

March 28, 2024

Introduction

Transatlantique Private Wealth, LLC ("TPW," "We") is an investment adviser registered with the U.S. Securities and Exchange Commission ("SEC"). Brokerage and investment advisory services and fees differ and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at <https://www.investor.gov/CRS>, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

As an SEC registered investment adviser, TPW offers investment advisory services to retail investors for an ongoing asset-based fee based on the value of cash and investments in your account. If you open an account with Our firm, We'll meet with you to understand your current financial situation, existing resources, goals, and risk tolerance. Based on what We learn, We'll recommend a portfolio of investments that is regularly monitored, and if necessary, rebalanced to meet your changing needs, stated goals and objectives. There is a \$300,000 account minimum to open a client account.

Our accounts are managed on a discretionary and non-discretionary basis. For discretionary accounts, We don't need to communicate when buying or selling in your account. You will sign an investment management agreement giving us this authority. This agreement will remain in place until you or We terminate the relationship. Clients have the ultimate decision in effecting transactions in non-discretionary accounts.

TPW advises two private investment funds, which are offered to qualified investors, subject to investor due diligence and an executed subscription agreement. TPW is a sub-advisor to a French UCITS fund, which invests in U.S. equities.

Additional information about Our advisory services is located in Our ADV Part 2A – Firm Brochure, located here: [SEC Website](#)

Conversation Starter. Ask your financial professional:

- **Given my financial situation, should I choose an investment advisory service? Why or why not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education and other qualifications?**
- **What do those qualifications mean?**

What fees will I pay?

TPW's fees for advisory services will be charged as a percentage of market value of assets under Our management (AUM). AUM fees are billed either quarterly or semi-annually, in arrears, and pro-rated for partial quarters. Fees are negotiable.

Our incentive is to increase the value of your account over time which will increase Our fees over time. The fees will be automatically deducted from your account. You will pay fees whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

The Custodian that holds your assets can charge you a transaction fee when We buy or sell an investment for you. The custodians' transaction fees are in addition to Our advisory fee for Our investment advisory service. Some investments, such as mutual funds and exchange traded funds charge additional fees that will reduce the value of your investments over time.

Fees charged by TPW for advising the private investment funds are paid directly from the funds. TPW clients who invest in the funds are not charged an investment advisory fee on the value of the fund investment, so as not to be double billed. Additional information about Our fees are in Our ADV Part 2A - Firm Brochure, located here: [SEC Website](#).

Conversation Starter. Ask your financial professional:

- **Help me understand how these fees and costs will affect my investments.**

- If I give you \$10,000 to invest, how much will go to fees and costs and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When We act as your investment adviser, We have a fiduciary duty to act in your best interest and not put Our interest ahead of yours. At the same time, the way We make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice We provide you. Here is an example to help you understand what this means. We provide investment advisory services to client accounts in different strategies with varying fee schedules. This can create conflicts of interest because our portfolio management team must allocate their time across multiple client accounts simultaneously and allocate investment opportunities among clients

The Firm's revenue is derived from the advisory fees We collect from your accounts each Quarter. Therefore, We have an incentive to increase the value of your account over time which will increase Our fees over time. Neither TPW nor any of its employees receives compensation for the sale of securities to Our clients. TPW receives no compensation from any fundmanager or other third-party for the investments that it selects for client accounts. Additional information about Our conflicts of interest can be found in Item 11 of Our ADV Part 2A - Firm Brochure, located here: [SEC Website](#).

Conversation Starter. Ask your financial professional:

- What are your legal obligations to me when acting as my investment adviser?
- How else does your firm make money and what conflicts of interest do you have?

How do your financial professionals make money?

Our financial professionals are employees of the firm and are paid a fixed monthly salary and are eligible for bonus. Bonuses are based on individual and overall firm performance

Do you or your financial professionals have legal or disciplinary history?

Yes, for the firm; no for Our financial professionals. Visit <https://www.investor.gov/CRS> for a free and simple search tool to research Our firm and Our financial professionals.

Conversation Starter. Ask your financial professional:

- As a financial professional, do you have any disciplinary history?
- For what type of conduct?

Additional Information

You can find additional information about Our firm's investment advisory services on the SEC's Website at www.adviserinfo.sec.gov by clicking on the FIRM tab and then searching CRD #169820. If you would like additional, up-to-date information or a copy of this disclosure, please call (212) 644-4219.

Conversation Starter. Ask your financial professional:

- Who is my primary contact person?
- Is he or she a representative of an investment advisor or a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?



Exhibit A – Material Changes to the Customer Relationship Summary

March 28, 2024: The Firm mirrored Form ADV 2A to show that there is a \$300,000 account minimum to open a client account.