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CLERK, U.S. DISTRICT COURT  
MIDDLE DISTRICT OF FLORIDA  
ORLANDO, FLORIDA

**UNITED STATES DISTRICT COURT  
FOR THE MIDDLE DISTRICT OF FLORIDA  
(Orlando Division)**

UNITED STATES SECURITIES AND	)
EXCHANGE COMMISSION,	)
	)
Plaintiff,	)
	)
v.	)
	)
CORPORATE RELATIONS GROUP, INC., et al.	)
	)
Defendants.	)
_____	)

**C.A. No. 99-1222-CV-22-A  
Hon. Anne C. Conway, Judge  
Hon. Karla Spaulding,  
Magistrate**

**FINAL JUDGMENT AS TO DEFENDANT  
JACK RODRIGUEZ, Jr., a/k/a JACK R. RODRIGUEZ**

Plaintiff, the United States Securities and Exchange Commission (the "Commission"), having filed its Complaint, and Defendant Jack Rodriguez, Jr., a/k/a Jack R. Rodriguez ("Rodriguez"), in his attached Consent and Undertakings of Jack Rodriguez, Jr., a/k/a Jack R. Rodriguez ("Consent") incorporated herein, having entered a general appearance, having admitted this Court's jurisdiction over him and over the subject matter of this action, having acknowledged service of the Summons and Complaint, having waived the filing of an Answer to the Complaint, having waived the entry of findings of fact and conclusions of law pursuant to Rule 52 of the Federal Rules of Civil Procedure, having waived any right he may have to appeal from the entry of this Final Judgment and, without admitting or denying any of the allegations of the Complaint, except as to jurisdiction, to which he admits, having consented to the entry of this Final Judgment, and it further appearing that this Court has jurisdiction over him and the subject matter hereof, and this Court being fully advised in the premises:

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**I.**

**IT IS HEREBY ORDERED, ADJUDGED AND DECREED** that Defendant Rodriguez, his agents, servants, employees, attorneys, and those persons in active concert and participation with them who receive actual notice of this Final Judgment by personal service or otherwise, and each of them, be and they hereby are permanently restrained and enjoined from, directly and indirectly, violating Section 17(a) of the Securities Act [15 U.S.C. §77q(a)] by, directly or indirectly, using any means or instruments of transportation or communication in interstate commerce, or the mails:

- (a) to employ any device, scheme or artifice to defraud, or
- (b) to obtain money or property by means of any untrue statement of a material fact or any omission to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) to engage in any transaction, practice, or course of business which operates or would operate as a fraud or deceit upon the purchaser

in the offer or sale of any securities.

**II.**

**IT IS HEREBY FURTHER ORDERED, ADJUDGED AND DECREED** that Defendant Rodriguez, his agents, servants, employees, attorneys, and those persons in active concert and participation with them who receive actual notice of this Final Judgment by personal service or otherwise, and each of them, be and they hereby are permanently restrained and

enjoined from, directly and indirectly, violating Section 10(b) of the Exchange Act [15 U.S.C. § 78j(b)] and Rule 10b-5 [17 C.F.R. § 240.10b-5] promulgated thereunder by, directly or indirectly, using any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in light of the circumstances under which they were made, not misleading; or
- (c) to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

in connection with the purchase or sale of any security.

### III.

**IT IS HEREBY FURTHER ORDERED, ADJUDGED AND DECREED** that Defendant Rodriguez, his agents, servants, employees, attorneys, and those persons in active concert or in participation with them who receive actual notice of this Final Judgment by personal service or otherwise, and each of them, be and they hereby are permanently restrained and enjoined from violating Sections 5(a) and 5(c) of the Securities Act [15 U.S.C. §§77e(a) and 77e(c)] by, directly or indirectly, in the absence of any applicable exemption:

- a. making use of any means or instruments of transportation or communication in interstate commerce or of the mails to sell the securities of any issuer, through the

use or medium of any prospectus or otherwise, unless and until a registration statement is in effect as to such securities;

- b. carrying or causing to be carried through the mails or in interstate commerce, by any means or instruments of transportation, for the purpose of sale or for delivery after sale, the securities of any issuer, unless and until a registration statement is in effect as to such securities; or
- c. making use of any means or instruments of transportation or communication in interstate commerce or of the mails to offer to sell or offer to buy through the use or medium or any prospectus or otherwise the securities of any issuer, unless and until a registration statement has been filed with the Commission as to such securities, or while a registration statement as to such securities is the subject of a refusal order or stop order (prior to the effective date of the registration statement) in any public proceeding or examination under Section 8 of the Securities Act [15 U.S.C. §77h].

