

**UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF LOUISIANA
SHREVEPORT DIVISION**

SECURITIES AND EXCHANGE
COMMISSION

CIVIL NO. 18-0434

VERSUS

JUDGE S. MAURICE HICKS, JR.

GREGORY ALAN SMITH

MAGISTRATE JUDGE HORNSBY

JUDGMENT

The Securities and Exchange Commission (“Commission”) having filed a Complaint and Defendant Gregory Alan Smith (“Smith” or “Defendant”) having entered a general appearance; consented to the Court’s jurisdiction over Defendant and the subject matter of this action; consented to entry of this Judgment; waived findings of fact and conclusions of law; and waived any right to appeal from this Judgment:

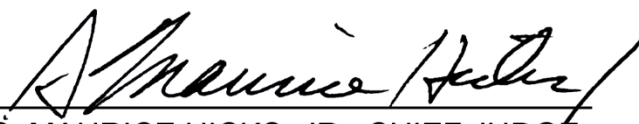
IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant is liable for disgorgement of \$1,080,000 representing net profits gained as a result of the conduct alleged in the Complaint, together with prejudgment interest thereon in the amount of \$404,637.75, for a total of \$1,484,637.75. This amount is deemed offset in its entirety by the restitution ordered against Smith in the criminal case concerning the same conduct at issue in the case, *United States v. Gregory Alan Smith*, Crim. No. 18-cr-00084 (W.D. La.).

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Commission’s claim for a civil penalty against Smith is **DISMISSED**.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is incorporated herein with the same force and effect as if fully set forth herein, and that Defendant shall comply with all of the undertakings and agreements set forth therein.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Judgment.

THUS DONE AND SIGNED in Shreveport, Louisiana, this 1st day of July, 2021.



S. MAURICE HICKS, JR., CHIEF JUDGE
UNITED STATES DISTRICT COURT