

Exhibit C

16. Attach as Exhibit C a list of the officers, directors, governors, and persons performing similar functions, and the members of all standing committees grouped by committee of the applicant or of the entity identified in item 19 that performs the security-based swap data repository and securities information processor activities of the applicant, indicating for each:

- a. Name**
- b. Title**
- c. Date of commencement and, if appropriate, termination of present term of office or position**
- d. Length of time each present officer, director, governor, persons performing similar functions, or member of a standing committee has held the same office or position**
- e. Brief account of the business experience of each , director, governor, persons performing similar functions, or member of a standing committee over the last five years**
- f. Any other business affiliations in the securities industry or derivatives industry**
- g. Details of:**
 - (1) any order of the Commission with respect to such person pursuant to Sections 15(b)(4), 15(b)(6), 19(h)(2), or 19(h)(3) of the Exchange Act;**
 - (2) any conviction or injunction of a type described in Sections 15(b)(4)(B) or (C) of the Exchange Act within the past ten years;**
 - (3) any action of a self-regulatory organization with respect to such person imposing a final disciplinary sanction pursuant to Sections 6(b)(6), 15A(b)(7), or 17A(b)(3)(G) of the Exchange Act;;**
 - (4) any final action by a self-regulatory organization with respect to such person constituting a denial, bar, prohibition, or limitation of membership, participation, or association with a member, or of access to services offered by such organization or a member thereof; and**
 - (5) any final action by another federal regulatory agency, including the Commodity Futures Trading Commission, any state regulatory agency, or any foreign financial regulatory authority resulting in:**
 - i. a finding that such person has made a false statement or omission, or has been dishonest, unfair, or unethical;**
 - ii. a finding that such person has been involved in a violation of any securities-related regulations or statutes;**
 - iii. a finding that such person has been a cause of a business having its authorization to do business denied, suspended, revoked, or restricted;**
 - iv. an order entered, in the past ten years, against such person in connection with a securities-related activity; or**
 - v. any disciplinary sanction, including a denial, suspension, or**

revocation of such person's registration or license or otherwise, by order, a prevention from associating with a securities-related business or a restriction of such person's activities.

SENIOR
DTCC DATA REPOSITORY (U.S.) LLC
OFFICERS
2021

a. MARK S. BRAMANTE

b. Chief Compliance Officer, DDR

c. Since November 8, 2017

d. Since November 8, 2017

e. Mr. Bramante is Chief Compliance Officer of DDR and an Executive Director in the Compliance group. Before joining Compliance Mr. Bramante was assigned to Deriv/SERV and led DTCC's efforts to respond to proposed regulatory changes impacting derivative reporting in all regions and countries to ensure maximum benefit to DTCC at minimal cost. As part of that work, Mr. Bramante led the effort to obtain trade repository licenses for DTCC in the APAC region and the original 3 provinces in Canada and assisted with licensing in EU. Mr. Bramante led the Regulatory Reporting Team in the creation and delivery of reports to regulators around the world as DTCC entered the Trade Repository business. He was also the interim head of Business Risk Management team responsible for incident management.

Prior to joining DTCC in April 2012, Mr. Bramante worked from 1992 in a number of positions with Mizuho Capital Markets Corporation (and its predecessor, Fuji Capital Markets Corporation) in New York, as Associate Director of Strategic Planning, Global Contingency Coordinator, Head of OTC Derivative documentation and Industry Relations. He also served as Associate Director/Co-Head of Operations Department in the New York office of Mizuho Capital Markets, Vice President and Head of OTC Derivatives Documentation, Y2K Coordinator, and Legal Counsel and head of OTC Derivative Documentation. Among other projects, he led an effort by Mizuho to become a SwapClear clearing member and acted as a senior advisor and troubleshooter to senior management on industry issues, such as the impact of the Dodd-Frank Act. Prior to Mizuho, Mr. Bramante worked as an Associate in the Corporate Finance/Banking Department of the law firm of Seward & Kissel in New York doing bank regulatory and transactional work.

