

## ***Introduction to 3EDGE Asset Management***

3EDGE Asset Management, LP (“3EDGE”) is registered with the Securities and Exchange Commission as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment adviser, and investing.

## ***Relationships and Services***

### ***What investment services and advice can you provide me?***

We offer investment advisory services to retail investors, including portfolio management for individuals, family offices, multi-family offices, as well as institutional clients including endowments and foundations, public and private retirement plans and corporations. We also provide sub-advisory services for client accounts of other investment advisory firms. In addition, we provide model portfolio recommendations to unaffiliated investment advisers without providing trade execution or other services. We also provide financial planning services to retail investors. We primarily utilize exchange traded funds (“ETFs”) to implement your investment strategy.

### ***Monitoring***

As part of our standard services, all investments will be reviewed and approved by our investment team and our investment team monitors your account(s) on a daily basis. There are no material limitations to our account monitoring.

### ***Investment Authority***

We manage your account(s) on a discretionary basis, which means we can buy and sell investments on your behalf without seeking permission on a trade-by-trade basis. You grant us discretionary authority through the execution of our investment management agreement. Our discretionary authority may be limited if you request reasonable investment restrictions on the investments we may select for you which we will review and accept on a case by case basis.

### ***Limited Investment Offering***

We do not currently make available or offer advice only with respect to proprietary products. However, our investment recommendations to you will consist primarily of ETFs.

### ***Account Minimums and Other Requirements***

The minimum amount to open an account with our firm is \$250,000. However, this amount is negotiable.

***Additional information about our services is included in our Form ADV Part 2A which is available at:***

<https://adviserinfo.sec.gov/firm/summary/282059>

***Conversation Starters.*** Ask your financial professional:

- ◆ *Given my financial situation, should I choose an investment advisory service? Why or why not?*
- ◆ *How will you choose investments to recommend to me?*
- ◆ *What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?*

## ***Fees, Costs, Conflicts, and Standard of Conduct***

### ***What fees will I pay?***

The management fees we charge are negotiated with you or the investment adviser if we sub-advise your account. Fees are negotiable. The standard management fee schedule ranges from 0.50% to 0.80% annually, with fee breakpoints depending on your total assets under our management. For its Ultra Short-Term Strategy, which is offered to clients as a short-term fixed income alternative, 3EDGE charges an annual management fee of 0.10%. Management fees are

generally paid quarterly in arrears based on the average market value on each business day during the calendar quarter and prorated for partial quarters. The more assets you have in your account, the more you will pay in fees. Therefore, we may have an incentive to encourage you to increase the assets in your account.

In addition to our management fee, you will bear the trading costs for your account(s), including brokerage and custodial fees. Also, you will be indirectly subject to each ETF's management, trading, and administrative expenses.

**You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.**

**Additional information about our fees and costs is included in our Form ADV Part 2A which is available at:**  
<https://adviserinfo.sec.gov/firm/summary/282059>

**Conversation Starter.** Ask your financial professional:

- ◆ *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

## ***Disciplinary History***

**Do you or your financial professionals have legal or disciplinary history?**

Yes ☐

No ☒

Visit [Investor.gov](https://Investor.gov)/CRS for a free and simple search tool to research us and our financial professionals.

**Conversation Starter.** Ask your financial professional:

- ◆ *As a financial professional, do you have any disciplinary history? For what type of conduct?*

## ***Additional Information***

Additional information about our investment advisory services can be found on our website at: [www.3edgeam.com](http://www.3edgeam.com).

If you would like to request up to date information about our firm or request a copy of our relationship summary, please contact Kristi McDermott, our Chief Compliance Officer, at (844) 903-3343.

**Conversation Starter.** Ask your financial professional:

- ◆ *Who is my primary contact person? Is he or she a representative of an investment-adviser or a broker-dealer?*
- ◆ *Who can I talk to if I have concerns about how this person is treating me?*

**Exhibit to Amended Form CRS - Summary of Material Changes**

- **Relationships and Services:**
  - 3EDGE added language noting that it provides financial planning services to retail investors.
- **Fees, Costs, Conflicts, and Standard of Conduct:**
  - 3EDGE disclosed that its Ultra Short-Term Strategy charges a 0.10% annual management fee.
  - 3EDGE removed the conflict-of-interest language relating to potentially recommending strategies with higher management fees, as all marketed investment strategies now charge the same management fees.