#### IV.

**IT IS HEREBY FURTHER ORDERED, ADJUDGED AND DECREED** that Defendant Rodriguez, his agents, servants, employees, attorneys, and those persons in active concert and participation with them who receive actual notice of this Final Judgment by personal service or otherwise, and each of them, be and they hereby are permanently restrained and enjoined from, directly and indirectly, violating Section 15(a)(1) of the Exchange Act [15 U.S.C. § 78o(a)(1)] by acting as a broker or dealer by making use of the mails or an instrumentality of

interstate commerce to effect any transactions in, or to induce or attempt to induce the purchase or sale of, any security unless such person is registered in accordance with Section 15(b) of the Exchange Act [15 U.S.C. § 78o(b)].

**V.**

**IT IS HEREBY FURTHER ORDERED, ADJUDGED AND DECREED** that Defendant Rodriguez pay disgorgement in the amount of \$19,432.79, representing his gains from the conduct alleged in the Complaint together with prejudgment interest thereon at the rates established pursuant to 26 U.S.C. § 6621(a)(2) from November 3, 1994, to November 4, 1999, to wit: disgorgement in the amount of \$12,856.83, and prejudgment interest in the amount of \$6,575.96. Based upon Defendant Rodriguez's sworn representations in his Statement of Financial Condition dated September 13, 1999, and submitted to the Commission, payment of the disgorgement and prejudgment interest thereon is waived, contingent upon the accuracy and completeness of his Statement of Financial Condition.

**VI.**

**IT IS HEREBY FURTHER ORDERED, ADJUDGED AND DECREED** that based upon Defendant Rodriguez's sworn representations in his Statement of Financial Condition dated September 13, 1999, and submitted to the Commission, the Court is not ordering him to pay a civil penalty pursuant to Section 20(d) of the Securities Act [15 U.S.C. § 77t(d)] and Section 21(d)(3) of the Exchange Act [15 U.S.C. § 78u(d)(3)]. The determination not to impose a civil penalty and to waive payment of the disgorgement and prejudgment interest thereon is contingent upon the accuracy and completeness of Defendant Rodriguez's Statement of Financial Condition.

If at any time following the entry of this Final Judgment the Commission obtains information indicating that Defendant Rodriguez's representations to the Commission concerning his assets, income, liabilities or net worth were fraudulent, misleading, inaccurate or incomplete in any material respect as of the time such representations were made, the Commission may, at its sole discretion and without prior notice to Defendant Rodriguez, petition this Court for an order requiring Defendant Rodriguez to pay disgorgement, prejudgment and post-judgment interest thereon, and a civil penalty. In connection with any such petition, the only issues shall be whether the financial information provided by Defendant Rodriguez was fraudulent, misleading, inaccurate or incomplete in any material respect as of the time such representations were made, and the amount of civil penalty to be imposed. In its petition, the Commission may move this Court to consider all available remedies, including, but not limited to, ordering Defendant Rodriguez to pay funds or assets, directing the forfeiture of any assets, or sanctions for contempt of this Final Judgment, and the Commission may also request additional discovery. Defendant Rodriguez may not, by way of defense to such petition, challenge the validity of his Consent or the Final Judgment, contest the allegations in the Complaint filed by the Commission, contest the amount of disgorgement and interest, or assert that disgorgement or the payment of a civil penalty should not be ordered.

## VII.

**IT IS FURTHER ORDERED, ADJUDGED AND DECREED** that Defendant Rodriguez, in accordance with his agreement in his Consent, in connection with this action or any related judicial or administrative action undertaken by or on behalf of, or authorized by, the

Commission or to which the Commission is a party, shall accept service by mail of subpoenas for any depositions, trials or hearings, shall waive the territorial limits on service set forth in Rule 45 of the Federal Rules of Civil Procedure, and will testify at such depositions, trials or hearings.

**VIII.**

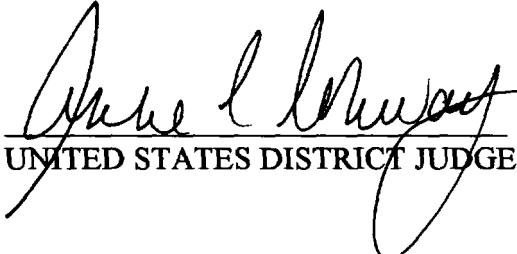
**IT IS FURTHER ORDERED, ADJUDGED AND DECREED** that Defendant Rodriguez's accompanying Consent be, and it hereby is, incorporated herein with the same force and effect as if fully set forth herein.

**IX.**

**IT IS FURTHER ORDERED, ADJUDGED AND DECREED** that this Court shall retain jurisdiction of this action for all purposes, including implementation and enforcement of the terms and conditions of this Final Judgment and annexed Consent.

**X.**

There being no reason for delay, the Clerk of the Court is hereby directed, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, to enter this Final Judgment forthwith and without further notice.

  
UNITED STATES DISTRICT JUDGE

Dated: 2/02/00  
1999