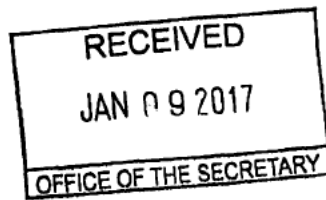


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January 4, 2017

FIRST CLASS MAIL

Office of General Counsel
United States Securities & Exchange Commission
100 F Street NE
Washington, DC 20549

Re: Eric David Wanger
Admin. Proc. File No. 3-14676

Dear Madam or Sir:

In July 2015, the U.S. Court of Appeals for the District of Columbia Circuit held that the Commission cannot retroactively apply a provision contained in the Dodd-Frank Wall Street Reform and Consumer Protection Act ("Dodd Frank") to bar an individual from associating with municipal advisors and nationally recognized statistical rating organizations based on pre-Dodd-Frank conduct. *Koch et al. v. SEC* (D.C. Cir. July 14, 2015).

Following that decision, the Commission commenced a program to correct bar orders impermissibly based on Dodd Frank amendments for pre-Dodd Frank conduct. On behalf of Mr. Wanger, my client, the paper work was submitted to the Commission to remove the impermissible language from the bar order. To date, neither I nor my client have heard from the Commission regarding the status of that removal.

Please advise when such removal from Mr. Wanger's bar order will occur.

Thank you.

Sincerely,


Thomas V. Sjoblom