

UNITED STATES DISTRICT COURT
DISTRICT OF MASSACHUSETTS

)
SECURITIES AND EXCHANGE COMMISSION,)
Plaintiff)
) CIVIL ACTION
v.) NO. 00-12051-REK
)
ROBERT D. HAPP,)
Defendant)
_____)

Final Judgment
November 25, 2003

On September 29, 2003, this case proceeded to trial before a jury on all claims. On October 9, 2003, the jury returned a verdict making the following findings of fact:

1. Did defendant Robert D. Happ possess nonpublic information concerning Galileo Corporation's fiscal quarter ending June 30, 1998, when he sold Galileo stock on June 29, 1998?

YES NO

2. Did defendant use nonpublic information concerning Galileo Corporation's fiscal quarter ending June 30, 1998, when he sold Galileo stock on June 29, 1998?

YES NO

3. Was the nonpublic information, if any, concerning Galileo's fiscal quarter ending June 30, 1998, that defendant possessed and used when he sold Galileo stock on June 29, 1998, material?

YES NO

4. Was defendant Robert D. Happ an insider in relation to his sale of Galileo stock on June 29, 1998?

YES NO

5. Did he employ a manipulative or deceptive device or contrivance?

YES NO

6. Did he engage in an act, practice, or course of business that operated, or, by an ordinarily prudent person in his position at the time he acted, would be expected to operate as a fraud or deceit upon some person?

YES NO

7. Was each of the acts, if any, you have found in answering 1 - 6, done in connection with defendant Robert D. Happ's sale of Galileo stock on June 29, 1998?

YES NO

8. Did defendant violate a duty of trust and confidence that he owed to Galileo Corporation and its shareholders when he sold his stock on June 29, 1998?

YES NO

9. Did defendant act, in his sale of Galileo stock,

1. With intent? YES NO

2. With knowledge? YES NO

3. With recklessness? YES NO

10. Did defendant use

Robert E. Keeton

Craig Nicewicz, Deputy Clerk