Mr. Bramante also served as an adjunct assistant professor between 2002 and 2008 at the Bernard M. Baruch College in New York in the Department of Law. Mr. Bramante graduated summa cum laude from Bernard M. Baruch College with a bachelor's degree in

Business Administration in Finance, and received his law degree from New York University's School of Law in 1988. He was admitted to both the New York and New Jersey bars in 1989 and 1988 respectively.

- f. None
- g. None

a. BHARADWAJ KRISHNAMURTHY

b. Systems Director, DDR

c. Since June 21, 2021

d. Since June 21, 2021

e. Mr. Krishnamurthy is a Director of DTCC and has worked at DTCC since 2014 holding various positions with DTCC's IT Department, including Manager of Unix Linux Server Infrastructure, Manager of Middleware Infrastructure, Director of Enterprise Application Support – GTR, Director of Enterprise Application Support - SIFMU. As the EAS lead, he was responsible for managing teams supporting SIFMU (FICC/DTC/NSCC) applications and Global Trade Repository (GTR) applications and ensuring that obligations to Feds, Regulators and Clients are met within the defined SLAs. Prior to DTCC, Mr. Krishnamurthy worked at JPMorgan Chase in the Corporate Investment Bank IT department. Mr. Krishnamurthy holds a B.S. in Computer Engineering from the University of Mumbai, India, an M.S. in Computer Science from Missouri University of Science and Technology and an M.S. in Engineering Management from Missouri University of Science and Technology.

- f.** None
- g.** None

KATHERINE DELP

a. General Manager

b. Since March 24, 2014

c. Since March 24, 2014

d. Katherine Delp is an Executive Director of DTCC and an over-the-counter (OTC) derivatives professional with 10 years of experience across operations and project management.

Ms. Delp joined DTCC in 2007 in the Client Advisory Group, an integral part of the industry's overall credit derivative confirmation improvement initiative. At the end of 2009, she took on a new role located in Japan at MarkitSERV, a joint venture between DTCC and Markit, as Vice President in Sales/Account Management supporting both local and global firms in Asia.

In July 2012, Katherine returned to DTCC as Regional Manager to facilitate the opening of the DTCC Data Repository Japan ("DDRJ") office in Tokyo. DDRJ became the only registered trade repository in Japan in March 2013 and launched its reporting services on April 1, 2013.

Katherine attended Pennsylvania State University for 2 years prior to earning a BA in Business Administration from University of the Pacific in 1996.

- e.** None
- f.** None

DTCC DATA REPOSITORY (U.S.) LLC
BOARD OF DIRECTORSⁱ

a. ANTHONY DELUCA

b. Director, DDR

c. Since inception

d. Since inception

e. Mr. DeLuca is a member of the Board of Directors and the Chief Financial Officer of Moore Capital Management, with responsibilities for Operations, Technology and Finance. Prior to joining Moore in October 2006, Mr. DeLuca was a Managing Director at Morgan Stanley & Co., Inc. from 1999 to 2006. While at Morgan Stanley, Mr. DeLuca held the positions of Global Audit Director from 2003 to 2006 and Chief Financial Officer of the Asset Management Division from 1999 to 2003. Prior to joining Morgan Stanley, Mr. DeLuca was a partner in the financial services practice of Ernst and Young, LLP, where he serviced multinational financial institutions and investment managers for both advisory and assurance engagements from 1984 to 1999.

f. Mr. DeLuca is a Board member of Deriv/SERV, DDRL and DDRIE.

g. None

a. TODD PRIMAVERA

b. Director, DDR

c. Since April 10, 2013

d. Since April 10, 2013

e. Mr. Primavera is a Managing Director and Head of Operations for Wells Fargo Securities. Based in Charlotte, N.C., He is responsible for working with partners in technology, finance, compliance, legal and market risk, as well as other internal support teams, to develop an efficient and effective operations infrastructure that supports the strategic goals of the business and complies with all regulatory requirements.

