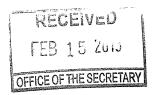


UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING File No. 3-14981



In the Matter of

Ross Mandell

Respondent.

DIVISION OF ENFORCEMENT'S MOTION FOR SUMMARY AFFIRMANCE OF THE JANUARY 3, 2013 INITIAL DECISION OF THE ADMINISTRATIVE LAW JUDGE

The Division of Enforcement, relying upon the Memorandum of Law in Support of its Motion for Summary Affirmance of the January 3, 2013 Initial Decision of the Administrative Law Judge, hereby moves for summary affirmance against Respondent Ross Mandell pursuant to Rule 411(e) of the Securities and Exchange Commission's Rules of Practice.

February 14, 2013

Respectfully submitted,

Jack Kaufman

Shannon Keyes

Securities and Exchange Commission

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