

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934

Release No. 75011 / May 20, 2015

INVESTMENT ADVISERS ACT OF 1940

Release No. 4092 / May 20, 2015

INVESTMENT COMPANY ACT OF 1940

Release No. 31614 / May 20, 2015

ADMINISTRATIVE PROCEEDING

File No. 3-15006

In the Matter of

Raymond J. Lucia Companies, Inc.
Raymond J. Lucia, Sr.

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:
: ORDER SCHEDULING
: ORAL ARGUMENT
:
:

IT IS ORDERED that this matter is scheduled for oral argument at 11:30 a.m. on Monday, June 29, 2015, at 100 F Street, NE, Washington, DC, in the Auditorium (L-0002).

A total of 40 minutes has been allocated for the argument, 20 minutes to counsel for the Respondents and 20 minutes to counsel for the Division of Enforcement. The parties will argue in the above order, and counsel for the Respondents may reserve time for rebuttal.

Rule 451 of the Commission's Rules of Practice provides that a member of the Commission not present at the oral argument may participate in the Commission's decision, subject to the understanding that he or she will review the transcript of the argument.

For the Commission, by its Secretary, pursuant to delegated authority.

Brent J. Fields
Secretary