

Parker Advisory Group, LLC
February 22, 2024

FORM CRS

Parker Advisory Group, LLC is registered with the Securities and Exchange Commission as an investment adviser and, as such, we provide advisory services rather than brokerage services. Brokerage and investment advisory services and fees differ and it is important for you, our client, to understand the differences. Additionally, free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing. This document is a summary of the services and fees we offer to "retail" investors, which are natural persons who seek or receive services primarily for personal, family, or household purposes.

What investment services and advice can you provide me?

We offer the following investment advisory services to retail investors: Financial Planning and Consulting Services, Asset Management Services, and the Selection of Other Advisors.

Account Monitoring - If you open an investment account with our firm, as part of our standard service we will monitor your investments. Accounts participating in an SEI program are monitored on an ongoing basis. Accounts participating in either John Hancock or American Funds will be monitored on a periodic basis. However, all accounts will be reviewed at least annually.

Investment Authority - We manage investment accounts on a discretionary basis whereby we will decide which investments to buy or sell for your account. We have discretion to select, retain or replace third-party managers to manage your accounts. You may limit our discretionary authority (for example, limiting the types of securities that can be purchased or sold for your account) by providing our firm with your restrictions and guidelines in writing. We also offer non-discretionary investment management services whereby we will provide advice, but you will ultimately decide which investments to buy and sell for your account. You have an unrestricted right to decline to implement any advice provided by our firm on a non-discretionary basis.

Investment Offerings - We offer advice on the following types of investments or products: equity securities, corporate debt securities (other than commercial paper), certificates of deposit, municipal securities, mutual fund shares, United States government securities, money market funds, real estate, REITs, ETFs and interests in partnerships investing in real estate.

Account Minimums and Requirements - In general, we require a minimum account size of \$50,000 to open and maintain an advisory account, which may be waived in our discretion.

Detailed information regarding our services, fees and other disclosures can be found in our Form ADV Part 2A Brochure Items 4, 5, 7, and 8 by clicking this link <https://adviserinfo.sec.gov/firm/brochure/150946>.

Key Questions to Ask Your Financial Professional

- **Given my financial situation, should I choose an investment advisory service? Why or Why Not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education and other qualifications?**
- **What do these qualifications mean?**

What fees will I pay?

The following summarizes the principal fees and costs associated with engaging our firm for investment advisory services.

- **Asset Based Fees** - Payable quarterly in arrears. Since the fees we receive are asset-based (i.e. based on the value of your account), we have an incentive to increase your account value which creates a conflict especially for those accounts holding illiquid or hard-to-value assets.
- **Hourly Fees** - Payable 50% in advance and 50% upon completion of services rendered.
- **Fixed Fees** - Payable 50% in advance and 50% upon completion of services rendered.
- **Third Party Advisory Fees** - You will pay advisory fees charged by third party investment advisers, which are separate and in addition to our fees.
- **Additional Fees** - Clients will also pay additional fees and/or expenses associated with mutual funds and ETFs. Clients will also be responsible for paying the custodial/brokerage fees, account maintenance fees, and transaction charges such as: custodian fees; account maintenance fees; fees related to mutual funds and exchange-traded funds; transaction charges when purchasing or selling securities; and other product-level fees associated with your investments

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For detailed information, refer to our Form ADV Part 2A Brochure, Items 5 and 6 by clicking this link <https://adviserinfo.sec.gov/firm/brochure/150946>.

Key Questions to Ask Your Financial Professional

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

- **Third-Party Payments** - Persons providing investment advice on behalf of our firm are licensed as real estate agents and independent insurance agents. These persons will earn commission-based compensation for selling insurance products. Insurance commissions are separate and in addition to our advisory fees. This practice presents a conflict of interest because they have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs
- **Other Financial Affiliations** - Owners and advisors of our firm are owners and Managers of a special purpose vehicles (SPVs") in which certain clients are also owners and invested in specific investments. They will receive income from these entities based upon their ratable ownership in the underlying investment. No other clients of Parker Advisory Group will be solicited to invest.
- **Compensation from other Registered Investment Advisers**-Persons providing investment advice on behalf of our firm are also registered as investment adviser representatives of EP Wealth Advisors (CRD # 111147), an SEC-registered investment adviser and NuWealth Advisors (CRD # 328411), a state-registered investment adviser. These persons will receive compensation from fees from each of the respective firms. We will not recommend the services of Parker Advisory Group to new clients and will transition existing clients to either NuWealth Advisors or EP Wealth Advisors.

Key Questions to Ask Your Financial Professional

- **How might your conflicts of interest affect me, and how will you address them?**

Refer to our Form ADV Part 2A Brochure by clicking this link <https://adviserinfo.sec.gov/firm/brochure/150946> to help you understand what conflicts exist.

How do your financial professionals make money?

The financial professionals servicing your account(s) are compensated either by a salary/bonus arrangement or by receiving a percentage of the advisory fees and commissions earned for the firm. Our financial professional's compensation is based on the amount of client assets they service and the complexity of those accounts.

Do you or your financial professionals have legal or disciplinary history?

Yes, our firm and/or financial professionals have legal and disciplinary history. Visit [Investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research our firm and our financial professionals.

Key Questions to Ask Your Financial Professional

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

You can find additional information about your investment advisory services and request a copy of the relationship summary at 435-628-8773 or click the link provided: <https://adviserinfo.sec.gov/firm/brochure/150946>.

Key Questions to Ask Your Financial Professional

- **Who is my primary contact person?**
- **Is he or she a representative of an investment adviser or a broker-dealer?**
- **Who can I talk to if I have concerns about how this person is treating me?**

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Exhibit to Form CRS

Parker Advisory Group, LLC is required to update its Form CRS when information in the Form CRS becomes materially inaccurate. This Exhibit summarizes the following material changes to the firm's Form CRS:

- Our company's business or entity structure has been converted from a corporation ("Inc.") to a limited liability company ("LLC"). Therefore, our legal entity name has changed to Parker Advisory Group, LLC.
- Our firm and/or financial professionals have legal and disciplinary history. Visit [Investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research our firm and our financial professionals.
- Persons providing investment advice on behalf of our firm are licensed as real estate agents and independent insurance agents. This practice presents a conflict of interest because they have an incentive to recommend real estate and/or insurance products to you for the purpose of generating commissions rather than solely based on your needs.
- Special Purpose Vehicles - Owners and advisors of our firm are owners and Managers of a special purpose vehicles (SPVs") in which certain clients are also owners and invested in specific investments. They will receive income from these entities based upon their ratable ownership in the underlying investment. No other clients of Parker Advisory Group, LLC will be solicited to invest in the SPVs.
- Compensation from other Registered Investment Advisers-Persons providing investment advice on behalf of our firm are also registered as investment adviser representatives of EP Wealth Advisors (CRD # 111147), an SEC-registered investment adviser and NuWealth Advisors (CRD # 328411), a state-registered investment adviser. These persons will receive compensation from fees from each of the respective firms. We will not recommend the services of Parker Advisory Group to new clients and will transition existing clients to either NuWealth Advisors or EP Wealth Advisors.