

Form ADV Part 3 – Client Relationship Summary

Date: 03/29/2024

Item 1: Introduction

AEGIS WEALTH MANAGEMENT LLC is registered with the Securities Exchange Commission (“SEC”) as a Registered Investment Adviser (“RIA”). As an RIA, our services and compensation structure differ from that of a registered broker-dealer, and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/crs). The site also provides educational materials about broker-dealers, investment advisers and investing.

Item 2: Relationships and Services

What investment services and advice can you provide me?

Our firm primarily offers the following investment advisory services to clients: portfolio management (we review your portfolio, investment strategy, and investments); financial planning (we assess your financial situation and provide advice to meet your goals); solicitor/selection of other advisers (we select investment funds or separate-account manager for you to use). As part of our services, we typically monitor client accounts on a monthly basis. Our firm offers both discretionary advisory services (where our firm makes the decision regarding the purchase or sale of investments) as well as non-discretionary services (where the retail investor makes the ultimate decision). In either case, our management authority over your account(s) continues until our engagement is terminated.

We limit the types of investments that are recommended since not every type of investment vehicle is needed to create an appropriate portfolio. Our firm does *not* have a minimum account size, but we may assess minimum annual fees, particularly for clients with significant planning needs. Please also see our Form ADV Part 2A (“[Brochure](#)”), specifically Items 4 & 7.

Questions to ask us: Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

Item 3: Fees, Costs, Conflicts, and Standard of Conduct

What fees will I pay?

Our fees vary depending on the services you receive. Unless otherwise agreed, fees for Portfolio Management are generally based on a percentage of assets placed under our management, with annual fees ranging from 0.30% - 1.00%. Because the amount of assets under our management affects our advisory fee; the more assets you have in your advisory account, the more you will pay us. We are therefore incentivized to increase those assets in order to increase our fee.

We may also offer fixed fee arrangements where annual fees are based on the amount of work we expect to perform for you. Material changes in that amount of work will affect the advisory fee we quote you. Unless otherwise agreed, fees are charged quarterly in advance. *We also have other compensation structures such as % of assets under consultation; please ask us whether those apply to your accounts.* You pay our fees even if you do not have any transactions, and the advisory fee paid to us generally does not vary based on the type of investments selected. Please also see Items 4, 5, 6, 7 & 8 of our [Brochure](#).

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Third Party Costs: Some investments (e.g., mutual funds, separately managed accounts, etc.) impose additional fees (e.g., transactional fees and product-level fees) that reduce the value of your investment over time. Additionally, you may pay transaction fees when we buy and sell an investment for your account. Third-party advisers will generally also assess their own fees, which are separate from and in addition to our fees. **You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.** Please also see our [Brochure](#) for additional details.

Questions to ask us: Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means:

- * We may recommend a particular custodian from whom we receive support services and/or products, certain of which assist us to better monitor and service your account.
- * We may recommend the services of one or more of our supervised persons in their separate capacities as attorneys and/or accountants, and such recommendations could be made on the basis of compensation to be received by our supervised person(s).
- * We may recommend rollovers out of employer-sponsored retirement plans and into Individual Retirement Accounts that we manage for an asset-based fee, which could have the effect of increasing our compensation.

How do your financial professionals make money?

We and our financial professionals benefit from the advisory services we provide to you because of the advisory fees we receive from you. This compensation may vary based on different factors, such as those listed above in this Item. Please also see Item 10 of our [Brochure](#) for additional details.

Item 4: Disciplinary History

Do you or your financial professionals have legal or disciplinary history?

No. Visit <https://www.investor.gov/> for a free, simple search tool to research us and our financial professionals.

Questions to ask us: As a financial professional, do you have any disciplinary history? For what type of conduct?

Item 5: Additional Information

For additional information on our advisory services, see our [Brochure](#) available at <https://adviserinfo.sec.gov/firm/summary/134429> and any individual brochure supplement your representative provides. If you have any questions, need additional up-to-date, or want another copy of this Client Relationship Summary, then please contact us at (301) 664-2313.

Questions to ask us: Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?

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Exhibit A – Material Changes to Client Relationship Summary

Since our most recent Client Relationship Summary filing, dated April 30, 2020, the following material changes have been made:

- At Item 2 to describe the scope of our portfolio management services and to note the potential for minimum annual fees to apply
- At Item 3 to incorporate our asset-based fee range for portfolio management services, to describe fees charged by third-party advisers, and to incorporate descriptions of material conflicts of interest that could arise during our engagement
- At Item 5 to update our contact phone number