



whereby he executed and caused others to execute securities transactions in Aceto based on MNPI that he obtained in his capacity as an employee of Aceto.

3. On July 7, 2021, a judgment of conviction was entered against Roth in *United States v. Douglas Roth*, 20-cr-00481, in the United States District Court for the Eastern District of New York, finding him guilty of one count of securities fraud, in violation of 15 U.S.C. §§ 78j(b) and 78ff.

4. As a result of this conviction, Roth was sentenced to 6 months' imprisonment in a federal penitentiary, to be followed by 6 months of home confinement and 1 year of supervised release, and ordered to pay a fine of \$150,000 and to forfeit \$147,802.64.

### III.

In view of the foregoing, the Commission finds that Roth has been convicted of a felony within the meaning of Rule 102(e)(2) of the Commission's Rules of Practice.

Accordingly, it is ORDERED, that Roth is forthwith suspended from appearing or practicing before the Commission pursuant to Rule 102(e)(2) of the Commission's Rules of Practice.

By the Commission.

Vanessa A. Countryman  
Secretary