

Sacajawea & Company, LLC
March 18, 2024

Sacajawea & Company, LLC (S&C) is registered with the Securities and Exchange Commission (SEC) as an investment adviser. Brokerage and investment advisory services and fees differ and it is important for you to understand these differences. **Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers and investing.**

1. What investment services and advice can you provide me?

S&C is a fundamental long-term investment advisory firm. We use only publicly traded securities and collect the financial data for every company we are interested in. We do not rely on newsletters or outside opinions. We do not invest in derivatives or leverage our client's accounts.

We manage portfolios for individuals, trusts, foundations and retirement accounts on a discretionary basis. A minimum of \$2,000,000.00 under management is required in most instances. In certain instances, S&C may, at its discretion, agree to manage less than this, such as in the case of a Client's special need or of a personal relationship. We typically monitor clients' accounts on a daily basis but not less than weekly.

We like to consider our clients full economic well-being when giving advice. This allows us to tailor each portfolio to the client's individual needs. However, as our clients tend to have similar needs and goals, the individual portfolios are often similar over extended periods of time. As part of helping our clients with their full economic well-being, we also, when and as requested, may review and counsel clients regarding their budgets, estate plans and other issues.

For additional information, please see our Form ADV, Part 2A brochure [here](#).

Conversation Starters. Ask your financial professional—

- **Given my financial situation, should I choose an investment advisory service? Why or why not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?**

2. What fees will I pay?

We are proud to offer fees that we believe to be among the lowest in the industry. We do not participate in any wrap fee programs or charge any custodian or trustee fees. We do not accept any payments from brokers or other businesses that work for us or our clients. If we invest in mutual funds or ETFs, the client will pay the funds fees in addition to ours. Our quarterly management fee structure is set forth below. These are the only fees we receive.

Portfolio Market Value

Quarterly Fee Calculation

<u>From To</u>		<u>Quarterly Amount Over x Rate (Annualized) + Fixed Amount</u>			
\$0	- \$500,000	\$0.00	x	0.001375 (0.55%)	+ \$0.00
+\$500,000	- \$2,000,000	\$500,000	x	0.00100 (0.40%)	+ \$687.50
+\$2,000,000	- \$5,000,000	\$2,000,000	x	0.00075 (0.30%)	+ \$2,187.50
+\$5,000,000		\$5,000,000	x	0.00050 (0.20%)	+ \$4,437.50

Example Calculation: A portfolio with a single account with a \$2.2 million market value on the last day of March will pay a quarterly management fee in advance on April 1 calculated as follows:

$[(\$200,000 * .00075) + \$2,187.50] = \$2,337.50$ Quarterly Fee (0.425% annually)

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For additional information, please see our Form ADV, Part 2A brochure (Items 4 and 7).

Conversation Starter. Ask your financial professional—

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

3. What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. For instance, the larger your portfolio the more money we make so it creates an incentive for us to ask you to add to your portfolio. Additionally, we may invest in the same securities we buy for you, but our policy is to execute client transactions first. You should understand and ask us about these conflicts because they may affect the recommendations we provide you.

Conversation Starter. Ask your financial professional—

- **How might your conflicts of interest affect me, and how will you address them?**

For additional information, please see our Form ADV, Part 2A brochure.

4. How do your financial professionals make money?

We currently employ no other financial professionals, William H. Wrean Jr. is the sole employee of the firm.

5. Do you or your financial professionals have legal or disciplinary history?

Neither S&C nor William H. Wrean Jr. has ever had any legal or disciplinary actions against them.

Conversation Starter. Ask your financial professional—

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

ADDITIONAL INFORMATION

More information on S&C is available in our brochure or on our website, sacajaweaco.com

Conversation Starter. Ask your financial professional—

- **Who is my primary contact person?**
- **Is he or she a representative of an investment adviser or a broker-dealer?**
- **Who can I talk to if I have concerns about how this person is treating me?"**