

JS-6

**UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA  
Western Division**

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

v.

PEDRAM ABRAHAM MEHRIAN,  
STRATEGIC LEGACY  
INVESTMENT GROUP, INC., and  
SLIG HIGH INTEREST LIQUID  
SAVINGS COMPANY,

Defendants.

Case No. 2:23-cv-08009 FMO (PVCx)

**FINAL JUDGMENT AS TO PEDRAM  
ABRAHAM MEHRIAN**

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1 The Securities and Exchange Commission having filed a Complaint and  
2 Motion for Monetary Remedies, and Defendant Pedram Abraham Mehrian  
3 (“Mehrian” or “Defendant”) having entered a general appearance; consented to the  
4 Court’s jurisdiction over Defendant and the subject matter of this action; consented  
5 to entry of this Final Judgment—with the exception of monetary remedies, which  
6 the Court hereby orders —without admitting or denying the allegations of the  
7 Complaint (except as to jurisdiction and except as otherwise provided herein in  
8 paragraph VIII); waived findings of fact and conclusions of law; and waived any  
9 right to appeal from this Final Judgment:

10 I.

11 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant  
12 is permanently restrained and enjoined from violating, directly or indirectly,  
13 Section 10(b) of the Securities Exchange Act of 1934 (the “Exchange Act”) [15  
14 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5],  
15 by using any means or instrumentality of interstate commerce, or of the mails, or  
16 of any facility of any national securities exchange, in connection with the purchase  
17 or sale of any security:

18 (a) to employ any device, scheme, or artifice to defraud;

19 (b) to make any untrue statement of a material fact or to omit to state a  
20 material fact necessary in order to make the statements made, in the light of the  
21 circumstances under which they were made, not misleading; or

22 (c) to engage in any act, practice, or course of business which operates or  
23 would operate as a fraud or deceit upon any person.

24 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as  
25 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also  
26 binds the following who receive actual notice of this Final Judgment by personal  
27 service or otherwise: (a) Defendant’s officers, agents, servants, employees, and  
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1 attorneys; and (b) other persons in active concert or participation with Defendant  
2 or with anyone described in (a).

3 II.

4 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED  
5 that Defendant is permanently restrained and enjoined from violating Section 17(a)  
6 of the Securities Act of 1933 (the “Securities Act”) [15 U.S.C. § 77q(a)] in the  
7 offer or sale of any security by the use of any means or instruments of  
8 transportation or communication in interstate commerce or by use of the mails,  
9 directly or indirectly:

10 (a) to employ any device, scheme, or artifice to defraud;

11 (b) to obtain money or property by means of any untrue statement of a  
12 material fact or any omission of a material fact necessary in order to make the  
13 statements made, in light of the circumstances under which they were made, not  
14 misleading; or

15 (c) to engage in any transaction, practice, or course of business which  
16 operates or would operate as a fraud or deceit upon the purchaser.

17 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as  
18 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also  
19 binds the following who receive actual notice of this Final Judgment by personal  
20 service or otherwise: (a) Defendant’s officers, agents, servants, employees, and  
21 attorneys; and (b) other persons in active concert or participation with Defendant  
22 or with anyone described in (a).

23 III.

24 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that  
25 Defendant is permanently restrained and enjoined from violating Section 5 of the  
26 Securities Act [15 U.S.C. § 77e] by, directly or indirectly, in the absence of any  
27 applicable exemption:  
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1 (a) Unless a registration statement is in effect as to a security, making use  
2 of any means or instruments of transportation or communication in interstate  
3 commerce or of the mails to sell such security through the use or medium of any  
4 prospectus or otherwise;

5 (b) Unless a registration statement is in effect as to a security, carrying or  
6 causing to be carried through the mails or in interstate commerce, by any means or  
7 instruments of transportation, any such security for the purpose of sale or for  
8 delivery after sale; or

9 (c) Making use of any means or instruments of transportation or  
10 communication in interstate commerce or of the mails to offer to sell or offer to  
11 buy through the use or medium of any prospectus or otherwise any security, unless  
12 a registration statement has been filed with the Commission as to such security, or  
13 while the registration statement is the subject of a refusal order or stop order or  
14 (prior to the effective date of the registration statement) any public proceeding or  
15 examination under Section 8 of the Securities Act [15 U.S.C. § 77h].

16 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as  
17 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also  
18 binds the following who receive actual notice of this Final Judgment by personal  
19 service or otherwise: (a) Defendant's officers, agents, servants, employees, and  
20 attorneys; and (b) other persons in active concert or participation with Defendant  
21 or with anyone described in (a).

