

INX SECURITIES, LLC
CLIENT RELATIONSHIP SUMMARY DISCLOSURE (“CRS”)
Effective February 28, 2024

INX Securities, LLC. (“we”, “us”, “firm”, or “INXS”) is registered as a broker-dealer and member of FINRA/SIPC. We operate as an “Alternative Trading System” or “ATS”. This disclosure includes information about our services, fees and costs, and conflicts of interest that we are required to provide to you when we recommend that you open and fund a brokerage account.

Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/crs), which also provides educational materials about broker-dealers and investing. PLEASE RETAIN A COPY OF THIS DOCUMENT FOR YOUR RECORDS

What Investment Services Can We Provide You?

Our Service Offerings: Brokerage Services

Our ATS offers secondary market trading in certain over-the-counter (“OTC”) securities. All transactions on the ATS are executed on an agency basis. The firm does not act as principal in any trade. We do not recommend specific asset classes or securities nor do we limit securities available to trade on the ATS to those with third-party compensation arrangements.

The ATS provides for the buying and selling of secondary market OTC securities. We do not provide investment recommendations. We do not have a fiduciary obligation to you, but we must act in your best interest without placing our financial or other interests ahead of yours.

The OTC securities available to trade on the INXS ATS are not insured by the FDIC or any other federal government agency, are not deposits or other obligations of, or guaranteed by, a bank or any bank affiliate, and are subject to investment risks, including possible loss of the principal amount invested.

We also offer primary raise services for issuers who want to raise money pursuant to Reg D, Reg S, Reg A+, Reg CF, S1/F1 or any other valid exemption. All offerings are done on a best efforts basis with INXS acting as the broker/dealer of record.

Limits on Monitoring and Investment Discretion:

We do not exercise discretionary investment authority in your account (i.e., make decisions to buy or sell your investments without your direction) or monitor your brokerage account investments for you. This means that you are responsible for reviewing your account and investments to make sure your investments are appropriate for you.

No Account Minimums:

You do not need to have a minimum amount to invest to become a User on the INXS ATS.

WHAT FEES WILL YOU PAY?

Brokerage Services:

For our brokerage services, you will pay the “transaction-based” fees as disclosed in the [INX Fee Schedule](#) and [Securities Terms of Service | INX](#) on INXS. These fees are typically called commissions. These fees vary depending on the transaction principal amount.

Description of Other Fees and Costs:

There are other third-party fees charged by INXS’ partners’ but we do not receive any portion of these fees. For example, our banking partners may charge fees for certain wire withdrawal transactions. You will pay fees to INXS and/or third parties whether or not you make money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

What Potential Conflicts Exist?

Because INXS ATS generates its revenue on executed transactions, it earns more money when your trading fees increase. However, neither INXS nor any of its affiliates provide any investment advice or make any investment recommendations to any persons, ever, and no communication through its website or in any other medium should be construed as such.

Disciplinary History

Do INXS ATS professionals have legal or disciplinary history? Yes. See brokercheck.finra.org/

ADDITIONAL CONVERSATION STARTERS: Ask your financial professional

(See Investor.gov/CRS):

- *Given my financial situation, should I choose a brokerage service? Why or why not?*
- *What is your relevant experience, years in the investment business, licenses, education and other qualifications?*
- *What services do you and your firm offer?*
- *How are you and your firm compensated? Are there any conflicts?*
- *Do the firm or its financial professionals have reportable disciplinary history?*
- *Who is the firm’s primary contact person should I have any concerns?*
- *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

For additional information about our brokerage services or to obtain an updated copy of this Client Relationship Summary, please visit our website at www.inx.co, call us at 1-855-960-1258 or by contacting us in writing at INX Securities, LLC, Attn: Compliance, 252 Bradley Ct. Holland, PA 18966