

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 106650 / October 13, 2026

ADMINISTRATIVE PROCEEDING
File No. 3-22772

In the Matter of

JOHN ARTHUR HANRATTY, ESQ.

Respondent.

**ORDER INSTITUTING
ADMINISTRATIVE PROCEEDINGS
PURSUANT TO SECTION 15(b)(6) OF
THE SECURITIES EXCHANGE ACT
OF 1934 AND RULE 102(e)(2) OF THE
COMMISSION'S RULES OF
PRACTICE, MAKING FINDINGS, AND
IMPOSING REMEDIAL SANCTIONS**

I.

The Securities and Exchange Commission ("Commission") deems it appropriate and in the public interest that public administrative proceedings be, and hereby are, instituted pursuant to Section 15(b)(6) of the Securities Exchange Act of 1934 ("Exchange Act") against John Arthur Hanratty ("Respondent"). The Commission also deems it appropriate to forthwith suspend Respondent pursuant to Rule 102(e)(2) of the Commission's Rules of Practice.¹

II.

In anticipation of the institution of these proceedings, Respondent has submitted an Offer of Settlement (the "Offer") which the Commission has determined to accept. Solely for the purpose of these proceedings and any other proceedings brought by or on behalf of the Commission, or to which the Commission is a party, Respondent admits the Commission's jurisdiction over him and the subject matter of these proceedings, and the findings contained in paragraph III.2 below, and consents to the entry of this Order Instituting Administrative Proceedings Pursuant to Section 15(b)(6) of the Securities Exchange Act of 1934 and Rule 102(e)(2) of the Commission's Rules of Practice, Making Findings, and Imposing Remedial Sanctions ("Order"), as set forth below.

¹ Rule 102(e)(2) provides in pertinent part: "any person who has been convicted of a felony or a misdemeanor involving moral turpitude shall be forthwith suspended from appearing or practicing before the Commission." See 17 C.F.R. § 201.102(e)(2).

III.

On the basis of this Order and Respondent's Offer, the Commission finds that:

1. Hanratty, age 51, is a resident of Seekonk, Massachusetts. Since February 2002, Hanratty has been an attorney licensed to practice law in the State of New York and has previously held legal and compliance positions at various investment firms and financial institutions, including serving as the Chief Compliance Officer and General Counsel for a registered broker dealer. Between approximately April 2000 to August 2018, Hanratty was associated with at least five registered broker dealers. From in or about 2010 through at least in or about 2021, Hanratty was the Managing Director and Principal for Ebury Street Capital, LLC ("Ebury Street Capital"), an investment firm with a portfolio primarily comprised of municipal tax liens. In his capacity as Managing Director and Principal, Hanratty managed two different funds, known as Ebury Fund 1 and Ebury Fund 2, which together had forty-three investors, in exchange for periodic management and performance-based fees.

2. On August 4, 2025, Hanratty was convicted of one count of wire fraud and aiding and abetting wire fraud, in violation of 18 U.S.C. Section 1343 and 18 U.S.C. § 2; one count of bank fraud and aiding and abetting bank fraud, in violation of 18 U.S.C. § 1344 and 18 U.S.C. § 2; and two counts of engaging in monetary transactions in property derived from specified unlawful activity and aiding and abetting engaging in such monetary transactions, in violation of 18 U.S.C. § 1957 and 18 U.S.C. § 2 before the United States District Court for the Southern District of New York, in United States v. John Arthur Hanratty, 1:24-cr-00153. On May 26, 2026, Hanratty was sentenced to, inter alia, 366 days of imprisonment on each count to run concurrently and two years of supervised release on each count to run concurrently. The Court's judgment was entered on May 26, 2026.

3. The superseding indictment pursuant to which Hanratty was convicted alleged, inter alia, that from at least in or about 2017 through at least in or about 2021, Hanratty, in the course of obtaining funds from a commercial line of credit and soliciting investments on behalf of Ebury Street Capital: knowingly engaged in a scheme to make false statements to victims, including a specialty finance company ("Victim Company-1") that is a wholly-owned subsidiary of a bank insured by the Federal Deposit Insurance Corporation ("Victim Bank-1"); knowingly executed a scheme to make false statements to Victim Company-1 in order to obtain money from Victim Bank-1; and knowingly engaged in monetary transactions in criminally derived property of a value greater than \$10,000 from specified unlawful activity, by causing the transfer of approximately \$920,000 in proceeds from the fraudulent conduct described above.

IV.

In view of the foregoing, the Commission deems it appropriate and in the public interest to impose the sanctions agreed to in Respondent Hanratty's Offer.

Accordingly, it is hereby ORDERED pursuant to Section 15(b)(6) of the Exchange Act, that Respondent Hanratty be, and hereby is barred from association with any broker, dealer, investment adviser, municipal securities dealer, municipal advisor, transfer agent, or nationally recognized statistical rating organization.

Any application for reentry by the Respondent will be made to the appropriate self-regulatory organization, or if there is none, to the Commission by contacting the Division of Enforcement's Office of Chief Counsel at ENF-Reentry@sec.gov, and will be subject to the applicable laws and regulations governing the reentry process. Reentry may be conditioned upon a number of factors, including, but not limited to, compliance with the Commission's order and payment of any or all of the following: (a) any disgorgement or civil penalties ordered by a Court against the Respondent in any action brought by the Commission; (b) any arbitration award related to the conduct that served as the basis for the Commission's order; (c) any self-regulatory organization arbitration award to a customer, whether or not related to the conduct that served as the basis for the Commission order; and (d) any restitution order by a self-regulatory organization, whether or not related to the conduct that served as the basis for the Commission order.

V.

In view of the foregoing, the Commission also finds that Hanratty has been convicted of a felony within the meaning of Rule 102(e)(2) of the Commission's Rules of Practice.

Accordingly, it is hereby further ORDERED that Hanratty is forthwith suspended from appearing or practicing before the Commission pursuant to Rule 102(e)(2) of the Commission's Rules of Practice.

By the Commission.

Vanessa A. Countryman
Secretary