX, volume 10 of 13, on behalf of Appellant Michael Kimelman, FILED. Service date 01/23/2012 by CM/ECF, 3rd party, hand delivery.[505175] [11-3591]
01/23/2012	 96 267 pg, 6.24 MB	JOINT APPENDIX, volume 11 of 13, on behalf of Appellant Michael Kimelman, FILED. Service date 01/23/2012 by 3rd party, hand delivery, CM/ECF.[505178] [11-3591]
01/23/2012	 97 282 pg, 35.75 MB	JOINT APPENDIX, volume 12 of 13, on behalf of Appellant Michael Kimelman, FILED. Service date 01/23/2012 by 3rd party, hand delivery, CM/ECF.[505181] [11-3591]
01/23/2012	 98 287 pg, 27.6 MB	JOINT APPENDIX, volume 13 of 13, on behalf of Appellant Michael Kimelman, FILED. Service date 01/23/2012 by CM/ECF, 3rd party, hand delivery.[505183] [11-3591]
01/23/2012	 101	SEALED PRE-SENTENCE REPORT, on behalf of Appellant Michael Kimelman, RECEIVED.[505246] [11-3591]
01/24/2012	 106 1 pg, 152.8 KB	ORDER, setting Appellant brief due date as 03/05/2012, for Appellant Jason Goldfarb failure to submit a scheduling notification, FILED.[505903] [11-3591]
01/24/2012	 107 74 pg, 3.16 MB	BRIEF & SPECIAL APPENDIX, on behalf of Appellant Zvi Goffer in 11-3591, FILED. Service date 01/24/2012 by hand delivery, CM/ECF. [506516] [11-4193, 11-3591]
01/24/2012	 108 262 pg, 9.08 MB	JOINT APPENDIX, volume 1 of 4, on behalf of Appellant Zvi Goffer, FILED. Service date 01/24/2012 by CM/ECF, hand delivery.[506519] [11-3591]
01/24/2012	 109 262 pg, 6.31 MB	JOINT APPENDIX, volume 2 of 4, on behalf of Appellant Zvi Goffer, FILED. Service date 01/24/2012 by CM/ECF, hand delivery.[506522] [11-3591]
01/24/2012	 110 262 pg, 7.3 MB	JOINT APPENDIX, volume 3 of 4, on behalf of Appellant Zvi Goffer, FILED. Service date 01/24/2012 by CM/ECF, hand delivery.[506523] [11-3591]
01/24/2012	 111 263 pg, 17.16 MB	JOINT APPENDIX, volume 4 of 4, on behalf of Appellant Zvi Goffer, FILED. Service date 01/24/2012 by CM/ECF, hand delivery.[506526] [11-3591]
01/24/2012	 112 74 pg, 3.16 MB	BRIEF & SPECIAL APPENDIX, on behalf of Appellant Zvi Goffer, FILED. Service date 01/24/2012 by hand delivery, CM/ECF. [506529] [11-3591]
01/24/2012	 114	SEALED PRE-SENTENCE REPORT, on behalf of Appellant Zvi Goffer, RECEIVED.[507481] [11-3591]
02/15/2012	 122 1 pg, 40.58 KB	ORDER, dated 02/15/2012, setting Appellant Drimal Brief due date as 03/07/2012, FILED.[525708] [11-3591]

03/08/2012	 124 17 pg, 7.34 MB	NOTICE OF APPEARANCE AS SUBSTITUTE COUNSEL, on behalf of Appellant Craig Drimal in 11-3778, FILED. Service date 03/07/2012 by US mail, email, CM/ECF. [546273] [11-3778, 11-3591, 11-4193, 11-4409]
03/08/2012	 125	NOTICE OF APPEARANCE AS SUBSTITUTE COUNSEL, on behalf of Appellant Craig Drimal in 11-3778, FILED. Service date 03/07/2012 by CM/ECF, email, US mail. [546297] [11-3778, 11-3591]
03/08/2012	 126	ATTORNEY, Arlene Villamia-Drimal for Craig Drimal, in case 11-3778 , [124], ADDED.[546338] [11-3778]
03/08/2012	 127	ATTORNEY, Arlene Villamia-Drimal for Craig Drimal, in case 11-3591 , [125], ADDED.[546354] [11-3591]
