

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 81210 / July 26, 2017

ADMINISTRATIVE PROCEEDING
File No. 3-18075

In the Matter of	:	
	:	
WADE D. HUETTEL, Esq.	:	ORDER INSTITUTING ADMINISTRATIVE
	:	PROCEEDINGS AND IMPOSING
Respondent.	:	TEMPORARY SUSPENSION PURSUANT
	:	TO RULES 102(e)(3)(i)(A) AND (B) OF
	:	THE COMMISSION'S RULES OF
	:	PRACTICE
	:	

I.

The Securities and Exchange Commission (“Commission”) deems it appropriate and in the public interest that public administrative proceedings be, and hereby are, instituted against Wade D. Huettel (“Respondent” or “Huettel”) pursuant to Rule 102(e)(3)(i) of the Commission’s Rules of Practice.¹

¹ Rule 102(e)(3)(i), 17 C.F.R 201.102(e)(3)(i), provides, in relevant part, that:

The Commission, with due regard to the public interest and without preliminary hearing, may, by order, temporarily suspend from appearing or practicing before it any attorney . . . who has been by name:

- (A) [p]ermanently enjoined by any court of competent jurisdiction, by reason of his or her misconduct in an action brought by the Commission, from violating or aiding and abetting the violation of any provision of the Federal securities laws or of the rules and regulations thereunder; or
- (B) [f]ound by any court of competent jurisdiction in an action brought by the Commission to which he or she is a party . . . to have violated (unless the violation was found not to have been willful) or aided and abetted the violation of any provision of the Federal securities laws or of the rules and regulations thereunder.

II.

The Commission finds that:

1. Wade D. Huettel is an attorney licensed in California.
2. On March 15, 2013, the Commission filed a civil action seeking permanent injunctive relief against Huettel, Luis J. Carrillo (“Carrillo”), an attorney licensed in California who practiced law with Huettel at the law firm Carrillo Huettel LLP, and others alleging they violated Sections 5(a), 5(c), and 17(a) of the Securities Act of 1933 (“Securities Act”), 15 U.S.C. 77e(a), 77e(c) and 77q(a), and Section 10(b) of the Securities Exchange Act of 1934 (“Exchange Act”), 15 U.S.C. 78j(b), and Rule 10b-5 thereunder, 17 C.F.R. 240.10b-5. *SEC v. Carrillo Huettel LLP, et al.*, Case No. 1:13-cv-01735 (GBD) (S.D.N.Y.).
3. The Commission’s Complaint (“Complaint”) alleged that a group of stock promoters, John Kirk, Ben Kirk, Dylan Boyle, and James Hinton (the “Promoters”), engaged in “pump and dump” schemes involving the securities of Tradeshow Marketing Company Ltd. (“Tradeshow”) and Pacific Blue Energy Corporation (“Pacific Blue”). The Promoters secretly took control of the companies and then “pumped” those companies’ stock prices by sending investors false and misleading emails from two stock-touting websites they controlled -- Skymark Research and Emerging Stock Report. The Promoters made at least \$11 million by secretly selling Pacific Blue and Tradeshow shares while simultaneously promoting the stocks and encouraging others to buy.
4. The Commission’s Complaint alleged that Huettel furthered the Promoters’ illegal activity by, among other things, assisting the Promoters in acquiring the Pacific Blue corporate shell, drafting misleading public filings and legal opinions, allowing the Promoters to funnel sales proceeds through the Carrillo Huettel LLP Trust Account, and obscuring the Promoters’ ownership of Pacific Blue.
5. On August 11, 2016, the Commission filed a motion for entry of a default judgment against Huettel. On March 28, 2017, the court entered a Default Final Judgment against Huettel on all liability claims against him. An injunction was entered on May 3, 2017, permanently enjoining Huettel from committing future violations of registration and antifraud provisions of the federal securities laws and ordering Huettel to pay disgorgement, prejudgment interest thereon, and a civil penalty.

III.

Based upon the foregoing, the Commission finds that a court of competent jurisdiction has permanently enjoined Huettel from violating, directly or indirectly, the Federal securities laws within the meaning of Rule 102(e)(3)(i)(A) of the Commission’s Rules of Practice. The Commission also finds that a court of competent jurisdiction has found that Huettel violated provisions of the Federal securities laws within the meaning of Rule 102(e)(3)(i)(B) of the

Commission's Rules of Practice. In view of these findings, the Commission deems it appropriate and in the public interest that Huettel be temporarily suspended from appearing or practicing before the Commission as an attorney.

IT IS HEREBY ORDERED that Huettel be, and hereby is, temporarily suspended from appearing or practicing before the Commission as an attorney. This Order will be effective upon service on the Respondent.

IT IS FURTHER HEREBY ORDERED that Huettel may, within thirty days after service of this Order, file a petition with the Commission to lift the temporary suspension. If the Commission receives no petition within thirty days after service of the Order, the suspension will become permanent pursuant to Rule 102(e)(3)(ii).

If a petition is received within thirty days after service of this Order, the Commission will, within thirty days (30) after the filing of the petition, either lift the suspension, censure the petitioner, or disqualify the petitioner from appearing or practicing before the Commission for a period of time, or permanently, pursuant to Rule 102(e)(3)(iii).

This Order shall be served upon Huettel by certified mail at his last known address.

By the Commission.

Brent J. Fields
Secretary