He leads a team of 500 team members who are primarily focused on managing operational risks associated with the derivative product suite. In addition, this overall team manages critical operations supporting Wells Fargo Securities broker-dealers, derivatives and cash operations, central clearing and future clearing merchant initiatives, prime services operations, collateral management, data services, exchange traded derivatives support, customer confirmations, real estate services and exception resolutions. He was selected to the first formal Wells Fargo Securities Development & Engagement Council, where he served on the executive committee.

Prior to assuming his current role in 2005, Mr. Primavera worked for BNP Paribas in New York as a Director for their Fixed Income and Credit Derivatives Middle Office. Mr. Primavera has also worked in senior collateral management and product control roles for organizations including UBS Warburg, Merrill Lynch and Bear Stearns.

Mr. Primavera earned a B.S. in business from Salisbury State University. He holds series 7, 24, 27 and 53 licenses.

- f. Mr. Primavera is also on the Board of Deriv/SERV, DDRL and DDRIE.
- g. None

a. CHRIS CHILDS

b. Managing Director and Director, DDR

c. Since August 5, 2014; Managing Director since January 19, 2017

d. Since August 5, 2014; Managing Director since January 19, 2017

e. Mr. Childs is President and Chief Executive Officer of DTCC Deriv/SERV LLC (“Deriv/SERV”) as of August 5, 2014. He previously served as Chief Operating Officer of Deriv/SERV, responsible for product development and daily operations of Deriv/SERV products including DTCC’s Global Trade Repository (GTR) to meet global swap reporting industry requirements. Childs previously served as global head of product for DTCC’s Loan/SERV business and was responsible for the development and introduction of Loan/SERV in the Syndicated Loan Market. Prior to joining DTCC in August 2007, Childs worked at Citi for 21 years. During his tenure, he held a number of positions in Retail Banking Operations, Internal Audit and Corporate and Investment Banking Operations both in Europe and North America. From 2004 to 2007, Childs served as Global Processing Head of Capital Markets Operations. Prior to this position, he served as Head of Capital Markets Operations (North America). Earlier in his career, Childs worked at Citigroup Audit and Risk Review (ARR) in various capacities including European Chief Auditor and Managing Director of ARR Standards, Reporting and Systems

f. Mr. Childs is also on the Boards of Deriv/SERV, DDRL and DDRIE.

g. None

a. JONATHAN HART

b. Director, DDR

c. Since January 14, 2015

d. Since January 14, 2015

e. Mr. Hart is a Managing Director and the Global Chief Operating Officer for Rates, Global Funding & Futures and Counterparty Portfolio Management at Bank of America. Mr. Hart originally joined Bank of America Finance in London from Goldman Sachs in 2002, he returned to Bank of America in New York to be Global Structured Credit Trading COO in 2011 after 3 years at Morgan Stanley and 2 at Citadel. He was promoted to Managing Director in 2013 and moved into his current role later that year. Mr. Hart has a BA (hons) in Economics from the University of

Liverpool. He is a qualified CFA and management accountant (CGMA ACMA), and a member of New York Society of Security Analysts.

- f. Mr. Hart is also on the Board of Deriv/SERV.
- g. None

a. **TIMOTHY KEADY**

b. Director, DDR

c. Since January 19, 2017

d. Since January 19, 2017

e. Mr. Keady is the Chief Client Officer for DTCC. In this role, he is responsible for the delivery of world-class services and support to the company's global client base and for driving increased enterprise-wide client value across all services. He plays an integral role in ensuring that evolving client needs are aligned with the future development of DTCC solutions.

Prior to his current role, Mr. Keady was Managing Director of Sales & Solution Delivery for Omgeo, overseeing the Sales, Relationship Management, Global Partners, Global Marketing, Sales Planning & Execution and Integration teams. During his 20 year career in financial services, he has created multiple programs, products, and initiatives that have successfully secured strategic partnerships, streamlined processes for maximum efficiency, and penetrated new, international markets for Omgeo's products and services. He has consistently played a key role in recognizing customers' needs and aligning them with revenue-enhancing solutions worldwide.

