

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

NEIL R. COLE and SETH HOROWITZ.,

Defendants.

No. 1:19-cv-11148

NOTICE OF VOLUNTARY DISMISSAL

Plaintiff Securities and Exchange Commission (“SEC”) provides notice that it voluntarily dismisses the claims alleged against Neil R. Cole in this action. Defendant Cole has not served “an answer or a motion for summary judgment,” so the SEC may dismiss this action against him “without a court order by filing a notice of dismissal.” Fed. R. Civ. P. Rule 41(a)(1)(A)(i). The Commission’s decision to seek dismissal of this enforcement action is an exercise of its discretion and does not necessarily reflect the Commission’s position on any other case.

Dated: May 4, 2026

Respectfully submitted,

SO ORDERED.
Date: May 5, 2026
New York, New York


JOHN P. CRONAN
United States District Judge

/s/ Zachary A. Avallone
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The Clerk of Court is respectfully directed to close this case.