



capacity with the right to apply for reinstatement after two years, and imposed on each a civil penalty of \$79,000.<sup>1</sup>

The Office of the Secretary transmitted the Motion to Vacate to the undersigned counsel for the Division on August 17, 2023. Undersigned counsel for the Division has been diligently obtaining information from within the Division, the Division of Trading and Markets, and others preparing its response to the Motion to Vacate.

Central to the issues raised by the Motion to Vacate is Petitioners' efforts to reapply for association with Newbridge in various capacities, including a supervisory or principal capacity. The undersigned is in the process of obtaining the relevant documents from FINRA through a formal request process (for certification of otherwise public documents). However, the undersigned has been informed that a handful of documents (not yet fully identified by FINRA) are not public and may need to be subpoenaed. Obtaining the nonpublic documents would require a motion for issuance of a subpoena to the Office of the Secretary and, if granted, the subpoena would have to be served and FINRA provided with sufficient time to respond. The undersigned will not know whether it must subpoena any records until the end of this week, at the earliest.

Accordingly, the Division respectfully requests that the Commission enter a Scheduling Order providing the Division until October 25, 2023 to file its responsive brief, and


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<sup>1</sup> On July 25, 2009, the Commission issued an Order Instituting Public Administrative Proceedings Pursuant to Section 8A of the Securities Act of 1933, and Sections 15(b) and 21C of the Securities Exchange Act of 1934 against Petitioners, among others. On June 9, 2009, the administrative law judge assigned to the proceeding, issued an initial decision and Order providing for the 2-year supervisory bars and penalties. The Petitioners filed a petition for review of the initial decision, which was granted on July 6, 2009 by the Office of General Counsel. Subsequently, Petitioners requested that their petition for review be withdrawn, which the Commission granted by Order dated July 23, 2010.

Petitioners' until November 9, 2023 to file their Reply to the Division's response.

September 25, 2023

Respectfully submitted,

  
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**CERTIFICATE OF SERVICE**

Pursuant to Rule 150 of the Commission's Rules of Practice, I hereby certify that the foregoing Division of Enforcement's Motion for Extension of Time to File the Division's Response to Petitioners Guy S. Amico and Scott H. Goldstein's Motion to Vacate Supervisory Bars was filed using the eFAP system and that a true and correct copy of said filing has been served on the persons entitled to notice as indicated below on this 25<sup>th</sup> day of September 2023.

**VIA Email to:**

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