

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

In the Matter of the Applications of
**SECURITIES INDUSTRY AND FINANCIAL
MARKETS ASSOCIATION**

and

BLOOMBERG L.P.

For Review of Actions Taken by
Various National Securities Exchanges and
National Market System Plans in Their Role as
Registered Securities Information Processors

Admin. Proc. File Nos. 3-15351;
3-15364; 3-15394; 3-15600; 3-15773;
3-15774; 3-16006; 3-16188; 3-16204;
3-16220; 3-16320; 3-16330; 3-16356;
3-16423; 3-16490; 3-16526; 3-16574;
3-16685; 3-16724; 3-16793; 3-16834;
3-16918; 3-16960; 3-17000; 3-17040;
3-17066; 3-17105; 3-17138; 3-17176;
3-17208; 3-17244; 3-17331; 3-17663;
3-17702; 3-17738; 3-17787; 3-17841;
3-17877; 3-18002; 3-18010; 3-18057;
3-18094; 3-18144; 3-18145; 3-18248;
3-18286; 3-18310; 3-18313; 3-18315;
3-18345; 3-18362; 3-18365; 3-18383;
3-18441; 3-18525; 3-18572; 3-18680

NOTICE OF VOLUNTARY DISMISSAL OF APPLICATIONS

On August 7, 2020, the Securities and Exchange Commission issued an order requesting additional briefing in light of the D.C. Circuit's rulings in *NASDAQ Stock Mkt., LLC v. SEC*, 961 F.3d 421, 424 (D.C. Cir. 2020), and *NASDAQ Stock Mkt., LLC v. SEC*, No 18-1324, 2020 WL 3406123 (D.C. Cir. June 5, 2020). See *In re SIFMA & Bloomberg, L.P.*, Release No. 89504 (Aug. 7, 2020). Specifically, the Commission asked "whether the challenges asserted in the applications for review listed in Exhibit A should be dismissed" under the D.C. Circuit's decisions. *Id.* at 3.

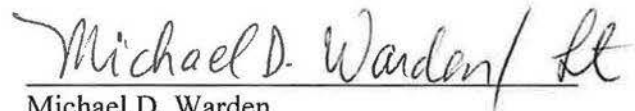
In response, the Securities Industry and Financial Markets Association ("SIFMA") now submits this notice voluntarily dismissing its applications for review listed in Exhibit A of the Commission's August 7 order. SIFMA continues to believe that the challenged fees do not comply with the requirements of the Securities Exchange Act, and it urges the Commission to exercise its authority under Section 19(b) to suspend new exchange fees that do not comply with those requirements. SIFMA applauds the Commission's recent decision to require fees proposed by

NMS plans to be approved by the Commission under Section 11A before taking effect. The same policies underlying that decision support active use of the Commission's suspension authority under Section 19(b) when exchanges file new fees relying on debunked assertions of "significant competitive forces." In light of the D.C. Circuit's decision, however, SIFMA no longer intends to pursue the applications listed in Exhibit A, and respectfully asks the Commission to dismiss them.

Dated: August 31, 2020

Respectfully submitted,

SIDLEY AUSTIN LLP

A handwritten signature in black ink that reads "Michael D. Warden" followed by a stylized flourish or initials.

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3-18441; 3-18525; 3-18572; 3-18680

CERTIFICATE OF SERVICE

I hereby certify that on August 31, 2020, I caused a copy of the foregoing Notice of Voluntary Dismissal of Applications for Review to be served on the parties listed below by First Class Mail. Pursuant to the Commission's March 18, 2020 order regarding the filing and service of papers in administrative proceedings, I also caused a copy of this filing to be served electronically at the email addresses listed below.

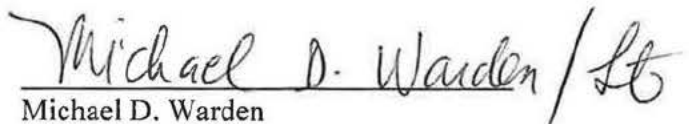
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Dated: August 31, 2020


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