03/08/2012	 130 2 pg, 66.4 KB	DEFECTIVE DOCUMENT, Notice of appearance form and motions, [125], on behalf of Appellant Craig Drimal in 11-3778, FILED.[546396] [11-3591, 11-3778]
03/09/2012	 131 10 pg, 706.52 KB	MOTION, for extension of time, on behalf of Appellant Craig Drimal in 11-3778, FILED. Service date 03/06/2012 by US mail, email. [547759] [11-3778, 11-3591]--[Edited 03/13/2012 by FP]
03/09/2012	 132 10 pg, 706.52 KB	MOTION, for extension of time, on behalf of Appellant Craig Drimal in 11-3778, FILED. Service date 03/06/2012 by email, US mail. [547775] [11-3778, 11-3591]--[Edited 03/13/2012 by FP]
03/09/2012	 133 6 pg, 313.6 KB	MOTION, for admission pro hac vice, on behalf of Appellant Craig Drimal in 11-3778, FILED. Service date 03/06/2012 by email, US mail. [547804] [11-3778, 11-3591]
03/09/2012	 134	CURED DEFECTIVE MOTIONS [133], [132], [131], on behalf of Appellant Craig Drimal, FILED.[547994] [11-3591]
03/09/2012	 135	CURED DEFECTIVE MOTIONS [133], [132], [131], on behalf of Appellant Craig Drimal, FILED.[548015] [11-3778]
03/09/2012	 137 6 pg, 383.19 KB	MOTION, for admission pro hac vice, on behalf of Appellant Craig Drimal in 11-3778, FILED. Service date 03/06/2012 by US mail, email. [548039] [11-3591, 11-3778, 11-4193, 11-4409]
03/09/2012	 138 15 pg, 5.56 MB	MOTION, for extension of time, on behalf of Appellant Craig Drimal in 11-3591, 11-3778, FILED. Service date 03/07/2012 by email, CM/ECF, US mail. [548049] [11-3591, 11-3778, 11-4193, 11-4409]--[Edited 03/13/2012 by FP]
03/16/2012	 147 1 pg, 158.34 KB	MOTION ORDER, granting motion for admission pro hac vice [137], by RR, FILED. [554192][147] [11-3591]
03/16/2012	 148 1 pg, 19.89 KB	MOTION ORDER, granting motion for extension of time [138] filed by Appellant Craig Drimal, by RKW, FILED. [554200][148] [11-3591]
03/16/2012	 149 1 pg, 39.92 KB	ORDER, dated 03/16/2012, dismissing appeal by March 30, 2012, unless appellant Jason Goldfarb, submits a brief and appendix, FILED.[554252] [11-3591]
04/10/2012	 150 1 pg, 322.06 KB	SCHEDULING NOTIFICATION, on behalf of Appellee USA United States of America in 11-3591, 11-3778, informing Court of proposed due date 07/09/2012, RECEIVED. Service date 04/10/2012 by CM/ECF. [575702] [11-3591, 11-3778, 11-4193, 11-4409]
04/10/2012	 154 2 pg, 69.3 KB	DEFECTIVE DOCUMENT, APPENDIX, [575417-2], on behalf of Appellant Craig Drimal, FILED.[576035] [11-3778]
04/10/2012	 155 1 pg, 38.94 KB	SO-ORDERED SCHEDULING NOTIFICATION, setting Appellee USA United States of America Brief due date as 07/09/2012, FILED.[576072] [11-3591]
04/10/2012	 156 1 pg, 37.6 KB	NEW CASE MANAGER, Margaret Lain, ASSIGNED.[576081] [11-3591]
04/10/2012	 157	ORDER, [149] appeal dismissed for Appellant Jason Goldfarb failure to file brief and appendix, EFFECTIVE.[576085] [11-3591]
04/12/2012	 158	BRIEF, on behalf of Appellant Craig Drimal, FILED. Service date 04/11/2012 by CM/ECF.[579030] [11-3591]
04/12/2012	 159	BRIEF & APPENDIX, on behalf of Appellant Craig Drimal, FILED. Service date 04/12/2012 by CM/ECF. [579118] [11-3591]
