

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-13099

In the Matter of	:
	:
	:
NEWBRIDGE SECURITIES	:
CORP., GUY S. AMICO,	:
SCOTT H. GOLDSTEIN,	:
ERIC M. VALLEJO, and	:
DANIEL M. KANTROWITZ,	:
	:
Respondents.	:

**DIVISION OF ENFORCEMENT’S AGREED PROPOSED BRIEFING
SCHEDULE REGARDING PETITIONERS’ GUY S. AMICO AND
SCOTT H. GOLDSTEIN’S MOTION TO VACATE SUPERVISORY BARS**

Pursuant to Rule 201.154 of Commission Rules of Practice, 17 C.F.R. §201.154, the Division of Enforcement (“Division”) respectfully requests that the Commission enter a Scheduling Order providing for the following briefing schedule in connection with the Motion to Vacate Supervisory Bars of Guy S. Amico and Scott H. Goldstein (“Motion to Vacate”), filed by Guy S. Amico and Scott H. Goldstein (collectively, “Petitioners”):

<u>The Division’s Brief in Response to the Motion to Vacate:</u>	September 25, 2023
<u>Petitioners’ Reply Brief in support of the Motion to Vacate:</u>	October 9, 2023

The Division represents that undersigned counsel has discussed the proposed scheduling order with Paul Bazil, counsel for Petitioners, who has agreed to the proposed schedule. In further support of this Motion, the Division states:

On July 25, 2009, The Commission issued an Order Instituting Public

Administrative Proceedings Pursuant to Section 8A of the Securities Act of 1933, and Sections 15(b) and 21C of the Securities Exchange Act of 1934 against Petitioners, among others. On June 9, 2009, the administrative law judge assigned to the proceeding, issued an initial decision and Order, which barred Petitioners from associating with any broker or dealer in a supervisory capacity with the right to apply for reinstatement after two years, and imposed on each a civil penalty of \$79,000. The Petitioners filed a petition for review of the initial decision, which was granted on July 6, 2009 by the Office of General Counsel. Subsequently, Petitioners requested that their petition for review be withdrawn, which the Commission granted by Order dated July 23, 2010. The Order also gave notice that the June 9, 2009, initial decision of the administrative law judge had become the final decision of the Commission with respect to Petitioners.


On June 16, 2023, Petitioners sent to the Office of the Secretary for the Commission the Motion to Vacate with exhibits, requesting that the June 9, 2009 Order be vacated as to Petitioners. The Office of the Secretary transmitted the Motion to Vacate to the undersigned counsel for the Division on August 17, 2023, who in turn needed to obtain information about the process of considering Petitioners' request.

Petitioners' Motion to Vacate is effectively a petition to vacate the Order entered against them over 14 years ago. The Division needs the time to consider the request, review the voluminous exhibits filed with the Motion to Vacate, and inform the Commission of its position regarding Petitioners' request.

Accordingly, the Division respectfully requests that the Commission enter a Scheduling Order providing the Division until September 25, 2023 to file its responsive brief, and Petitioners' until October to file their Reply to the Division's response.

August 28, 2023

Respectfully submitted,



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CERTIFICATE OF SERVICE

Pursuant to Rule 150 of the Commission's Rules of Practice, I hereby certify that the foregoing Division of Enforcement's Agreed Proposed Briefing Schedule Regarding Petitioners' Guy S. Amico and Scott H. Goldstein's Motion to Vacate Supervisory Bars was filed using the eFAP system and that a true and correct copy of said filing has been served on the persons entitled to notice as indicated below on this 28th day of August 2023.

VIA Email to:

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