

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 97756 / June 20, 2023

ADMINISTRATIVE PROCEEDING
File No. 3-21495

In the Matter of

RICHARD ST. JULIEN, Esq.,

Respondent.

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**ORDER OF SUSPENSION PURSUANT
TO RULE 102(e)(2) OF THE
COMMISSION'S RULES OF PRACTICE**

I.

The Securities and Exchange Commission deems it appropriate to issue an order of forthwith suspension of Richard St. Julien ("St. Julien) pursuant to Rule 102(e)(2) of the Commission's Rules of Practice [17 C.F.R. § 201.102(e)(2)].¹

II.

The Commission finds that:

1. St. Julien was an attorney and is a Canadian citizen who resides in Montreal, Quebec, Canada.
2. On October 11, 2018, a judgment of conviction was entered against St. Julien in *United States v. St. Julien*, No. 15-cr-533 (BMC), in the United States District Court for the Eastern District of New York, finding him guilty of one count of securities fraud, in violation of Title 15, United States Code, Sections 78j(b) and 78ff, and one count of money laundering conspiracy in violation of Title 18, United States Code, Section 1956(h).
3. As a result of this conviction, St. Julien was sentenced to a term of time served and a forfeiture money judgment in the amount of \$21,000,000.

¹ Rule 102(e)(2) provides in pertinent part: "Any attorney who has been suspended or disbarred by a court of the United States or of any State; . . . or any person who has been convicted of a felony or a misdemeanor involving moral turpitude shall be forthwith suspended from appearing or practicing before the Commission." *See* 17 C.F.R. § 201.102(e)(2).

III.

In view of the foregoing, the Commission finds that St. Julien has been convicted of a felony within the meaning of Rule 102(e)(2) of the Commission's Rules of Practice.

Accordingly, it is ORDERED, that Richard St. Julien is forthwith suspended from appearing or practicing before the Commission pursuant to Rule 102(e)(2) of the Commission's Rules of Practice.

By the Commission.

Vanessa A. Countryman
Secretary