FINAL ORDER-THIS PRELIMINARY SUMMARY DISPOSITION BECAME THE FINAL ORDER OF THE COMMISSION ON AUGUST 7, 2026 PURSUANT TO RULE 21F-18(b)(4) OF THE SECURITIES EXCHANGE ACT OF 1934

Notice of Covered Action [redacted]

OWB Reference No. 08072026

**PRELIMINARY SUMMARY DISPOSITION
OF THE OFFICE OF THE WHISTLEBLOWER**

In response to the above-referenced Notice of Covered Action, the U.S. Securities and Exchange Commission ("Commission") received a whistleblower award claim from [redacted] ("Claimant") for the above referenced matter. Pursuant to Section 21F of the Securities Exchange Act of 1934 (the "Exchange Act") and Rule 21F-18 promulgated thereunder, the Office of the Whistleblower has evaluated the claim in accordance with the criteria set forth in Rules 21F-1 through 21F-18 and has designated your award application for resolution through the summary disposition process.

The Office of the Whistleblower has preliminarily determined to recommend that the Commission deny the above award claim.[1]

The first basis for this determination is that Claimant submitted an untimely award application because Claimant failed to submit the claim for award to the Office of the Whistleblower within ninety (90) days of the date of the above-referenced Notice of Covered Action, as required under Rule 21F-10(b) of the Exchange Act. The deadline to file award claims for the Covered Action was [redacted]. Claimant's award application was dated [redacted], approximately 3 years after the deadline.[2]

The second basis for this determination is that Claimant did not provide information that led to the successful enforcement of the above-referenced Covered Action within the meaning of Section 21F(b)(1) of the Exchange Act and Rules 21F-3(a)(3) and 21F-4(c) thereunder because the information provided did not: (1) cause the Commission to (i) commence an examination, (ii) open or reopen an investigation, or (iii) inquire into different conduct as part of a current Commission examination or investigation under Rule 21F-4(c)(1) of the Exchange Act; or (2) significantly contribute to the success of a Commission judicial or administrative enforcement action under Rule 21F-4(c)(2) of the Exchange Act. Claimant's tip relating to the Respondent in

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[1] To the extent Claimant has applied for an award in a related action, because Claimant is not eligible for an award in an SEC Covered Action, he/she is not eligible for an award in connection with any related action. *See* 15 U.S.C. § 78u-6(b); Exchange Act Rule 21F-3(b), (b)(1); Rule 21F-4(g) and (f); Rule 21F-11(a); *see also Order Determining Whistleblower Award Claim*, Release No. 34-86902 (Sept. 9, 2019).

[2] Claimant requests a waiver under Exchange Act Rule 21F-8(a) for the untimely WB-APP filing due to a "medical hardship" and "cognitive challenges." Claimant provides no supporting documentation in connection with the request and the medical hardship that Claimant refers to occurred years after the deadline for filing claims in the Covered Action. Additionally, even were Claimant's untimeliness excused, Claimant would still not be eligible for an award because of the second, independent ground for denial discussed herein.

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the Covered Action was submitted to the Commission approximately 30 months after the above referenced Covered Action was posted on the Commission's website. Therefore, Claimant's tip could not have been used in, or otherwise have had any impact on, the investigation or resulting settled Covered Action.

By: Office of the Whistleblower

Date: July 8, 2026