Before joining Omgeo, Keady was an account executive at Thomson Financial ESG. He has also held sales positions at Fidelity Investments Institutional Services Co. and Keystone Mutual Funds.

- f. Mr. Keady is also on the Board of Deriv/SERV, DDRL and DDRIE.
- g. None

a. **NICOLAS VERON**

b. Director, DDR

c. Since January 19, 2017

d. Since January 19, 2017

e. Mr. Véron is a senior fellow at Bruegel, and a visiting fellow at the Peterson Institute for International Economics in Washington, DC. His research is mostly about financial systems and financial reform around the world, including global financial regulatory initiatives and current developments in the European Union. He was a cofounder of Bruegel starting in 2002, initially focusing on Bruegel's design, operational start-up and development, then on policy research since 2006-07. He joined the Peterson Institute in 2009 and divides his time between the US and Europe.

Mr. Véron has authored or co-authored numerous policy papers that include banking supervision and crisis management, financial reporting, the Eurozone policy

framework, and economic nationalism. His prior experience includes working for Saint-Gobain in Berlin and Rothschilds in Paris in the early 1990s; economic aide to the Prefect in Lille (1995-97); corporate adviser to France's Labour Minister (1997-2000); and chief financial officer of MultiMania / Lycos France, a publicly-listed online media company (2000-2002). From 2002 to 2009 he also operated an independent Paris-based financial consultancy.

- f. Mr. Véron is also on the Board of Deriv/SERV, DDRL and DDRIE.
- g. None

a. SALLY SHACKLETON¹

b. Director, DDR

c. Since January 17, 2018

d. Since January 17, 2018

e. Ms. Shackleton is the Global Head of Derivatives and Collateral Operations at UBS where she leads a global team of 590 staff across Collateral Operations, OTC Confirmations & Settlements and ETD Operations. Ms. Shackleton joined UBS in 2006 and since then has held various roles, including European Head of Client Services, European Head of Collateral Management and Global Head of Collateral Operations. Prior to joining UBS, Ms. Shackleton held roles with Royal Bank of Scotland, and JP Morgan.

Ms. Shackleton obtained her degree in Production and Operations Management from Nottingham University

f. Ms. Shackleton is also on the Board of Deriv/SERV, DDRL and DDRIE.

g. None

a. THOMAS J. BAILEY

b. Director, DDR

c. Since March 14, 2018

d. Since March 14, 2018

e. Mr. Bailey is the Managing Director and NY Head of Global Markets Middle office at Nomura Securities International, Inc. ("Nomura"). Mr. Bailey joined Nomura in 2009 and prior to his current role, also held a role as the NY Head of Capital Markets Shared Services until 2012. Prior to joining Nomura, Mr. Bailey spent 12 years at ING Financial Markets LLC, holding several roles, including Director of Operations, prior to his departure. Mr. Bailey, also held several positions at Lehman Brothers Inc., in the early to mid-1990's.

Mr. Bailey holds a Masters of Business Administration from Columbia University and a B.A. in Business Administration from James Madison University.

¹ Information is current as of 2020, however the Director is currently on leave. Information will be updated to current upon her return.

- f. Mr. Bailey is also on the Board of Deriv/SERV, DDRL and DDRIE.
- g. None

a. JOHANNAH BILLIARD

- b. Director, DDR
- c. September 20, 2018
- d. September 20, 2018
- e. Ms. Billiard is a Senior Vice President and Head the Derivative and Regulatory Operations team at Pacific Investment Management Company (“PIMCO”). The team is responsible for the global derivative confirmation process, as well as the operational implementation and oversight of regulatory reporting for derivative transactions, globally. Prior to joining PIMCO in 2011, Ms. Billiard spent over 15 years at Deutsche Bank (and previously Bankers Trust) in New York and London, where she had responsibilities in derivative operations, middle office, front office business management, and on the institutional derivative sales desk. From 2007 to 2011, Ms. Billiard worked on several assignments with the Irvine Company, where she was responsible for managing a number of their Class A real estate assets in Newport Beach. She has 22 years of finance and capital markets experience.
- f. Ms. Billiard is a Board member of Deriv/SERV and DDRL.
- g. None

