

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 98343 / September 11, 2023

ADMINISTRATIVE PROCEEDING
File No. 3-21635

In the Matter of

Daniel E. Levin,

Respondent.

ORDER INSTITUTING
ADMINISTRATIVE PROCEEDINGS
PURSUANT TO SECTION 15(b) OF THE
SECURITIES EXCHANGE ACT OF 1934,
MAKING FINDINGS, AND IMPOSING
REMEDIAL SANCTIONS

I.

The Securities and Exchange Commission (“Commission”) deems it appropriate and in the public interest that public administrative proceedings be, and hereby are, instituted pursuant to Section 15(b) of the Securities Exchange Act of 1934 (“Exchange Act”) against Daniel E. Levin (“Levin” or “Respondent”).

II.

In anticipation of the institution of these proceedings, Respondent has submitted an Offer of Settlement (the “Offer”) which the Commission has determined to accept. Solely for the purpose of these proceedings and any other proceedings brought by or on behalf of the Commission, or to which the Commission is a party, and without admitting or denying the findings herein, except as to the Commission’s jurisdiction over him and the subject matter of these proceedings and the findings contained in Section III, 2 below, which are admitted, Respondent consents to the entry of this Order Instituting Administrative Proceedings Pursuant to Section 15(b) of the Securities Exchange Act of 1934, Making Findings, and Imposing Remedial Sanctions (“Order”), as set forth below.

III.

On the basis of this Order and Respondent's Offer, the Commission finds that

1. Levin, 66 years old, is a resident of Dallas, Texas. Levin was the founder and sole managing member of Comprehensive Retirement Planning, LLC ("CRP LLC"), a Texas limited liability company Levin formed with its principal place of business in Dallas, Texas. CRP LLC was not registered with the Commission in any capacity. Through CRP LLC, Levin formed CRP Automotive Portfolio, LP ("CRP Fund"), a Texas limited partnership with its principal place of business Dallas, Texas. Levin controls CRP Fund and CRP LLC. CRP Fund is not registered with the Commission in any capacity. Levin has not been associated with a broker or dealer since 2014. Levin was associated with broker-dealers registered with the Commission from 1984 to August, 2014. Levin previously held multiple securities licenses, including the Series 7, 63, and 65 licenses. Levin was the subject of a previous Commission action. *See Milkie Fergusson Inv., Inc.*, Rel. No. 33-8990, (Dec. 17, 2008).

2. On July 26, 2023, a judgment was entered by consent against Levin, permanently enjoining him from future violations of Sections 15(a)(1) of the Exchange Act, in the civil action entitled Securities and Exchange Commission v. Daniel E. Levin, Civil Action Number 3:23-CV-1025, in the United States District Court for the Northern District of Texas.

3. The Commission's complaint alleged that, among other things, from approximately April 2017 through December 2018, Levin, through CRP LLC, sold CRP Funds' securities. CRP Funds' securities offerings were not registered with the Commission. The Commission alleged that in connection with his sale of shares in CRP Fund, Levin advised investors on the merits of investments, regularly participated in securities transactions, actively participated in all aspects of investor solicitations and sales, and received transaction-based compensation.

IV.

In view of the foregoing, the Commission deems it appropriate and in the public interest to impose the sanctions agreed to in Respondent Levin's Offer.

Accordingly, it is hereby ORDERED pursuant to Section 15(b)(6) of the Exchange Act, that Respondent Levin be, and hereby is barred from association with any broker, dealer, investment adviser, municipal securities dealer, municipal advisor, transfer agent, or nationally recognized statistical rating organization.

Pursuant to Section 15(b)(6) of the Exchange Act Respondent Levin be, and hereby is barred from participating in any offering of a penny stock, including: acting as a promoter, finder, consultant, agent or other person who engages in activities with a broker, dealer or issuer for purposes of the issuance or trading in any penny stock, or inducing or attempting to induce the purchase or sale of any penny stock.

Any reapplication for association by the Respondent will be subject to the applicable laws and regulations governing the reentry process, and reentry may be conditioned upon a number of factors, including, but not limited to, compliance with the Commission's order and payment of any or all of the following: (a) any disgorgement or civil penalties ordered by a Court against the Respondent in any action brought by the Commission; (b) any disgorgement amounts ordered against the Respondent for which the Commission waived payment; (c) any arbitration award related to the conduct that served as the basis for the Commission order; (d) any self-regulatory organization arbitration award to a customer, whether or not related to the conduct that served as the basis for the Commission order; and (e) any restitution order by a self-regulatory organization, whether or not related to the conduct that served as the basis for the Commission order.

By the Commission.

Vanessa A. Countryman
Secretary