

LODGED

2005 MAY 26 PM 3:16

CLERK U.S. DISTRICT COURT
CENTRAL DISTRICT OF CALIF.
BY [Signature]

THIS CONSTITUTES NOTICE OF ENTRY
AS REQUIRED BY FRCP, RULE 77(d).

UNITED STATES DISTRICT COURT
FOR THE CENTRAL DISTRICT OF CALIFORNIA
WESTERN DIVISION

12 SECURITIES AND EXCHANGE
13 COMMISSION,

14 Plaintiff,

15 v.

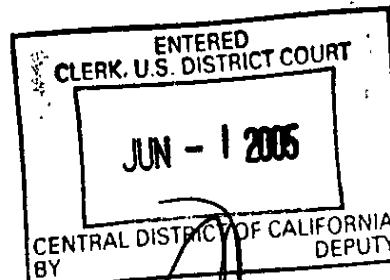
16 BRIAN E. PRIDGEON, STEPHON A.
17 CARRADINE, and CRAIG L.
18 SMITH,

19 Defendants.

Case No. CV 00-9375 FMC (RZx)

20 [REDACTED] JUDGMENT IMPOSING
21 DISGORGEMENT AGAINST
22 DEFENDANT BRIAN E. PRIDGEON

23 Docketed
24 Copies NTC Sent
25 JS - 5 / JS - 6
26 JS - 2 / JS - 3
27 CLSD



SCANNED

1 This Court, having granted in part the Motion for Summary Judgment to
2 impose Disgorgement, Prejudgment Interest, and Civil Penalties (docket no. 55),
3 and partial summary judgment in favor of Defendant Brian E. Pridgeon
4 ("Pridgeon") enters this Final Judgment Imposing Disgorgement ("Final
5 Judgment") against Pridgeon.

6 IT IS HEREBY FURTHER ORDERED, ADJUDGED AND DECREED
7 that Defendant Pridgeon is liable for disgorgement in the amount of \$ 82,375,
8 representing profits he received as a result of his violation of Section 10(b) of the
9 Securities Exchange Act of 1934, 15 U.S.C. § 78j(b), and Rule 10b-5, 17 C.F.R. §
10 240.10b-5. Defendant shall satisfy this obligation by paying \$82,375 [REDACTED]
11 [REDACTED] by cashier's check, certified check or postal
12 money order made payable to the United States Securities and Exchange
13 Commission, which check or money order shall be transmitted to the Office of
14 Financial Management, 6432 General Green Way, Mail Stop 0-3, Alexandria,
15 Virginia 22312, under cover of a letter that identifies the defendant, the name and
16 case number of this litigation and the name of the Court. A copy of the cover
17 letter and check shall be transmitted simultaneously to counsel for the
18 Commission at its Los Angeles office, located at 5670 Wilshire Boulevard, 11th
19 Floor, Los Angeles, California 90036.

20 /
21 /
22 /
23 /
24 /
25 /
26 /
27 /
28 /

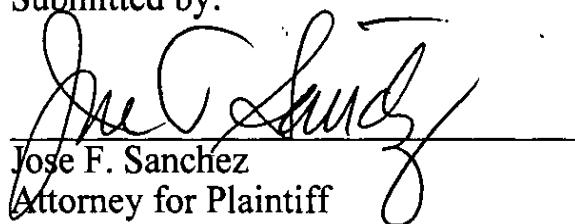
1 IT IS HEREBY FURTHER ORDERED, ADJUDGED AND DECREED
2 that this Court shall retain jurisdiction over this action for all purposes, including
3 to implement, enforce and carry out the terms of this Final Judgment and all other
4 orders and decrees that may be entered herein, and to grant such other relief as this
5 Court may deem necessary and just.

6
7 DATED: May 21, 2005



8
9
10 HON. FLORENCE-MARIE COOPER
11
12 UNITED STATES DISTRICT JUDGE

13 Submitted by:
14


15 Jose F. Sanchez
16 Attorney for Plaintiff
17 Securities and Exchange Commission
18
19
20
21
22
23
24
25
26
27
28

1 **PROOF OF SERVICE**

2 I am over the age of 18 years and not a party to this action. My business address
3 is:

4 U.S. SECURITIES AND EXCHANGE COMMISSION, 5670 Wilshire
5 Boulevard, 11th Floor, Los Angeles, California 90036.

6 Telephone: (323) 965-3998 Fax: (323) 965-3908

7 On May 26, 2005, I served the document entitled **[PROPOSED] JUDGMENT**
IMPOSING DISGORGEMENT AGAINST DEFENDANT BRIAN E.
8 **PRIDGEON** upon the parties to this action addressed as stated on the attached
service list:

9 **OFFICE MAIL:** By placing in sealed envelope(s), which I placed for
10 collection and mailing today following ordinary business practices. I am
readily familiar with this agency's practice for collection and processing of
correspondence for mailing; such correspondence would be deposited with
the U.S. Postal Service on the same day in the ordinary course of business.

11 **PERSONAL DEPOSIT IN MAIL:** By placing in sealed
12 envelope(s), which I personally deposited with the U.S. Postal
13 Service. Each such envelope was deposited with the U.S. Postal
Service at Los Angeles, California, with first class postage thereon
fully prepaid.

14 **EXPRESS U.S. MAIL:** Each such envelope was deposited in a
15 facility regularly maintained at the U.S. Postal Service for receipt of
Express Mail at Los Angeles, California, with Express Mail postage
paid.

16 **PERSONAL SERVICE:** I caused to be personally delivered each such
17 envelope by hand to the office of the addressee.

18 **FEDERAL EXPRESS:** By placing in sealed envelope(s) designated by
19 Federal Express with delivery fees paid or provided for, which I deposited
in a facility regularly maintained by Federal Express or delivered to a
20 Federal Express courier, at Los Angeles, California.

21 **FAX (BY AGREEMENT ONLY):** By transmitting the document by
22 facsimile transmission. The transmission was reported as complete and
without error.

23 **(Federal)** I declare that I am employed in the office of a member of the bar
24 of this Court, at whose direction the service was made. I declare under
penalty of perjury that the foregoing is true and correct.

25 Date: May 26, 2005

Magnolia M. Marcelo
26 MAGNOLIA M. MARCELO

SEC v. BRIAN E. PRIDGEON, et al.
United States District Court - Central District of California
Case No. CV 00-09375 FMC (RZx)
(LA-2256)

卷之三

SERVICE LIST

Jonathan Schwartz, Esq. (also served by facsimile)
Law Offices of Jonathan Schwartz
4676 Admiralty Way, Suite 503
Marina del Rey, CA 90292-6606
Attorney for Defendant Brian E. Pridgeon

Brian E. Pridgeon (also served by facsimile)
3520 Pleasant Row Court
San Jose, CA 95148
Facsimile: (408) 238-0447