a. MICHELE CESCUT

- b. Director, DDR
- c. March 5, 2019
- d. March 5, 2019
- e. Ms. Cescut is the Head of Regulatory Transformation and Operations at Societe Generale. She oversees regulatory transformation programs and regulatory reporting operations for all entities and subsidiaries of Societe Generale CIB and manages a global staff across five jurisdictions. Ms. Cescut joined Societe Generale in 1990 and held several lead roles ranging from Information Systems, IT Deliverables, Product Referential to Regulatory Transformation. Prior to joining Societe Generale, Ms. Cescut held Information Technology roles at Aero and Laguilharre. Ms. Cescut received an Engineering Degree in Information Technology.
- f. Ms. Cescut is a Board member of Deriv/SERV and DDRL.
- g. None

a. ANDREW LONGMUIR

b. Director, DDR

c. May 8, 2019

d. May 8, 2019

- e.** Mr. Longmuir Managing Director of Market Operations and Head of Markets Middle Office at Barclays Capital. Prior to joining Barclays in November 2017, Mr. Longmuir spent nine years in several impactful roles at UBS, most recently, as Global Head of the Credit Middle Office accountable for all aspect of operational support of the various Credit businesses at UBS. In this role he also served on the DDR Board as a participant member from April 2013 to October 2017, Mr. Longmuir has a diverse background having worked variously in IT and operations throughout his career in support of Credit, Rates, EM and Equities business. He has deep derivative processing expertise as well as core competencies in operation management, program management IT change management. Prior to UBS he was at JPMorgan, where he performed a variety of IT and Middle Office roles, including oversight of large scale reengineering projects in Credit Derivatives and Equity Derivatives as well as assuming accountability for the post trade processing strategy across operations and IT. Mr. Longmuir received a BA Hons Economics from Sussex University.
- f.** Mr. Longmuir is a Board member of Deriv/SERV.
- g.** None

a. MICHAEL CARRIER

b. Director, DDR

c. October 1, 2019

d. October 1, 2019

- e.** Mr. Carrier is a Director and Head of Regulatory Operations and COO Centre at the Royal Bank of Scotland/NatWest Markets. In this role, Mr. Carrier runs the COO executive office, including executive governance, and is also responsible for oversight of a number of regulatory obligations, including regulatory reporting and regulatory change. Mr. Carrier joined the Royal Bank of Scotland in 2005 and since then held several significant roles, including Head of Transaction Reporting, Execution and Remediation, prior to taking on his current role. Mr. Carrier received his M.A. with Honors in Economics from Cambridge University and completed the ESRC sponsored Master of Research in International Development at Bath University.
- f.** Mr. Carrier is a Board member of Deriv/SERV DDRL and DDRIE.
- g.** None

a. DREW SHOEMAKER

b. Director, DDR

c. October 1, 2019

d. October 1, 2019

- e.** Mr. Shoemaker is a Managing Director and Global Head of Regulatory Services and Solutions at Credit Suisse. In his role, Mr. Shoemaker leads a global team

responsible for providing regulatory intelligence, solutions and services across Credit Suisse. He is also currently a board member of Credit Suisse Capital LLC. Since joining Credit Suisse in 2004, Mr. Shoemaker has held several roles including that of Global Chief Operating Officer of Commodities. Prior to joining Credit Suisse, Mr. Shoemaker spent six years at Accenture as a strategy and management consultant within the Financial Services sector. Mr. Shoemaker received a Bachelor of Science in Business Administration degree in Business Management and Finance from Bucknell University.

- f. Mr. Shoemaker is a Board member of Deriv/SERVDDRL and DDRIE.
- g. None

a. DIANA PAREDES

b. Director, DDR

c. November 20, 2019

d. November 20, 2019

e. Ms. Paredes is CEO and Co-Founder of Suade Labs, a software company developed to automate regulatory data requirements for financial institutions. Prior to co-founding Suade Labs in 2014, Ms. Paredes held several structured product roles at Barclays Capital, most notably as Vice President of UK Structured Product Training. Prior to joining Barclays Capital, Ms. Paredes spent two years at Merrill Lynch as a Hedge Fund Sales-Trading Analyst. Ms. Paredes received a Masters in Civil and Environmental Engineering from Imperial College in London. She is fluent in English, French, Spanish and Italian.