04/13/2012	 160	BRIEF & APPENDIX, on behalf of Appellant Craig Drimal, FILED. Service date 04/12/2012 by CM/ECF. [579119] [11-3591]
04/13/2012	 161	BRIEF & APPENDIX, on behalf of Appellant Craig Drimal, FILED. Service date 04/12/2012 by CM/ECF. [579120] [11-3591]
04/17/2012	 164 1 pg, 3.75 KB	NEW CASE MANAGER, Frank Perez, ASSIGNED.[582534] [11-3591]
04/27/2012	 165	BRIEF & SPECIAL APPENDIX, on behalf of Appellant Craig Drimal in 11-3591, 11-3778, FILED. Service date 04/27/2012 by CM/ECF. [592947] [11-3591, 11-3778, 11-4193, 11-4409]

04/30/2012	166 1 pg, 292.7 KB	CERTIFIED COPY OF ORDER, dated 03/16/2012, disposing of the appeal to SDNY, ISSUED.[Mandate] [594129] [11-3591]
05/04/2012	167	BRIEF & SPECIAL APPENDIX, on behalf of Appellant Craig Drimal in 11-3778, FILED. Service date 05/04/2012 by CM/ECF. [599945] [11-3591, 11-3778, 11-4193, 11-4409]
05/08/2012	168 2 pg, 57.96 KB	DEFECTIVE DOCUMENT, BRIEF and APPENDIX, [158], [159], [160], [161], [165], [167], on behalf of Appellant Craig Drimal in 11-3591, FILED.[602848] [11-3591, 11-3778]
05/10/2012	169 7 pg, 280.75 KB	MOTION, to extend time, to waive a requirement, for assignment of pro bono counsel, on behalf of Appellant Craig Drimal in 11-3778, 11-3591, FILED. Service date 05/10/2012 by CM/ECF. [604975] [11-3778, 11-3591, 11-4193, 11-4409]
05/14/2012	173 1 pg, 146.6 KB	MOTION ORDER, granting motion to extend time [169] filed by Appellant Craig Drimal in 11-3591; filed by Appellant Craig Drimal in 11-3591, FILED. [608759][173] [11-3591, 11-3778]
05/15/2012	174	BRIEF & SPECIAL APPENDIX, on behalf of Appellant Craig Drimal in 11-3591, 11-3778, FILED. Service date 05/15/2012 by CM/ECF, fax. [610064] [11-3591, 11-3778, 11-4193, 11-4409]
05/15/2012	175	BRIEF & SPECIAL APPENDIX, on behalf of Appellant Craig Drimal in 11-3591, 11-3778, FILED. Service date 05/15/2012 by CM/ECF. [610165] [11-3591, 11-3778, 11-4193, 11-4409]
05/15/2012	176	APPENDIX, volume 1 of 4, on behalf of Appellant Craig Drimal, FILED. Service date 05/15/2012 by CM/ECF.[610189] [11-3591]
05/15/2012	177	APPENDIX, volume 2 of 4, on behalf of Appellant Craig Drimal, FILED. Service date 05/15/2012 by CM/ECF.[610194] [11-3591]
05/15/2012	178	APPENDIX, volume 3 of 4, on behalf of Appellant Craig Drimal, FILED. Service date 05/15/2012 by CM/ECF.[610199] [11-3591]
05/15/2012	179	APPENDIX, volume 4 of 4, on behalf of Appellant Craig Drimal, FILED. Service date 05/15/2012 by CM/ECF.[610203] [11-3591]
05/16/2012	181 2 pg, 57.73 KB	DEFECTIVE DOCUMENT, BRIEF & APPENDIX, [179], [178], [177], [176], [175], on behalf of Appellant Craig Drimal, FILED.[611483] [11-3591]
05/16/2012	182	BRIEF & SPECIAL APPENDIX, on behalf of Appellant Craig Drimal in 11-3591, 11-3778, FILED. Service date 05/16/2012 by CM/ECF. [612069] [11-3591, 11-3778, 11-4193, 11-4409]
05/16/2012	183	APPENDIX, volume 1 of 3, on behalf of Appellant Craig Drimal, FILED. Service date 05/16/2012 by CM/ECF.[612070] [11-3591]
