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June 22, 2018

VIA FAX (202-772-9324) and FEDERAL EXPRESS

Mr. Brent J. Fields, Secretary U.S. Securities and Exchange Commission 100 F. Street, N.E. Washington, D.C. 20549 RECEIVED
JUN 25 2018
OFFICE OF THE SECRETARY

Re:

In the Matter of Frank H. Chiappone., et al Administrative Proceeding File No. 3-15514

Dear Mr. Fields:

I represent Respondent William F. Lex in the above-captioned case. Your attention is directed to M. William Munno, Esquire's letter to the Commission of June 21, 2018, indicating that the case of *Lucia v. SEC*, 585 U.S. ____ (2018) requires the dismissal of the above-captioned case, with prejudice. In addition to challenging the constitutionality of Judge Murray's appointment and conduct of the entire proceeding against Respondent Lex, Respondent Lex has remained steadfast that he did not violate any securities laws. ¹

We adopt and incorporate by reference the reasons for dismissal of this case with prejudice as set forth in Mr. Munno's June 21, 2018 letter. Given the age of events involved in this proceeding, with the last transaction alleged to have occurred 9 years ago, dismissal is the only appropriate result.

¹ See, Respondent William F. Lex's Individual Brief filed 7/17/15; Joint Brief dated 7/17/15 Addressing Certain Legal Issues In Accordance With The Commission's Order; Respondent William F. Lex's Individual Reply Brief dated 10/28/15; Joint Reply Brief dated 10/28/15 Addressing Certain Legal Issues In Accordance With The Commission's Order; Respondent William F. Lex's Letter Brief To Commission dated 1/18/18; Respondent William F. Lex's Brief In Support of Petition For Review Of Initial Decision As Amended By The March 30, 2018 Order Revising And Ratifying Prior Actions dated 5/21/18; Respondent William F. Lex's Sur Reply Brief dated 6/15/18 To Division of Enforcement's Supplemental Reply Brief (Corrected Version).

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We appreciate your consideration of this request by Respondent Lex. An original and three copies of this letter are enclosed. We respectfully request that this letter be posted on the docket.

Very truly yours,

GBA:ija Enclosures

cc: David Stoelting, Esquire (stoeltingd@sec.gov) and via Federal Express

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