

Notice of Covered Action: [REDACTED]

Reference No: 07292026

**PRELIMINARY SUMMARY DISPOSITION
OF THE OFFICE OF THE WHISTLEBLOWER**

In response to the above-referenced Notice of Covered Action, the U.S. Securities and Exchange Commission received a whistleblower award claim from [REDACTED] (“Claimant”) for the above-referenced matter. Pursuant to Section 21F of the Securities Exchange Act of 1934 (the “Exchange Act”) and Rule 21F-18 promulgated thereunder, the Office of the Whistleblower has evaluated the above claim in accordance with the criteria set forth in Rules 21F-1 through 21F-18 and has designated your award application for resolution through the summary disposition process.¹

The Office of the Whistleblower has preliminarily determined to recommend that the Commission deny the above award claim for the reasons stated below.²

Claimant’s whistleblower submission upon which Claimant bases the claim for an award was not made voluntarily as required by Exchange Act Section 21F and Rules 21F-3 and 21F-4(a)(1) because Claimant made the submission after receiving a request, inquiry, or demand, from Commission staff that was directed to Claimant and that related to the subject matter of the submission. Claimant submitted information to the Commission more than four months after Enforcement staff initiated contact with Claimant and interviewed Claimant concerning information that was the subject matter of the staff’s investigation.³

By: Office of the Whistleblower

Date: June 29, 2026

¹ See Exchange Act Rule 21F-18(a)(1)-(6).

² To the extent Claimant applied for an award in a related action, because Claimant is not eligible for an award in an SEC Covered Action, Claimant is not eligible for an award in connection with any related action. See 15 U.S.C. § 78u-6(b); Exchange Act Rule 21F-3(b), (b)(1); Rule 21F-4(g) and (f); Rule 21F-11(a); see also Order Determining Whistleblower Award Claim, Release No. 34-86902 (Sept. 9, 2019).

³ During the staff’s investigation, staff discovered Claimant’s lawsuit against the company alleging conduct similar to the conduct under investigation by the staff. The staff initiated contact with Claimant in [REDACTED] and requested that Claimant speak with them. Claimant agreed to an interview with the staff pursuant to the staff’s request and provided documents. Claimant later submitted a tip to the Commission in [REDACTED] concerning the same subject matter. Claimant then participated in additional discussions with the staff and provided additional documents after submission of Claimant’s tip relating to the same subject matter.