

**FINAL ORDER-THIS PRELIMINARY SUMMARY DISPOSITION BECAME THE FINAL ORDER OF
THE COMMISSION ON SEPTEMBER 3, 2026 PURSUANT TO RULE 21F-18(b)(4)
OF THE SECURITIES EXCHANGE ACT OF 1934**

Notice of Covered Action: [REDACTED]
[REDACTED]

OWB Reference No. 09032026

**PRELIMINARY SUMMARY DISPOSITION
OF THE OFFICE OF THE WHISTLEBLOWER**

In response to the above-referenced Notice of Covered Action, the U.S. Securities and Exchange Commission (“Commission”) received a whistleblower award claim from [REDACTED] (“Claimant”) for the above referenced matter. Pursuant to Section 21F of the Securities Exchange Act of 1934 (the “Exchange Act”) and Rule 21F-18 promulgated thereunder, the Office of the Whistleblower has evaluated the above claim in accordance with the criteria set forth in Rules 21F-1 through 21F-18 and has designated this award application for resolution through the summary disposition process.

The Office of the Whistleblower has preliminarily determined to recommend that the Commission deny the above award claim. The basis for this determination is as follows.

Claimant’s award application is frivolous or lacking a colorable connection between the tip and the Commission action for which Claimant has sought an award within the meaning of Rule 21F-8(e) of the Exchange Act.¹

Claimant did not provide original information to the Commission that led to the successful enforcement of the Covered Action, as required by Exchange Act Section 21F(b)(1) and Exchange Act Rules 21F-3(a) and 21F-4(c) thereunder, because the information Claimant asserts to have provided to the Commission did not: (1) cause the Commission to (i) commence an examination, (ii) open or reopen an investigation, or (iii) inquire into different conduct as part of a current Commission examination or investigation, and the Commission brought a successful judicial or administrative action based in whole or in part on conduct that was the subject of the original information under Rule 21F-4(c)(1) of the Exchange Act; or (2) significantly contribute to the success of a Commission judicial or administrative enforcement action under Rule 21F-4(c)(2) of the Exchange Act.

Claimant did not provide information that caused the Covered Action investigation to open. Nor did Claimant cause Enforcement staff to inquire into different conduct or provide information that significantly contributed to the success of the Covered Action. Enforcement staff responsible for the Covered Action did not receive or review information from Claimant and had no communications with Claimant before or during the Covered Action investigation. None of the information provided by Claimant was used in, or had any impact on, the Covered Action investigation or resulting Covered Action.

¹ The subject of Claimant’s purported tip was unrelated to the Covered Action.

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By: Office of the Whistleblower

Date: August 4, 2026