05/16/2012	184	APPENDIX, volume 3 of 3, on behalf of Appellant Craig Drimal, FILED. Service date 05/16/2012 by CM/ECF.[612071] [11-3591]
05/21/2012	186 2 pg, 65.22 KB	DEFECTIVE DOCUMENT, BRIEF and APPENDIX, [184], [183], [182], on behalf of Appellant Craig Drimal in 11-3591, FILED.[615146] [11-3591, 11-3778]
05/24/2012	191 1 pg, 39.17 KB	STRIKE ORDER, striking Appellant Craig Drimal in 11-3591 BRIEF and APPENDIX [186] from the docket, FILED.[619763] [11-3591, 11-3778]
05/25/2012	192 1 pg, 40.56 KB	ORDER, dated 05/25/2012, dismissing appeal by 6/08/2012, unless appellant Craig Drimal submits a brief and appendix , FILED.[620094] [11-3778, 11-3591]--[Edited 05/25/2012 by FP]
06/04/2012	193	CORRECTED APPENDIX, on behalf of Appellant Craig Drimal, FILED. Service date 06/04/2012 by CM/ECF. [626644] [11-3591]
06/04/2012	194	APPENDIX, volume 1 of 3, on behalf of Appellant Craig Drimal, FILED. Service date 06/04/2012 by CM/ECF.[626699] [11-3591]
06/13/2012	196 2 pg, 57.71 KB	DEFECTIVE DOCUMENT, BRIEF and APPENDIX, [194], [193], [174], on behalf of Appellant Craig Drimal in 11-3591, FILED.[635654] [11-3591, 11-3778]
06/21/2012	201 1 pg, 39.92 KB	STRIKE ORDER, striking Appellant Craig Drimal in 11-3778 BRIEF and APPENDIX [196] from the docket, FILED.[643459] [11-3591, 11-3778]
06/21/2012	202 1 pg, 40.54 KB	ORDER, dismissing appeal by 07/05/2012 unless appellant Craig Drimal submits a brief and appendix, FILED.[643484] [11-3591, 11-3778]
06/27/2012	203 51 pg, 1.23 MB	BRIEF & SPECIAL APPENDIX, on behalf of Appellant Craig Drimal in 11-3591, 11-3778, FILED. Service date 06/27/2012 by CM/ECF. [649403] [11-3591, 11-3778, 11-4193, 11-4409]
06/27/2012	204 299 pg, 1.82 MB	APPENDIX, volume 1 of 3, on behalf of Appellant Craig Drimal, FILED. Service date 06/27/2012 by CM/ECF.[649405] [11-3591]
06/27/2012	205 287 pg, 1.24 MB	APPENDIX, volume 2 of 3, on behalf of Appellant Craig Drimal, FILED. Service date 06/27/2012 by CM/ECF.[649406] [11-3591]

06/27/2012	206 259 pg, 1.22 MB	APPENDIX, volume 3 of 3, on behalf of Appellant Craig Drimal, FILED. Service date 06/07/2012 by CM/ECF.[649407] [11-3591]
07/02/2012	208 1 pg, 101.44 KB	SCHEDULING NOTIFICATION, on behalf of Appellee USA United States of America in 11-3591, 11-3778, informing Court of proposed due date 07/23/2012, RECEIVED. Service date 07/02/2012 by CM/ECF. [652507] [11-3591, 11-3778, 11-4193, 11-4409]
07/02/2012	212 1 pg, 38.93 KB	SO-ORDERED SCHEDULING NOTIFICATION, setting Appellee USA United States of America Brief due date as 07/23/2012, FILED.[652720] [11-3591]
07/23/2012	220 120 pg, 444.76 KB	BRIEF, on behalf of Appellee USA United States of America, FILED. Service date 07/23/2012 by CM/ECF. [671202] [11-3591]
07/23/2012	221 1 pg, 124.41 KB	ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Mr. Andrew Fish for Appellee USA United States of America in 11-3591, 11-3778, FILED. Service date 07/23/2012 by CM/ECF. [671265] [11-3591, 11-3778, 11-4193, 11-4409]
