

JS-6

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FILED
CLERK, U.S. DISTRICT COURT
OCT 16 2000
CENTRAL DISTRICT OF CALIFORNIA
BY [Signature] DEPUTY

6 Joel Kornfeld (Cal. Bar No. 115945)
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7 5670 Wilshire Boulevard, 11th Floor
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ENTERED
U.S. DISTRICT COURT
OCT 18 2000
CENTRAL DISTRICT OF CALIFORNIA
DEPUTY [Signature]

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OCT 18 2 57 PM '00
U.S. DISTRICT COURT
CENTRAL DISTRICT OF CALIF.

UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

13 SECURITIES AND EXCHANGE COMMISSION,

14 Plaintiff,

15 v.

16 BRIAN M. VOLMER, JOHN R. SWITZER,
17 INTERNATIONAL ALLIANCE TRADING, INC.,
and SUN PACIFIC CAPITAL GROUP, INC.,

18 Defendants,

19 and

20 LISA NEWMAN VOLMER,

21 Relief Defendant.

CV 98-8698-JSL (Mex)

~~PROPOSED~~
ORDER

Trial: September 28, 1999
& March 8, 2000

22 1. This matter is before the Court upon the Securities and Exchange Commission's
23 ("Commission") amended complaint against Defendants Brian M. Volmer, John R. Switzer,
24 International Alliance Trading, Inc. and Sun Pacific Capital Group, Inc., as well as Relief
25

- 26 ✓ Docketed
- 27 ✓ Copies (NIC Sent)
- 28 ✓ JS - 5 / JS - 6
- JS - 2 / JS - 3
- CLSD

THIS CONSTITUTES NOTICE OF ENTRY
AS REQUIRED BY FRCP, RULE 77(d)

OCT 18 2000

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1 Defendant Lisa Newman Volmer, alleging violations of the antitouting and antifraud provisions of
2 the federal securities laws. Specifically, the Commission alleges violations of Section 17(b) of the
3 Securities Act of 1933 (the "Securities Act"), 15 U.S.C. § 77q(b), Section 17(a) of the Securities
4 Act, 15 U.S.C. § 78q(a), Section 10(b) of the Securities Exchange Act of 1934 (the "Exchange
5 Act"), 15 U.S.C. § 78j(b), and Rule 10b-5 thereunder.

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7 2. A bifurcated bench trial was held: (a) on September 28, 1999, on the issue of
8 liability; and (b) on March 8, 2000, on the issue of disgorgement and penalty.

9 3. The Court, having considered the testimony, evidence and authorities presented by
10 the parties, it is therefore,

11
12 **ORDERED, ADJUDGED and DECREED that:**

13 1. Defendants Volmer, International Alliance, and Sun Pacific are permanently
14 enjoined from further violations of Section 17(b) of the Securities Act, 15 U.S.C. § 77q(b).

15 2. Defendants Volmer and International Alliance are permanently enjoined from
16 violating Section 17(a) of the Securities Act, 15 U.S.C. § 78q(a), Section 10(b) of the Exchange
17 Act, 15 U.S.C. § 78j(b), and Rule 10b-5, 17 C.F.R. § 240.10b-5, thereunder.

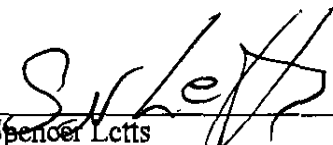
18 3. Plaintiff's Exhibits 209A, 210A and 228-1A and 228-2A are admitted into
19 evidence.

20 4. Judgment is hereby entered against Defendant Volmer in the amount of
21 \$614,879.29, consisting of disgorgement of \$296,429.13, prejudgment interest of \$22,021.03 and
22 a third-tier civil penalty of \$296,429.13, pursuant to Section 20(d) of the Securities Act, 15 U.S.C.
23 § 77t(d), and Section 21(d) of the Exchange Act, 15 U.S.C. § 78u(d).
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5. Judgment is hereby entered against Relief Defendant Lisa Newman Volmer in the amount of \$106,646.50. Such judgment shall be enforced against the Relief Defendant in the event that Defendant Volmer fails to fully satisfy the judgment.

Dated: 10/16, 2000
Los Angeles, California



J. Spencer Letts
United States District Judge

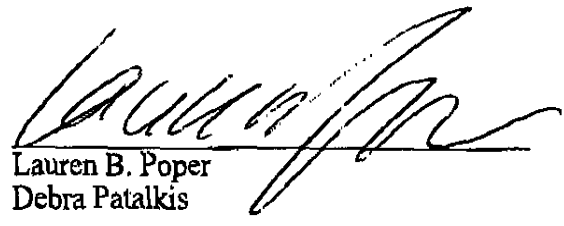
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CERTIFICATE OF SERVICE

The undersigned attorney for plaintiff Securities and Exchange Commission hereby certifies that copies of the attached proposed Order were served, via facsimile and by overnight mail, postage prepaid, upon the following on this 31st day of March 2000:

David L. Casterline, Esq.
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Counsel for Defendants Brian M. Volmer, International Alliance Trading, Inc., and Sun Pacific Capital Group, Inc. and Relief Defendant Lisa Newman Volmer



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