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**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF CALIFORNIA**

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

vs.

RMR ASSET MANAGEMENT
COMPANY, et al.,

Defendants.

Case No. 3:18-cv-01895-AJB-LL

FINAL JUDGMENT AS TO
RICHARD C. GOUNAUD

Consistent with the Court’s Order Granting Plaintiff Securities and Exchange’s Motion for Summary Judgment (Dkt. No. 137), finding that Defendant Richard C. Gounaud (“Defendant”) violated Section 15(a)(1) of the Securities and Exchange Act of 1934 (“Exchange Act”) [15 U.S.C. § 78o(a)(1)] and the Court’s Order Granting in Part and Denying in Part the SEC’s Motion for Remedies (Dkt. No. 186):

I.

IT IS ORDERED, ADJUDGED, AND DECREED that Defendant shall pay

1 civil penalties in the amount of \$308,512.80, pursuant to Section 21(d)(3) of the
2 Exchange Act [15 U.S.C. § 78u-1]. Defendant shall satisfy this obligation by
3 making this payment to the Securities and Exchange Commission within 30 days
4 after entry of this Judgment.

5 Defendant may transmit payment electronically to the Commission, which
6 will provide detailed ACH transfer/Fedwire instructions upon request. Payment
7 may also be made directly from a bank account via Pay.gov through the SEC
8 website at <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by
9 certified check, bank cashier's check, or United States postal money order payable
10 to the Securities and Exchange Commission, which shall be delivered or mailed to:

11 Enterprise Services Center
12 Accounts Receivable Branch
13 6500 South MacArthur Boulevard
14 Oklahoma City, OK 73169Enterprise Services Center

15 and shall be accompanied by a letter identifying the case title, civil action number,
16 and name of this Court; Richard C. Gounaud as a defendant; and specifying that
17 payment is made pursuant to this Final Judgment. Defendant shall simultaneously
18 transmit photocopies of evidence of payment and case identifying information to
19 the Commission's counsel in this action. By making this payment, Defendant
20 relinquishes all legal and equitable right, title, and interest in such funds.

21 The Commission shall hold the funds (collectively, the "Fund") and may
22 propose a plan to distribute the Fund subject to the Court's approval. The Court
23 shall retain jurisdiction over the administration of any distribution of the Fund.

24 The Commission may enforce the Court's judgment for civil penalties by
25 moving for civil contempt (and/or through other collection procedures authorized
26 by law) at any time after 30 days following entry of this Final Judgment.


27 Defendant shall pay post judgment interest on any delinquent amounts pursuant to
28 28 U.S.C. § 1961.

II.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that for no longer than one year following the date of entry of this Final Judgment, this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

IT IS SO ORDERED.

Dated: February 12, 2021


Hon. Anthony J. Battaglia
United States District Judge