- f. Ms. Paredes is a Board member of Deriv/SERV, DDRL and DDRIE.
- g. None

a. DAVID LAWTON

b. Director, DDR

c. November 20, 2019

d. November 20, 2019

e. Mr. Lawton is Managing Director, and Co-Head of UK Regulatory Advisory Services Practice at Alvarez and Marsal. Prior to joining Alvarez & Marsal in 2016, he spent 11 years at Financial Conduct Authority ("FCA") (p.k.a. Financial Services Authority), including as Director of Markets and most latterly as Director of Markets Policy and International where he was responsible for the FCA's policy towards primary and secondary markets, and post-trade and wholesale conduct policy, as well as coordination of the FCA's European and international strategy. Prior to joining the FCA, Mr. Lawton spent 19 years at Her Majesty's Treasury, including as a member of the Senior Civil Service from 1998-2004. Mr. Lawton received a Masters of Arts in Economics from Pembroke College, Cambridge, and a Masters of Science (MSc) in Economics from the London School of Economics.

- f. Mr. Lawton is a Board member of Deriv/SERV, DDRL and DDRIE.
- g. None

a. SUSAN TYSK-COSGROVE

b. Chairman of the Board, Director, DDR

c. Director since December 16, 2019; Chairman since March 18, 2020

d. Chairman since March 18, 2020; Director since December 16, 2019; Chief Financial Officer since September 1, 2013

e. Susan Tysk-Cosgrove is Managing Director and Chief Financial Officer and leads The Depository Trust and Clearing Corporation's global finance, treasury, strategic sourcing, real estate, corporate services and new initiatives oversight teams. Ms. Cosgrove was previously Managing Director and General Manager of Settlement and Asset Services, overseeing all depository businesses. Prior to this role, she was the General Manager for DTCC's equity and Fixed Income Clearing Services.

Ms. Cosgrove is a member of DTCC's Management Committee, Management Risk Committee, and co-chairs the Investment and Operating Committee. She also serves on the DTCC Deriv/SERV, DTCC Data Repository (Ireland) PLC, and DTCC Derivatives Repository PLC, board of directors and the DTCC ITP board of managers. In addition, she is a member of the Wisdom Tree Financial board of directors, and vice chair of the board of directors of PENCIL, a not-for-profit organization leading collaboration between the business and public education communities.

Prior to joining DTCC in 1999, Ms. Cosgrove served as Senior Vice President at Lehman Brothers in charge of Audit and Compliance for Lehman's Americas Division. Preceding her time at Lehman, Ms. Cosgrove worked at Maxcor Financial Group for 10 years as the Chief Financial Officer and Head of Compliance.

Prior to her role at Maxcor Financial Group, Ms. Cosgrove served as a Senior Auditor for PricewaterhouseCoopers. She was in the Financial Services Group specializing in bank and broker/dealer accounting.

Ms. Cosgrove holds a B.B.A. in Accounting from Baruch College and is a Certified Public Accountant.

f. Ms. Cosgrove is also on the Board of Deriv/SERV, DDRL and DDRIE.

g. None

a. GERALD FOREY

b. Director, DDR

c. April 1, 2020

d. April 1, 2020

e. Mr. Forey is a Managing Director and Global Head of Fixed Income Trading Operations at Morgan Stanley. Prior to joining Morgan Stanley, Mr. Forey spent four years at UBS Investment Bank, most notably as Executive Director, Global Head of Structured Products Trade Support. Mr. Forey also held roles at JP Morgan in London, Merrill Lynch and Arthur Andersen. Mr. Forey received his master's degree in economics and finance from the Institut d'Etudes Politiques de Paris.

f. Mr. Forey is a Board member of Deriv/SERV, DDRL and DDRIE.

