



herein, except as to the Commission's jurisdiction over him and the subject matter of these proceedings, and the findings contained in Section III.2. below, which are admitted, Respondent consents to the entry of this Order Instituting Administrative Proceedings Pursuant to Rule 102(e) of the Commission's Rules of Practice, Making Findings, and Imposing Remedial Sanctions ("Order"), as set forth below.

### III.

On the basis of this Order and Respondent's Offer, the Commission finds that:

1. Fisher, age 54, resides in Boca Raton, Florida. Fisher was a member of the Florida and New York State Bars. Fisher provided advice to individuals and entities regarding compliance with the federal securities laws. Fisher has never held any securities licenses and is not registered with the Commission in any capacity.

2. On October 22, 2018, the Commission filed a complaint against Fisher in SEC v. Fisher et al. (Civil Action No. 18-cv-81428), in the United States District Court for the Southern District of Florida. On October 24, 2018, the court entered an order permanently enjoining Fisher by consent, from future violations of Sections 5(a), 5(c), and 17(a) of the Securities Act of 1933, and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder.

3. The Commission's complaint alleged, among other things, that Fisher knowingly participated in a microcap pump-and-dump scheme during which he signed a false opinion letter to facilitate the deposit of certain shares of stock in a publicly-traded company at a brokerage firm, which were then illegally sold. In addition, Fisher received stock pursuant to the false opinion letter, arranged for the issuance of false and misleading press releases and online promotional materials to induce investors to buy the stock, driving up the stock's price and trading volume, and coordinated sales of his stock with the issuance of the false press releases and online promotional materials.

### IV.

In view of the foregoing, the Commission deems it appropriate and in the public interest to impose the sanction agreed to in Respondent Fisher's Offer.

Accordingly, it is hereby ORDERED pursuant to Rule 102(e)(3)(i) of the Commission's Rules of Practice, effective immediately, that:

A. Fisher is suspended from appearing or practicing before the Commission as an attorney.

By the Commission.

Brent J. Fields  
Secretary