



FORM ADV PART 3: CRS – CLIENT RELATIONSHIP SUMMARY
NUVERSE ADVISORS LLC
March 27, 2024

Item 1. Introduction

NuVerse Advisors LLC (“NuVerse”) is registered with the Securities and Exchange Commission (“SEC”) as an investment adviser. NuVerse provides retail investors with investment advisory services but not brokerage services. Brokerage and investment advisory services and fees differ and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/crs), which also provides educational materials about broker-dealers, investment advisers, and investing.

Item 2. What investment services and advice can you provide me?

NuVerse manages pooled investment vehicles along with a limited number of separately managed accounts. As an investment manager, NuVerse utilizes a variety of investment strategies, including, but not limited to global macro, long-short equity, and fixed income.

The Managing Partners of NuVerse as well as the client’s relationship manager continuously monitor and review each client’s investment portfolio monthly to confirm adherence to the client’s guidelines, individual needs and risk profiles. This review is offered as part of NuVerse standard services.

NuVerse accepts discretionary authority over its managed accounts. Unless otherwise specified by clients, NuVerse has authority to determine the types and amounts of securities to be bought or sold, the broker or dealer to be used and the commission rates paid. Limitations on NuVerse’s authority are guided by, among other things, its responsibility to act as a fiduciary when handling clients’ accounts and the investment strategies and objectives of its clients. Clients may specifically limit the discretionary authority for specific types of securities (e.g. U.S. Government risk only) at the onset of a new account. NuVerse has a limited number of non-discretionary accounts where the client makes the ultimate decision regarding the purchase or sale of investments. Except in certain limited circumstances, each new managed account is required to commit a minimum of US\$1,000,000 to NuVerse’s management.

NuVerse Advisors LLC offers investments in securities, credit opportunities and real estate related products, which capitalize on the team’s banking experience. For a more detailed description about our services and products, please refer to our Form ADV Part 2A Brochure. You can find this on the SEC’s website at www.adviserinfo.sec.gov by searching for NuVerse Advisors LLC or CRD # 119840.

Key Questions to Ask Your Financial Professional:

- Given my financial situation, should I choose a brokerage service or investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

Item 3. What fees will I pay?

NuVerse’s basic fee schedule provides for discretionary account management fees at annual rates ranging from 1% to 2% and incentive fees, based on annual rate of return on the assets in the managed accounts, as applicable of up to 20%. NuVerse has discretion to modify fees for its managed accounts on a case-by-case basis. The management fee charged to managed account clients is accrued monthly and is paid at the end of each quarterly period in arrears (and such fee is deducted from the client’s assets). The incentive fee if earned, is paid annually (and deducted from the assets of the managed account).



Managed account clients may be subject to commission and or processing fees and custodian fees by the brokerage firm maintaining their account. Neither NuVerse nor any of its supervised persons accepts compensation for the sale of securities or other investment products, including asset-based sales charges or service fees from the sale of mutual funds.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice, we provide you. Here are some examples to help you understand what this means: Performance-based fee arrangements can create conflicts of interest because NuVerse can potentially receive higher fees from accounts with a performance-based fee or a performance-based fee set higher than others. To mitigate any conflict of interests, NuVerse has adopted specific policies and procedures to provide for fair and equitable allocation of securities and costs among portfolios without additional cost to the retail investor. Additional information about our fees and conflicts of interest can be found in Item 5 of our Form ADV Part 2A Brochure.

How do your financial professionals make money?

Our financial professionals are NuVerse employees and are paid a salary and discretionary bonus. Neither the salary or bonus is based or tied to the number of clients that have been referred to NuVerse or the types of investment products we recommend to clients.

Key Questions to Ask Your Financial Professional:

- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?
- What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?
- How might your conflicts of interest affect me, and how will you address them?

Item 4. Do you or your financial professionals have legal or disciplinary history?

No, our firm and financial professionals do not have any legal or disciplinary history. Visit Investor.gov/CRS for a free and simple search tool to research you and your financial professionals.

Key Questions to Ask Your Financial Professional

- As a financial professional, do you have any disciplinary history? For what type of conduct?

Item 5. Additional Information

You can find additional information about our investment advisory services on the SEC's website at www.adviserinfo.sec.gov by searching for NuVerse Advisors LLC or CRD # 119840. You may also contact us at (212) 507-9125 if you would like additional up-to-date information or a copy of this Form CRS.

Key Questions to Ask Your Financial Professional

- Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?