

3-15466

To whom it may concern ,

I , Mark Steven Steckler , wish to file an appeal of my license suspension with the U.S. Securities & Exchange Commision . I would also like to request a review . Thank you for your attention in this matter .



Sincerely ,

A handwritten signature in cursive script, appearing to read "Mark S. Steckler". The signature is written in dark ink and is positioned above the printed name.

Mark S. Steckler



Financial Industry Regulatory Authority

VIA FEDEX OVERNIGHT DELIVERY AND FIRST CLASS MAIL

August 20, 2013

Mark Steven Steckler



Mark Steven Steckler



Re: Bar from Association with any FINRA member (FINRA Rule 9552)
Mark Steven Steckler, CRD No. 2652674
Matter No. 2012034721701

Dear Mr. Steckler:

Please be advised that, pursuant to FINRA Rule 9552(h) and, in accordance with FINRA's Notice of Suspension letter dated May 17, 2013 and the Suspension from Association letter dated June 10, 2013, you were barred from associating with any FINRA member in any capacity on August 20, 2013.

If you seek to appeal this regulatory action to the U.S. Securities and Exchange Commission (SEC), you must file an application with the SEC at the address listed below. To comply with the SEC's rule regarding timeliness, you must file the application for review within thirty days of your receipt of this letter. Also, a copy of the application, as well as copies of all documents you file with the SEC in connection with this matter, must be sent to FINRA. The SEC and FINRA addresses are as follows:

Office of the Secretary
U.S. Securities and Exchange Commission
100 F Street, NE
Mail Stop 1090
Washington, DC 20549

Alan Lawhead, Esq.
Office of General Counsel
FINRA
1735 K Street, NW
Washington, DC 20006

Any documents provided to the SEC via facsimile or overnight mail should also be provided to FINRA by similar means.

Mark Steven Steckler

August 20, 2013

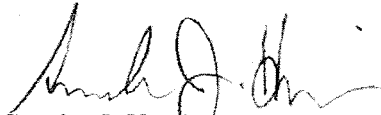
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If you file an application for review with the SEC, the application must identify the FINRA case number and set forth in summary form a brief statement of alleged errors in the determination and the supporting reasons. You must also include an address where you may be served and phone number where you may be reached during business hours. If your address or phone number changes, you must advise the SEC and FINRA. Attorneys must file a notice of appearance.

Based upon the advice of the U.S. Postal Service and law enforcement authorities, FINRA and its family of companies will no longer open or accept any mail (envelopes or packages) that does not have complete return names and addresses. Please be sure when sending mail to FINRA that your information is fully and appropriately labeled.

Questions regarding the appeal process may be directed to the Office of the Secretary at the SEC. The phone number of that office is (202) 551-5400.

Very truly yours,



Sandra J. Harris

Senior Director, Policy & Expedited Proceedings

cc: Jeff Pasquerella, District Director (District 10 – New York)



Financial Industry Regulatory Authority

VIA FEDEX OVERNIGHT DELIVERY AND FIRST CLASS MAIL

June 10, 2013

Mark Steven Steckler



Mark Steven Steckler



Re: Suspension from Association with any FINRA Member (FINRA Rule 9552)
Mark Steven Steckler, CRD No. 2652674
Matter No. 2012034721701

Dear Mr. Steckler:

Please be advised that, pursuant to FINRA Rule 9552 and in accordance with FINRA's Notice of Suspension letter dated May 17, 2013 ("Notice of Suspension"), you were suspended on June 10, 2013, from associating with any FINRA member in any capacity.

Under FINRA Rule 9552(f), you may file a written Request for Termination of the Suspension on the ground of full compliance with the Notice of Suspension. Such request must be filed with:

J. Bradley Bennett, Executive Vice President, Enforcement
c/o Sandra J. Harris, Senior Director, Policy & Expedited Proceedings
FINRA
300 South Grand Avenue, Suite 1600
Los Angeles, CA 90071

If you fail to request termination of the suspension within three (3) months of the date of the original Notice of Suspension, you will automatically be barred on **August 20, 2013** from associating with any FINRA member in any capacity. See FINRA Rule 9552(h).

Based upon the advice of the U.S. Postal Service and law enforcement authorities, FINRA and its family of companies will no longer open or accept any mail (envelopes or packages) that does not have complete return names and addresses. Please be sure when sending mail to FINRA that your information is fully and appropriately labeled.

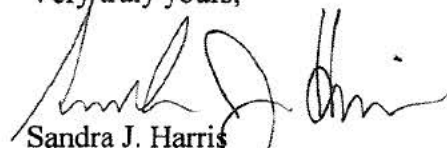
Mark Steven Steckler

June 10, 2013

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If you have any questions, or if you would like another copy of the Notice of Suspension, please contact me at (213) 613-2601 [REDACTED]

Very truly yours,



Sandra J. Harris

Senior Director, Policy & Expedited Proceedings

cc: Jeff Pasquerella, District Director (District 10 – New York)