

Form ADV Part 3
Client Relationship Summary
D'Annunzio Consulting Group, LLC
March 15, 2024

D'Annunzio Consulting Group, LLC (DCG) is registered with the Securities and Exchange Commission as an investment advisor. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at investor.gov/crs, which also provides educational materials about broker-dealers, investment advisers and investing.

What investment services and advice can you provide me?

DCG provides investment advisory services to retail investors. We become knowledgeable of your goals and objectives to formulate and deliver appropriate investment advice. We review and recommend revisions to your current asset allocation guidelines, and recommend potential improvements to strategies utilized, asset or style diversification and manager selection. On an ongoing basis, we monitor your selected investment managers and strategies to ensure that they are still in your best interest, produce performance reports at least quarterly, provide rebalancing recommendations and termination recommendations, and assist in implementation of recommendations approved by you and those selected by clients. When recommending a money manager, we act as an advocate in fee negotiations when possible. We may recommend investments including but not limited to mutual funds, common stocks, exchange traded funds, separate account managers, hedge funds, and private equity funds. We do not offer or make available proprietary products. Since our services are non-discretionary, you, the investor, make the ultimate decisions regarding the purchase or sale of investments. The minimum account size is generally \$20 million in assets under advisement with exceptions as determined by our principal, Holly D'Annunzio, in her sole discretion. While we prefer to use Charles Schwab & Co., Inc. (Schwab) as custodian there is no requirement that you use a certain custodian or open additional accounts to be a client. In addition to 24/7 access to information about your investments on our portal, formal reviews are conducted quarterly through written performance reports provided to clients. Periodically, we accept one-time consulting assignments that do not include ongoing supervisory services. For additional information, please see Items 4 and 7 of our [Form ADV, Part 2A](#).

Important questions to ask your financial professional:

- ✓ Given my financial situation, should I choose an investment advisory service? Why or why not?
- ✓ How will you choose investments to recommend to me?
- ✓ What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

What fees will I pay?

We are compensated for our services by retainer negotiated based on the complexity of the client, the amount of assets under management, the number of decision makers, the frequency of meetings, the types of investment vehicles and asset classes utilized, and the services agreed upon. Our annual investment advisory fees are prorated and paid quarterly, in advance. Our fees are exclusive of fees charged by unaffiliated third parties such as brokerage commissions, transaction fees (i.e. wire transfer and electronic fund fees), custodian charges, fees charged by money managers, taxes, surrender charges, deferred sales charges, odd-lot differentials or transfer taxes, if applicable. Mutual funds and exchange traded funds charge internal management fees, which are disclosed in a fund's prospectus. Such charges, fees and commissions are exclusive of and in addition to our fee, and we do not receive

any portion of these commissions, custodian fees, or costs. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. For additional information, please see Item 5 of [Form ADV, Part 2A](#).

Important question to ask your financial professional:

- ✓ Help me understand how these fees and cost might affect my investments. If I give you \$10,000 how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means. Due to the ease of using one custodial system we typically recommend Schwab as custodian when appropriate. Schwab may charge higher fees on some products or services than another custodian may have charged. Our only income is the annual retainer received from clients. We do not participate in any commission sharing or referral programs. For additional information, see items 10 and 12 of [Form ADV, Part 2A](#)

Important question to ask your financial professional:

- ✓ How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

DCG's financial professionals (except Holly D'Annunzio) are paid a salary and bonus based on factors such as performance and level of responsibility. Ms. D'Annunzio, the principal of the firm, receives net profits of the firm. We do not have any conflicts of interest with our client in the way that we are compensated. For additional information, see Item 5 of [Form ADV, Part 2A](#).

Do you or your financial professionals have legal or disciplinary history?

No. Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of our firm or the integrity of our management. Free and simple search tools to research our firm and financial professionals are available at investor.gov/crs.

Important question to ask your financial professional:

- ✓ As a financial professional, do you have any disciplinary history? For what type of conduct?

Additional Information

For Additional information about our services, please see our [Investment Advisory Firm Summary](#) and visit our website at <https://www.dannunzioconsulting.com/>.

Contact Holly D'Annunzio at (253) 272-1972 to request additional information or a free copy of this document.

Important questions to ask your financial professional:

- ✓ Who is my primary contact person?
- ✓ Is he or she a representative of an investment adviser or a broker-dealer?
- ✓ Who can I talk to if I have concerns about how this person is treating me?