

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 94350 / March 2, 2022

ADMINISTRATIVE PROCEEDING
File No. 3-20788

	:	
In the Matter of	:	
	:	
CHRISTOPHER K. DAVIES, Esq.:	:	ORDER OF SUSPENSION PURSUANT
	:	TO RULE 102(e)(2) OF THE
Respondent.	:	COMMISSION’S RULES OF PRACTICE
	:	

I.

The Securities and Exchange Commission deems it appropriate to issue an order of forthwith suspension of Christopher K. Davies, Esq. pursuant to Rule 102(e)(2) of the Commission’s Rules of Practice [17 C.F.R. § 201.102(e)(2)].¹

II.

The Commission finds that:

1. Davies was an attorney licensed to practice in Illinois until his license was suspended in 2015 until further order by Illinois.

2. On May 28, 2019, Davies pleaded guilty to one count of conspiracy to commit securities fraud, in violation of 15 U.S.C. 78j(b), in *United States v. Davies*, Case No. 1:18-cr-00479-FB, in the United States District Court for the Eastern District of New York. On June 11, 2021, Davies was sentenced to time served based on his detention following his arrest, and ordered to be on supervised release for three years and to pay \$97,320.75 in restitution.

¹ Rule 102(e)(2) provides in pertinent part: “Any ... person who has been convicted of a felony or a misdemeanor involving moral turpitude shall be forthwith suspended from appearing or practicing before the Commission.” 17 C.F.R. § 201.102(e)(2).

III.

In view of the foregoing, the Commission finds that Davies has been convicted of a felony within the meaning of Rule 102(e)(2) of the Commission's Rules of Practice.

Accordingly, it is ORDERED that Christopher K. Davies is forthwith suspended from appearing or practicing before the Commission pursuant to Rule 102(e)(2) of the Commission's Rules of Practice.

By the Commission.

Vanessa A. Countryman
Secretary