

**FINAL ORDER-THIS PRELIMINARY SUMMARY DISPOSITION BECAME
THE FINAL ORDER OF THE COMMISSION ON APRIL 17, 2026, AS TO CLAIMANT 4
PURSUANT TO RULE 21F-18(b)(4) OF THE SECURITIES EXCHANGE ACT OF 1934**

Notice of Covered Action [REDACTED]

**PRELIMINARY SUMMARY DISPOSITIONS
OF THE OFFICE OF THE WHISTLEBLOWER**

In response to the above-referenced Notice of Covered Action, the U.S. Securities and Exchange Commission (“Commission”) received whistleblower award claims from [REDACTED], [REDACTED], [REDACTED] (“Claimant 4”). Pursuant to Section 21F of the Securities Exchange Act of 1934 (the “Exchange Act”) and Rule 21F-18 promulgated thereunder, the Office of the Whistleblower (“OWB”) has evaluated the above claims in accordance with the criteria set forth in Rules 21F-1 through 21F-18 and has designated your award application for resolution through the summary disposition process.

[REDACTED]

[REDACTED]

[REDACTED]

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[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

Claimant 4

OWB has preliminarily determined to recommend that the Commission deny Claimant 4's award claims. Claimant 4 did not provide information that led to the successful enforcement of the above-referenced Covered Action within the meaning of Section 21F(b)(1) of the Exchange Act and Rules 21F-3(a)(3) and 21F-4(c) thereunder because the information provided did not: (1) cause the Commission to (i) commence an examination, (ii) open or reopen an investigation, or (iii) inquire into different conduct as part of a current Commission examination or investigation under Rule 21F-4(c)(1) of the Exchange Act; or (2) significantly contribute to the success of a Commission judicial or administrative enforcement action under Rule 21F-4(c)(2) of the Exchange Act. The Covered Action investigation was opened based on a tip submitted by another individual to the Commission and was not opened in response to information provided by Claimant 4.

Claimant 4 submitted information to the Commission after the Commission had already opened the investigation that led to the Covered Action. The information that was provided by Claimant 4, including information from phone calls, TCR submissions,

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and later document productions, was either already known to the Commission or was unhelpful. Claimant 4 did not provide any relevant and unknown information concerning the misconduct that was the subject of the ongoing investigation that led to the Covered Action.

By: Office of the Whistleblower

Date: March 10, 2026