

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF FLORIDA**

**CASE NO. 16-24678-CIV-COOKE**

**SECURITIES AND EXCHANGE  
COMMISSION,**

Plaintiff,

v.

**ONIX CAPITAL, LLC; et al.,**

Defendants,

and

**DEEP OCEAN LLC, et al.,**

Relief Defendants.

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**FINAL JUDGMENT AS TO DEFENDANT ALBERTO CHANG-RAJII**

The Securities and Exchange Commission, having filed its Renewed Motion for Entry of Final Judgment (“Motion”) Against Defendant Alberto Chang-Rajii<sup>1</sup> (“Defendant” or “Chang-Rajii”) and the Defendant having previously consented to the Court’s jurisdiction over Defendant and the subject matter of this action (*see* Consent of Defendant Alberto Chang-Rajii to Judgment of Permanent Injunction and Other Relief (hereinafter “Defendant’s Consent to Judgment”) [ECF No. 52-3]), it is hereby:

**I.**

**INCORPORATION OF CONSENT**

**ORDERED AND ADJUDGED** that Defendant’s Consent to Judgment is incorporated herein with the same force and effect as if fully set forth herein, and that Defendant shall comply

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<sup>1</sup> The parties adopt hyphenated and unhyphenated spellings of Defendant Chang-Rajii’s last name. For the sake of consistency, the Court uses the hyphenated spelling throughout.

with all of the undertakings and agreements set forth therein.

**II.**

**INCORPORATION OF JUDGMENT**

**IT IS FURTHER ORDERED AND ADJUDGED** that the Court's Judgment of Permanent Injunction and Other Relief as to Defendant Alberto Chang-Rajii [ECF No. 60], entered on January 26, 2017, is hereby adopted and incorporated by reference with the same force and effect as if fully set forth herein, and that Defendant shall comply with all of the undertakings and agreements set forth therein.

**II.**

**DISGORGEMENT, PREJUDGMENT INTEREST AND CIVIL PENALTY**

**IT IS FURTHER ORDERED AND ADJUDGED** that Chang-Rajii is liable for disgorgement of \$5,552,500, representing profits gained as a result of the conduct alleged in the Complaint, together with prejudgment interest thereon in the amount of \$1,199,133.44, and a civil penalty in the amount of \$5,552,500, pursuant to Section 20(d) of the Securities Act of 1933, 15 U.S.C. § 77t(d), Section 21(d)(3) of the Securities Exchange Act of 1934, 15 U.S.C. § 78u(d)(3), and Section 209(e)(2) of the Investment Advisers Act of 1940, 15 U.S.C. § 80b-9(e)(2). Defendant shall satisfy this obligation by paying \$12,304,133.44 to the Securities and Exchange Commission within 30 days after entry of this Final Judgment.

Defendant may transmit payment electronically to the Commission, which will provide detailed ACH transfer/Fedwire instructions upon request. Payment may also be made directly from a bank account via Pay.gov through the SEC website at <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange

Commission, which shall be delivered or mailed to

Enterprise Services Center  
Accounts Receivable Branch  
6500 South MacArthur Boulevard  
Oklahoma City, OK 73169

and shall be accompanied by a letter identifying the case title, civil action number, and name of this Court; Alberto Chang-Rajii, as a defendant in this action; and specifying that payment is made pursuant to this Final Judgment.

Defendant shall simultaneously transmit photocopies of evidence of payment and case identifying information to the Commission's counsel in this action, Teresa J. Verges, Regional Trial Counsel, Securities and Exchange Commission, 801 Brickell Avenue, Suite 1950, Miami, FL 33131. By making this payment, Defendant relinquishes all legal and equitable right, title, and interest in such funds and no part of the funds shall be returned to Defendant. The Commission shall send the funds paid pursuant to this Final Judgment to the United States Treasury.

The Commission may enforce the Court's judgment for disgorgement and prejudgment interest by moving for civil contempt (and/or through other collection procedures authorized by law) at any time after 30 days following entry of this Final Judgment. Defendant shall pay post judgment interest on any delinquent amounts pursuant to 28 U.S.C. § 1961.

#### IV.

#### **BANKRUPTCY NONDISCHARGEABILITY**

**IT IS FURTHER ORDERED AND ADJUDGED** that, exclusively for purposes of the exceptions to discharge under Section 523, Bankruptcy Code, 11 U.S.C. § 523, the allegations in the Complaint are true and admitted by Chang-Rajii. Any debt for disgorgement, prejudgment interest, civil penalty, or other amounts due by Chang-Rajii under this final judgment or any other judgment, order, consent order, decree, or settlement agreement entered in connection with this

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proceeding is a debt for Chang-Rajii's violation of the federal securities laws or any regulation or order issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code, 11 U.S.C. § 523(a)(19).

V.

**RETENTION OF JURISDICTION**


**IT IS FURTHER ORDERED AND ADJUDGED** that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

VI.

**RULE 54(b) CERTIFICATION**

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.

**DONE AND ORDERED** in Miami, Florida, this 11th day of August, 2022.

  
CECILIA M. ALTONAGA  
CHIEF UNITED STATES DISTRICT JUDGE  
for Marcia G. Cooke, U.S. District Judge

cc: counsel of record