07/24/2012	222 1 pg, 57.29 KB	ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Mr. Michael S Sommer, Esq. for Appellant Michael Kimelman in 11-4409, FILED. Service date 07/24/2012 by CM/ECF. [671771] [11-3591, 11-4409]
07/26/2012	226 1 pg, 120.14 KB	ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Arlene Villamia-Drimal, Esq. for Appellant Craig Drimal in 11-3591, 11-3778, FILED. Service date 07/26/2012 by CM/ECF. [674792] [11-3591, 11-3778, 11-4193, 11-4409]
07/26/2012	227 1 pg, 1.45 MB	ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Arlene Villamia-Drimal, Esq. for Appellant Craig Drimal in 11-3591, 11-3778, FILED. Service date 07/26/2012 by CM/ECF. [674889] [11-3591, 11-3778, 11-4193, 11-4409]
07/30/2012	228 1 pg, 67.61 KB	ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Mr. Alexander Martin Dudelson, Esq. for Appellant Zvi Goffer in 11-3591, 11-4193, FILED. Service date 07/30/2012 by CM/ECF. [677287] [11-3591, 11-4193]
08/02/2012	229 4 pg, 134.25 KB	MOTION, to file oversized brief, on behalf of Appellant Michael Kimelman in 11-3591, 11-4409, FILED. Service date 08/02/2012 by CM/ECF. [680923] [11-3591, 11-4409]
08/03/2012	232 4 pg, 82.79 KB	MOTION, to file supplemental appendix, on behalf of Appellant Michael Kimelman in 11-3591, 11-4409, FILED. Service date 08/03/2012 by CM/ECF. [682263] [11-3591, 11-4409]
08/06/2012	238 1 pg, 160.57 KB	MOTION ORDER, granting motion to file a supplemental appendix [232], filed by Appellant Michael Kimelman in 11-3591, FILED. [683066][238] [11-3591, 11-3778, 11-4193, 11-4409]
08/06/2012	239 1 pg, 19.85 KB	MOTION ORDER, granting motion to file an oversized reply brief of up to 10,000 words, [229], filed by Appellant Michael Kimelman, by GEL, FILED. [683077][239] [11-3591, 11-3778, 11-4193, 11-4409]
08/06/2012	240 65 pg, 805.53 KB	REPLY BRIEF, on behalf of Appellant Michael Kimelman, FILED. Service date 08/06/2012 by CM/ECF. [683972] [11-3591]
08/06/2012	241 66 pg, 829.36 KB	REPLY BRIEF, on behalf of Appellant Michael Kimelman, FILED. Service date 08/06/2012 by CM/ECF. [683973] [11-3591]
09/10/2012	244 1 pg, 68.42 KB	LETTER, on behalf of Appellant Michael Kimelman, RECEIVED. Service date 09/10/2012 by CM/ECF. [714943] [11-3591]
10/03/2012	247 1 pg, 36.74 KB	LETTER, on behalf of Appellant Michael Kimelman, RECEIVED. Service date 10/03/2012 by CM/ECF. [737864] [11-3591]
12/07/2012	249	CASE CALENDARING, for the week of 02/04/2013, B, PROPOSED.[789311] [11-3778, 11-4193, 11-4409]
12/12/2012	250 2 pg, 103.42 KB	FRAP 28(j) LETTER, dated 12/12/2012, on behalf of Appellee USA United States of America, RECEIVED. Service date 12/12/2012 by CM/ECF.[793492] [11-3591]
01/22/2013	252 2 pg, 125.68 KB	LETTER, on behalf of Appellant Michael Kimelman, RECEIVED. Service date 01/22/2013 by CM/ECF. [822183] [11-3591]
01/29/2013	255	CASE CALENDARING, for the week of 03/11/2013, PROPOSED.[829790] [11-3778, 11-4193, 11-4409]
02/05/2013	257	CASE CALENDARING, for the week of 03/11/2013, PROPOSED.[837769] [11-3778, 11-4193, 11-4409]