22 IV.

23 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that pursuant  
24 to Section 21(d)(5) of the Exchange Act [15 U.S.C. § 78u(d)(5)], Defendant is  
25 permanently restrained and enjoined from, directly or indirectly, including, but not  
26 limited to, through any entity owned or controlled by Defendant, participating in  
27 the issuance, purchase, offer, or sale of any security other than for his own personal  
28 account.

1 IT IS FURTHER ORDERED, ADJUDGED, And DECREED that, as  
2 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also  
3 binds the following who receive actual notice of this Final Judgment by personal  
4 service or otherwise: (a) Defendant's officers, agents, servants, employees, and  
5 attorneys; and (b) other persons in active concert or participation with Defendant  
6 or with anyone described in (a).

7 V.

8 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that,  
9 pursuant to Section 21(d)(2) of the Exchange Act [15 U.S.C. § 78u(d)(2)] and  
10 Section 20(e) of the Securities Act [15 U.S.C. § 77t(e)], Defendant is prohibited  
11 from acting as an officer or director of any issuer that has a class of securities  
12 registered pursuant to Section 12 of the Exchange Act [15 U.S.C. § 78l] or that is  
13 required to file reports pursuant to Section 15(d) of the Exchange Act [15 U.S.C.  
14 § 78o(d)].

15 VI.

16 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED  
17 that Defendant is liable for disgorgement of \$935,165.07, representing net profits  
18 gained as a result of the conduct alleged in the Complaint, together with  
19 prejudgment interest thereon in the amount of \$44,213.53, and a civil penalty in  
20 the amount of \$230,464 pursuant to Section 20(d) of the Securities Act [15 U.S.C.  
21 § 77t(d)] and Section 21(d)(3) of the Exchange Act [15 U.S.C. § 78u(d)(3)].  
22 Defendant shall satisfy these obligations by paying \$1,209,842.60 to the Securities  
23 and Exchange Commission within 30 days after entry of this Final Judgment.

24 Defendant may transmit payment electronically to the Commission, which  
25 will provide detailed ACH transfer/Fedwire instructions upon request. Payment  
26 may also be made directly from a bank account via Pay.gov through the SEC  
27 website at <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by  
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1 certified check, bank cashier's check, or United States postal money order payable  
2 to the Securities and Exchange Commission, which shall be delivered or mailed to:

3 Enterprise Services Center  
4 Accounts Receivable Branch  
5 6500 South MacArthur Boulevard  
6 Oklahoma City, OK 73169

7 and shall be accompanied by a letter identifying the case title, civil action number,  
8 and name of this Court; Defendant Mehrian as a defendant in this action; and  
9 specifying that payment is made pursuant to this Final Judgment.

10 Defendant shall simultaneously transmit photocopies of evidence of  
11 payment and case identifying information to the Commission's counsel in this  
12 action. By making this payment, Defendant relinquishes all legal and equitable  
13 right, title, and interest in such funds and no part of the funds shall be returned to  
14 Defendant.

15 The Commission may enforce the Court's judgment for disgorgement and  
16 prejudgment interest by using all collection procedures authorized by law,  
17 including, but not limited to, moving for civil contempt at any time after 30 days  
18 following entry of this Final Judgment.

19 The Commission may enforce the Court's judgment for penalties by the use  
20 of all collection procedures authorized by law, including the Federal Debt  
21 Collection Procedures Act, 28 U.S.C. § 3001 et seq., and moving for civil  
22 contempt for the violation of any Court orders issued in this action. Defendant  
23 shall pay post judgment interest on any amounts due after 30 days of the entry of  
24 this Final Judgment pursuant to 28 U.S.C. § 1961. The Commission shall hold the  
25 funds, together with any interest and income earned thereon (collectively, the  
26 "Fund"), pending further order of the Court.

27 The Commission may propose a plan to distribute the Fund subject to the  
28 Court's approval. Such a plan may provide that the Fund shall be distributed



VIII.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, for purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. §523, the allegations in the complaint are true and admitted by Defendant, and further, any debt for disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under this Judgment or any other judgment, order, consent order, decree or settlement agreement entered in connection with this proceeding, is a debt for the violation by Defendant of the federal securities laws or any regulation or order issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code, 11 U.S.C. §523(a)(19).

IX.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

Dated: May 10, 2024, \_\_\_\_\_

/s/

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HON. FERNANDO M. OLGUIN  
UNITED STATES DISTRICT JUDGE