## TUCZINSKI, CAVALIER & GILCHRIST, P.C.

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JUL 17 2015

OFFICE OF THE SECRETARY

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July 17, 2015

## VIA FACSIMILE & UPS OVERNIGHT FAX NO. 202-772-9324

Office of the Secretary
U.S. Securities and Exchange Commission
100 F. Street, NE
Mail Stop 1090
Washington, D.C. 20549

Re:

In the Matter of Donald J. Anthony, Jr., et al Administrative Proceeding File No. 3-15514 Chiappone Motion for Oral Argument

Dear Sir/Madam:

Enclosed please find a revised version of Frank Chiappone's Motion for Oral Argument. The prior original which was faxed to you this noming contained typographical errors. We apologize for any inconvenience and appreciate your courtesies in this matter.

Very truly yours,

TUCZINSKI, CAVAZIER & GUCHRIST, P.C.

Roland M. Cavalier

RMC:mkm

Encs.

David Stoelting, F.sq. (via UPS overnight)

Richard D. Feldman (via regular mail)

Loren Schechter, Esq. (via regular mail)

Mark J. Astarita, Esq. (via regular mail)

Gilbert B. Abramson, Esq. (via regular mail)

Matthew G. Nielsen, Esq. (via regular mail)

M. William Munno, Esq. (via regular mail)

## SECURITIES AND EXCHANGE COMMISSION RECEIVED

ADMINISTRATIVE PROCEEDING File No. 3-15514

JUL 17 2015

OFFICE OF THE SECRETARY

In the Matter of

FRANK H. CHIAPPONE, ANDREW G. GUZZETTI, WILLIAM F. LEX, THOMAS E. LIVINGSTON, BRIAN T. MAYER, and PHILIP S. RABINOVICH,

RESPONDENT FRANK H.
CHIAPPONE'S MOTION FOR
ORAL ARGUMENT

Respondents.

Pursuant to Rule 451 of the Securities and Exchange Commission's Rules of Practice, Respondent Frank H. Chiappone ("Chiappone") respectfully submits this motion for oral argument before the Commission in this matter. Rule 451 provides that oral argument is appropriate where "the presentation of facts and legal arguments in the briefs and record and the decisional process would be significantly aided by oral argument." 17 C.F.R. § 201.451(a). Rule 451 further provides that "Motions for oral argument with respect to whether to affirm all or part of an initial decision by a hearing office, shall be granted unless exceptional circumstances make oral argument impractical or inadvisable." *Id.* 

As set forth in the Joint Brief Addressing Certain Legal Issues In Accordance
With The Commission's Order, and Chiappone's Individual Brief, this was a complex case with
a number of significant legal issues. It involved the conduct of numerous Respondents over the
course of at least seven years, and the hearing itself spanned nearly one month and included
more than 500 exhibits. There is no question that oral argument would significantly aid the
decisional process here by ensuring that Chiappone may address any questions or concerns that

the Commission may have regarding the facts of this case and the significant legal issues implicated by these facts.

For these reasons, Frank A. Chiappone respectfully requests that their Motion for Oral Argument be granted.

Albany, New York July 17, 2015

FUCZINSKI, CAVALIER & GILCHRIST, P.C.

By:

Roland M. Cavalier, Esq.

54 State Street – Suite 803 Albany, NY 12201 518-463-3990

Counsel for Respondent Crank H. Chiappone