



Client Relationship Summary – March 2024

Foresight Global Investors, Inc. is a Securities and Exchange Commission registered investment adviser. Investment advisory and brokerage services and fees differ, and it is important for you to understand the differences.

Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about investment advisers, broker-dealers, and investing.

What investment services and advice can you provide me?

We provide investment management services to individuals, trusts, and estates (our “retail investors”). As part of our standard services, we monitor our clients’ accounts over which we have investment management authority on a continuous basis and hold review meetings with clients as necessary but at least annually.

We offer our asset management services on a discretionary basis. Discretionary asset management allows us the limited authority to buy and sell investments in your account without asking you each time a transaction is placed. Our level of authority is determined at the beginning of our relationship with you in our advisory agreement but can be changed upon request.

The scope of our investment management services is limited to our global value and international value strategies. Our account minimum is \$1000,000.

For additional Information, please see our Form ADV Part 2A (with special emphasis on Items 4, 7, and 16) which can be obtained by calling us at 914-253-8809.

Conversation Starters – Ask Your Financial Professional:

Given my financial situation, should I choose investment advisory services? Why or why not?

How will you choose investments to recommend to me?

What is your relevant experience, including your licenses, education and other qualifications?

What do these qualifications mean?

What fees will I pay?

Principal Fees: If you engage us to provide investment management services, you will pay an on-going asset-based fee for our services on a quarterly basis charged in advance. The amount paid by you to our firm does not vary based on the type of investments we select on your behalf. The asset-based fee reduces the value of your account and will be deducted from your account. The more assets in your investment advisory account will result in more fees you will pay, and our firm therefore has an incentive to encourage you to increase the assets in your account.

Other Fees and Costs: Our fees are exclusive of brokerage commissions, transaction fees, and other related costs and expenses which shall be incurred by the client. You may incur certain charges imposed by custodians, brokers, custodial fees, odd-lot differentials, transfer taxes, wire transfer and electronic fund fees, and other fees and taxes on brokerage accounts and securities transactions. All fees paid to us for investment management services are separate and distinct from the fees and expenses charged by the custodians.

Additional Information: You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce the amount of money you make on your investments over time.



Please make sure you understand what fees and costs you are paying. **More detailed information about our fees and costs are included in our Form ADV Part 2A (Item 5) which can be found on our website at www.foresightgi.com.**

Conversation Starter – Ask Your Financial Professional:

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

We may recommend a particular custodian from whom we receive support services and/or products, certain of which assist us to better monitor and service your account. We may also receive new client referrals from custodians that we recommend to our clients. **Additional information about conflicts of interest and how the firm addresses them are discussed in more detail in our Form ADV Part 2A.**

Conversation Starter – Ask Your Financial Professional:

How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

Our financial professionals receive a base compensation package and can receive additional compensation based upon individual and firm performance. Sales professionals receive compensation directly tied to the acquisition of client assets.

Do you or your financial professionals have legal or disciplinary history?

No. We invite you to visit Investor.gov/CRS for a free and simple search tool to research our firm and financial professionals.

Conversation Starter – Ask Your Financial Professional:

As a financial professional, do you have any disciplinary history? For what type of conduct?

We encourage you to seek out additional information about our investment advisory services in our Form ADV Brochure on Investor.gov or adviserinfo.sec.gov (CRD #281818). Alternatively, you can call us at 914-253-8809 to speak with us directly to request up-to-date information and request a copy of the relationship summary.

Conversation Starters – Ask Your Financial Professional:

Who is my primary contact person?

Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?



Exhibit 1 Summary of Material Changes to Form CRS

May 2023 - This Form CRS was amended to revise the formatting and disclosure presentation in conformity with the Form CRS instructions.

