

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 106039 / August 4, 2026

ADMINISTRATIVE PROCEEDING
File No. 3-22667

In the Matter of

DAMON ARTIS,

Respondent.

ORDER INSTITUTING
ADMINISTRATIVE PROCEEDINGS
PURSUANT TO SECTION 15(b)(6) OF THE
SECURITIES EXCHANGE ACT OF 1934,
MAKING FINDINGS, AND IMPOSING
REMEDIAL SANCTIONS

I.

The Securities and Exchange Commission (“Commission”) deems it appropriate and in the public interest that public administrative proceedings be, and hereby are, instituted pursuant to Section 15(b)(6) of the Securities Exchange Act of 1934 (“Exchange Act”) against Damon Artis (“Respondent” or “Artis”).

II.

In anticipation of the institution of these proceedings, Respondent has submitted an Offer of Settlement (the “Offer”) which the Commission has determined to accept. Solely for the purpose of these proceedings and any other proceedings brought by or on behalf of the Commission, or to which the Commission is a party, and without admitting the findings herein, except as to the Commission’s jurisdiction over him and the subject matter of these proceedings and the findings contained in paragraph III.2 below, which are admitted, Respondent consents to the entry of this Order Instituting Administrative Proceedings Pursuant to Section 15(b)(6) of the Securities Exchange Act of 1934, Making Findings, and Imposing Remedial Sanctions (“Order”), as set forth below.

III.

On the basis of this Order and Respondent's Offer, the Commission finds that

1. Artis is the sole owner of Growth Point Consultants, Inc. ("Growth Point") and Brookdale Consultants LLC ("Brookdale") and controls both entities. Neither Growth Point nor Brookdale was at any time registered with the Commission in any capacity, nor was Artis at any point associated with any registered broker-dealer. In his individual capacity, and through these entities, Artis acted as a broker between at least June 2016 and September 2023. Artis, age 48, is a resident of El Cajon, California.

2. On March 19, 2026, a judgment was entered by consent against Artis, permanently enjoining him from future violations of Sections 5(a), 5(c), and 17(a) of the Securities Act of 1933 ("Securities Act"), and Sections 10(b) and 15(a) of the Exchange Act and Rule 10b-5 thereunder, as set forth in the judgment entered in the civil action entitled Securities and Exchange Commission v. Thompson Hunt, et al., Civil Action Number 1:24-CV-6035, in the United States District Court for the Southern District of New York.

3. The Commission's complaint, in relevant part, alleged that Artis engaged in two fraudulent schemes through unregistered boiler room entities that he owned and controlled. Through Growth Point, Artis and sales agents that he managed engaged in the fraudulent sale of microcap securities primarily to elderly investors. Through Brookdale, Artis and sales agents that he managed engaged in the fraudulent sale of securities in a private company. The complaint alleged that Artis made or directed others to make a number of false and misleading statements or omissions in the solicitation process. Artis received, directly or indirectly, more than \$2.8 million in undisclosed, transaction-based compensation in connection with these unregistered broker activities.

IV.

In view of the foregoing, the Commission deems it appropriate and in the public interest to impose the sanctions agreed to in Respondent Artis's Offer.

Accordingly, it is hereby ORDERED pursuant to Section 15(b)(6) of the Exchange Act, that Respondent Artis be, and hereby is, barred from association with any broker, dealer, investment adviser, municipal securities dealer, municipal advisor, transfer agent, or nationally recognized statistical rating organization.

Any application for reentry by the Respondent will be made to the appropriate self-regulatory organization, or if there is none, to the Commission by contacting the Division of Enforcement's Office of Chief Counsel at ENF-Reentry@sec.gov, and will be subject to the applicable laws and regulations governing the reentry process. Reentry may be conditioned upon a number of factors, including, but not limited to, compliance with the Commission's order and payment of any or all of the following: (a) any disgorgement or civil penalties ordered by a Court against the Respondent in any action brought by the Commission; (b) any arbitration award related

to the conduct that served as the basis for the Commission order; (c) any self-regulatory organization arbitration award to a customer, whether or not related to the conduct that served as the basis for the Commission order; and (d) any restitution order by a self-regulatory organization, whether or not related to the conduct that served as the basis for the Commission order.

By the Commission.

Vanessa A. Countryman
Secretary