02/13/2013	258	CASE CALENDARING, for argument on 03/11/2013, SET.[844698] [11-3778, 11-3591, 11-4193, 11-4409]
02/14/2013	259 2 pg, 46.38 KB	ARGUMENT NOTICE, to attorneys/parties, TRANSMITTED.[845864] [11-3778, 11-3591, 11-4193, 11-4409]
02/14/2013	260 1 pg, 61.64 KB	NOTICE OF HEARING DATE ACKNOWLEDGMENT, on behalf of Appellant Michael Kimelman, FILED. Service date 02/14/2013 by CM/ECF. [846123] [11-3591]

02/22/2013	262	SEALED PRE-SENTENCE REPORT, on behalf of Emanuel Goffer and Appellant Craig Drimal and Michael Kimelman in 11-3591, RECEIVED.[854394] [11-3591, 11-3778, 11-4193, 11-4409]
02/25/2013	264 1 pg, 43.45 KB	**REVISED** ARGUMENT NOTICE, to attorneys/parties, TRANSMITTED.[855470] [11-3778, 11-3591, 11-4193, 11-4409]
02/25/2013	265 1 pg, 67.79 KB	NOTICE OF HEARING DATE ACKNOWLEDGMENT, on behalf of Appellee USA United States of America, FILED. Service date 02/25/2013 by CM/ECF. [855547] [11-3591]
03/11/2013	266	CASE, before JMW, RDS, RCW, C.JJ., HEARD.[870219] [11-3778, 11-3591, 11-4193, 11-4409]
03/11/2013	267 1 pg, 70.8 KB	NOTICE OF HEARING DATE ACKNOWLEDGMENT, on behalf of Appellant Zvi Goffer, FILED. Service date 03/11/2013 by CM/ECF, email. [871023] [11-3591]
03/13/2013	268	ATTORNEY, Michael Levy, 265 , in place of attorney Katherine Failla, SUBSTITUTED.[873292] [11-3591, 11-3778, 11-4193, 11-4409]
03/19/2013	269 1 pg, 55.97 KB	REQUEST FOR ARGUMENT CD, with fee, RECEIVED.[894950] [11-4409, 11-3591, 11-3778, 11-4193]
03/28/2013	270	ARGUMENT CD, TRANSMITTED.[894951] [11-4409, 11-3591, 11-3778, 11-4193]
04/29/2013	271 5 pg, 370.63 KB	PRO SE DOCUMENTS, to attorney Alexander Dudelson (for appellant Zvi Goffer), SENT.[921606] [11-3591]
07/01/2013	273 45 pg, 167.1 KB	OPINION, the district court judgment is affirmed, by RKW, RDS, RCW, FILED.[979098] [11-3778, 11-4193, 11-4409]
07/01/2013	274 7 pg, 32.98 KB	NON- DISPOSITIVE SUMMARY ORDER, FILED.[979121] [11-3778, 11-3591, 11-4193, 11-4409]
07/01/2013	275 1 pg, 36.97 KB	NEW CASE MANAGER, Connie Mazariego, ASSIGNED.[979123] [11-3778, 11-3591, 11-4193, 11-4409]
07/01/2013	277	CAPTION, in light of Opinion dated 07/01/2013, AMENDED.[979153] [11-3591, 11-3778, 11-4193, 11-4409]
07/01/2013	278 7 pg, 287.55 KB	CERTIFIED ORDER, dated 07/01/2013, to SNDY (NEW YORK CITY), ISSUED.[979240] [11-3591, 11-3778, 11-4193, 11-4409]
07/01/2013	280 1 pg, 158.12 KB	JUDGMENT, FILED.[979787] [11-3591, 11-3778, 11-4193, 11-4409]
07/11/2013	281 2 pg, 82.08 KB	PAPERS, motion to request an extension of time to file petition for rehearing and rehearing en banc, RECEIVED.[987961] [11-4193]
07/12/2013	282 3 pg, 120.39 KB	PRO SE DOCUMENTS, to attorney Alexander Martin Dudelson, Esq., SENT.[987982] [11-4193]
07/25/2013	284 1 pg, 33.1 KB	ERRATA SHEET, for Opinion dated 07/01/2013, by RCW, FILED.[1000067] [11-3591, 11-3778, 11-4193, 11-4409]
07/25/2013	285 43 pg, 87.76 KB	CORRECTED OPINION, reflecting changes made by the errata sheet filed on 07/25/2013, by RCW, RDS, RCW, FILED.[1000115] [11-3591, 11-3778, 11-4193, 11-4409]
