FINAL ORDER – THESE PRELIMINARY SUMMARY DISPOSITIONS BECAME THE FINAL ORDER OF THE COMMISSION ON JUNE 23, 2026 PURSUANT TO RULE 21F-18(b)(4) OF THE SECURITIES EXCHANGE ACT OF 1934

Notice of Covered Action [redacted]

Reference No. 06232026

PRELIMINARY SUMMARY DISPOSITIONS OF THE OFFICE OF THE WHISTLEBLOWER

In response to the above-referenced Notice of Covered Action, the U.S. Securities and Exchange Commission ("Commission") received whistleblower award claims from [redacted] ("Claimant 1") and [redacted] ("Claimant 2") (collectively, "Claimants") for [redacted] ("Covered Action").

Pursuant to Section 21F of the Securities Exchange Act of 1934 ("Exchange Act") and Rule 21F-18 promulgated thereunder, the Office of the Whistleblower ("OWB") has evaluated the above claims in accordance with the criteria set forth in Rules 21F-1 through 21F-18 and has designated Claimant 1's and Claimant 2's award applications for resolution through the summary disposition process.[1]

OWB has preliminarily determined to recommend that the Commission deny the above award claims for the reasons stated below.

Claimants are not "whistleblowers" under Exchange Act Rule 21F-2(a)(1) with respect to the Covered Action. To qualify as a whistleblower, an individual must (among other things) provide information regarding a potential securities law violation to the Commission in the form and manner that is required by Exchange Act Rule 21F-9(a), which Claimants did not do. Specifically, there is no record that Claimants submitted any information on Form TCR or online, through the Commission's website: (1) in September 2019, as Claimants allege; or (2) relating to the subject matters involved in the Covered Action. In addition, Claimants did not sign the required whistleblower declaration as required under Exchange Act Rule 21F-9(b).

By: Office of the Whistleblower

Date: May 20, 2026

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1 *See* Exchange Act Rule 21F-18(a)(1)–(6).