

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 79302 / November 14, 2016

ADMINISTRATIVE PROCEEDING
File No. 3-17560

In the Matter of the Application of

KIMBERLY SPRINGSTEEN-ABBOTT

For Review of Disciplinary Action Taken by

FINRA

EXTENSION ORDER

On September 20, 2016, the Commission received an application for review of disciplinary action taken against Kimberly Springsteen-Abbott (“Applicant”) by the Financial Industry Regulatory Authority (“FINRA”). FINRA filed a copy of the index to the record on October 4, 2016, pursuant to Rule 420(d) of the Commission’s Rules of Practice.¹ On October 14, 2016, the Commission issued an Order Scheduling Briefs, in which the Commission ordered, pursuant to Rule of Practice 450(a),² that a brief in support of an application for review be filed by November 14, 2016, a brief in opposition shall be filed by December 14, 2016, and any reply brief shall be filed by December 28, 2016. On November 10, 2016, Applicant requested an extension of two weeks to file her brief in support of her application for review, citing a recent change in counsel. FINRA does not oppose this request.

Extensions of time are disfavored. It appears appropriate, however, to grant the requested extension. Therefore,

¹ 17 C.F.R. § 201.420(d).

² 17 C.F.R. § 201.450(a).

It is ORDERED that the time for the Applicant to file her brief in support is extended to November 28, 2016. A brief in opposition shall be filed December 28, 2016, and any reply brief shall be filed by January 11, 2017.

For the Commission, by its Secretary, pursuant to delegated authority.³

Lynn Powalski
Deputy Secretary

³ 17 C.F.R. § 200.30-7(a)(4).