07/25/2013	286	MOTION, to be relieved as attorney, on behalf of Appellant Zvi Goffer in 11-3591, 11-4193, FILED. Service date 07/25/2013 by CM/ECF, US mail. [1000260] [11-3591, 11-3778, 11-4193, 11-4409]
07/26/2013	288 2 pg, 55.92 KB	DEFECTIVE DOCUMENT, motion to be relieved as attorney, [286], on behalf of Appellant Zvi Goffer in 11-3591, FILED.[1000483] [11-3591, 11-4193]
07/26/2013	293 72 pg, 2.5 MB	PAPERS, Motion for bail pending appeal, RECEIVED.[1002537] [11-4193, 11-3591]
07/29/2013	289 4 pg, 116.69 KB	MOTION, to be relieved as attorney, on behalf of Appellant Zvi Goffer in 11-3591, 11-4193, FILED. Service date 07/29/2013 by CM/ECF, US mail. [1001797] [11-3591, 11-3778, 11-4193, 11-4409]
07/29/2013	290	CURED DEFECTIVE MOTION TO BE RELIEVED AS ATTORNEY 289 , on behalf of Appellant Zvi Goffer in 11-4193, FILED.[1001917] [11-4193, 11-3591]
07/29/2013	294 1 pg, 38.34 KB	PRO SE DOCUMENTS, to attorney Alexander Martin Dudelson , SENT.[1002540] [11-4193, 11-3591]
08/01/2013	297 1 pg, 159.22 KB	MOTION ORDER, granting motion to be relieved as attorney and an extension of time until 09/16/2013 to file a pro se Petition for Rehearing or Rehearing En Banc 289 filed by Appellant Zvi Goffer in 11-4193, by RCW, FILED. [1005459][297] [11-4193, 11-3591, 11-3778, 11-4409]
08/01/2013	298	ATTORNEY, Alexander Martin Dudelson, for Zvi Goffer, TERMINATED.[1005482] [11-4193, 11-3591, 11-3778, 11-4409]

08/01/2013	 300	MOTION, for bail, on behalf of Appellant Zvi Goffer in 11-4193, FILED. Service date 07/23/2013 by US mail.[1005534] [11-4193, 11-3591, 11-3778, 11-4409]
08/01/2013	 301 2 pg, 56.07 KB	DEFECTIVE DOCUMENT, motion for bail pending appeal, [300], on behalf of Appellant Zvi Goffer in 11-4193, FILED.[1005627] [11-4193, 11-3591, 11-3778, 11-4409]
08/06/2013	 302 74 pg, 2.57 MB	MOTION, for bail, on behalf of Appellant Zvi Goffer in 11-3591, FILED. Service date 07/23/2013 by US mail.[1008770] [11-4193, 11-3591]
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08/19/2013	 307	OPPOSITION TO MOTION for bail [302], on behalf of Appellee USA United States of America, FILED. Service date 08/19/2013 by CM/ECF. [1020613][307] [11-3591]
08/20/2013	 308 2 pg, 55.88 KB	DEFECTIVE DOCUMENT, opposition to motion for bail, [307], on behalf of Appellee USA United States of America, FILED.[1020876] [11-3591]
08/20/2013	 309 17 pg, 161.56 KB	OPPOSITION TO MOTION for bail [302], on behalf of Appellee USA United States of America, FILED. Service date 08/20/2013 by CM/ECF, US mail. [1021598][309] [11-3591]
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09/03/2013	 313 107 pg, 5.02 MB	PETITION FOR REHEARING/REHEARING EN BANC, on behalf of Appellant Zvi Goffer, FILED. Service date 08/26/2013 by US mail.[1037149] [11-3591]
11/04/2013	 316 1 pg, 46.23 KB	ORDER, petition for rehearing en banc denied, copy to pro se, FILED.[1082441] [11-3591, 11-3778, 11-4193, 11-4409]
11/13/2013	 317 1 pg, 411.32 KB	JUDGMENT MANDATE, ISSUED.[1090637] [11-4193, 11-3778, 11-4409]

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