

Notice of Covered Action

In response to the above-referenced Notice of Covered Action, the Securities and Exchange Commission (“Commission”) received whistleblower award claims from [REDACTED] [REDACTED] [REDACTED] [REDACTED] and [REDACTED] (“Claimant 3”). Pursuant to Section 21F of the Securities Exchange Act of 1934 (“Exchange Act”) and Rules 21F-10 and 21F-11 promulgated thereunder, the Claims Review Staff has evaluated the claims in accordance with the criteria set forth in Rules 21F-1 through 21F-18. The Claims Review Staff sets forth its preliminary determinations as follows:

1

Notice of Covered Action [REDACTED]

Reference No: 08282026

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

Notice of Covered Action [REDACTED]
[REDACTED]

Reference No: 08282026

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

Notice of Covered Action [REDACTED]

Reference No: 08282026

[REDACTED]

Claimant 3

The Claims Review Staff has preliminarily determined to recommend that the Commission deny Claimant 3's claim because the information provided by Claimant 3 was never received or reviewed by staff handling the Covered Action or underlying investigation, and those staff members otherwise had no contact with Claimant 3. Therefore, Claimant 3 did not provide information that led to the successful enforcement of the Covered Action within the meaning of Section 21F(b)(1) of the Exchange Act and Rules 21F-3(a)(3) and 21F-4(c) thereunder because the information provided did not: (1) cause the Commission to (i) commence an examination, (ii)

[REDACTED]

[REDACTED]

[REDACTED]

**FINAL ORDER-THIS PRELIMINARY DETERMINATION BECAME
THE FINAL ORDER OF THE COMMISSION ON AUGUST 28, 2026 AS TO CLAIMANT 3
PURSUANT TO RULE 21F-10(f) OF THE SECURITIES EXCHANGE ACT OF 1934**

Notice of Covered Action [REDACTED]

Reference No: 08282026

open or reopen an investigation, or (iii) inquire into different conduct as part of a current Commission examination or investigation under Rule 21F-4(c)(1) of the Exchange Act; or (2) significantly contribute to the success of a Commission judicial or administrative enforcement action under Rule 21F-4(c)(2) of the Exchange Act.¹⁰

By: Claims Review Staff

Date: June 29, 2026

¹⁰ Enforcement staff responsible for the Covered Action do not recall receiving or reviewing Claimant 3's information nor communicating with him/her before or during the investigation that led to the Covered Action. Claimant 3 provided no information that was used in or that contributed to the success of the Covered Action.