

From: [Dominic O'Dierno](#)
To: [apfilings](#)
Subject: Return of my disgorgement and interest payments
Date: Thursday, April 16, 2020 2:04:39 PM
Attachments: [34-88624.pdf](#)

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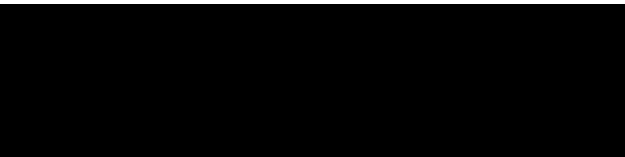
Hello,

I just received my Vacate order (see attached) and and now inquiring as to when I might expect the return of the \$45,561 in disgorgement and interest that I paid as part of the Administrative Proceeding with a Case number **3-15123**.



Since I never should have received this administrative proceeding and thus should not have paid these amounts, please send these funds back to me as soon as possible to my home address:


Dominic O'Dierno


If you require direct deposit, I am providing my banking information below:



I have a friend that got an identical Administrative Proceeding action for the exact same case - he had a much larger disgorgement and interest fee he was supposed to pay, but he claimed that he was "not able to pay" at the time, and thus never had to go out of pocket to pay these fees to the SEC (he has also received his vacate order). It was very difficult for me to make these payments, but I did, and now I need them returned.

I'm not sure if the SEC is required to pay interest on funds paid that need to be returned (and should never have been paid), but I'll leave that up to you to calculate if appropriate. If you require any specific form or document to complete this refund of my payments, please let me know. Either way, please respond to confirm you received this request and whether or not anything else is needed. If it's more convenient, I can be reached on my cell phone: 


Sincerely,
Dominic O'Dierno


paid in relation to this