g. None

- a. **RICHARD ASBERY**
- b. Director, DDR
- c. June 1, 2020
- d. June 1, 2020
- e. Mr. Asbery has over 25 years of experience in Investment Banking Technology in a number of roles including key leadership positions as Global Head Principal Strategies Engineering, EMEA Head of Equities & Prime Services Technology and currently as Global Head of Regulatory, Tax and Client Assets Technology at Goldman Sachs International. He is the co-chair of the EMEA Regulatory Oversight Committee supervising Goldman Sachs International non-financial Regulatory commitments and a member of the EMEA New Business Activity Committee. Richard has extensive experience in execution of complex & large-scale Engineering programs across Derivatives and Cash Equities covering implementation of new Regulations, workflow and operational enhancements and legacy platform elimination. In his current role, Mr. Asbery heads an Engineering Team of ~200 Engineers responsible for the development and operation non-financial Regulatory reporting functions, Transaction and with-holding Tax calculation and reporting platforms and Client Asset segregation platforms. Prior to joining Goldman Sachs, Mr. Asbery spent two years at JP Morgan as an Associate in Equity Trading Technology. Mr. Asbery received his PhD in Engineering from University of Surrey where he also completed his undergraduate degree, 1st Class Honors in Engineering with Industrial Business Management.
- f. Mr. Asbery is a Board member of Deriv/SERV, DDRL and DDRIE.
- g. None

- a. **PUNEET SINGHVI**
- b. Director, DDR
- c. August 1, 2020
- d. August 1, 2020
- e. Mr. Singhvi is Managing Director, FMI Head and Business lead for Blockchain, DLT and Digital Assets at Citigroup. In this role he leads the Citi Markets and Securities Services Blockchain, DLT and Digital Assets initiatives across diverse asset classes and business segments. During his 24-year tenure at Citi, Mr. Singhvi held several impactful roles, including Head of CitiFX Prime Brokerage and OTC Clearing Product Management and Head of Product Management. He also held several roles where he supported Citi's product and transaction services globally, including India, Czech Republic, Israel and UK. Mr. Singhvi holds a BE in Electronics and Communication Engineering from University of Jodhpur, India and a received his MBA from the Indian Institute of Management, where he also received Director's merit award for being in top 5% of the class. Mr. Singhvi currently serves as an Executive Committee Member of The Chamber of Digital Commerce and as a Board member of LCH ForexClear.
- f. Mr. Singhvi is a Board member of Deriv/SERV, DDRL and DDRIE.
- g. None

a. THOMAS LOUIS

b. Director, DDR

c. Since June 1, 2021

d. Since June 1, 2021

e. Mr. Louis is the Chief Data Officer at BNP Paribas CIB-Global Markets. In this role, Mr. Louis is in charge of the data governance and quality framework roll-out and continuous improvement on the end-to-end chain. In his prior role at BNP Paribas, Mr. Louis served as Chief Data Designer, where he worked with teams accompanying transformation of major data architecture projects. Prior to joining BNP Paribas, Mr. Louis worked at Ernst and Young focusing on various architecture projects. Mr. Louis obtained an Engineering Degree from ESTP in Paris France.

f. Mr. Louis is also on the Board of Deriv/SERV, DDRL and DDRIE.

g. None.

h. None.

a. CARRIE STANDING

b. Director, DDR

c. Since June 1, 2021

d. Since June 1, 2021

e. Ms. Standing is a Managing Director and Head of Global Rates Middle Office & Fixed Income Currencies & Commodities Regulatory Reporting at JP Morgan. In this role, Ms. Standing, manages a global operations team of approximately 200 people and is responsible for trade reporting controls and project delivery for the Commodities, Currencies and Emerging Markets, Rates and Credit Derivatives businesses, responsible for 12 million trade submissions annually to multiple regulatory bodies globally and implementation of new and changing regulation. During her 18-year tenure with J.P. Morgan, Ms. Standing held several significant roles in the EMEA Middle Office. Prior to joining J.P. Morgan, Ms. Standing worked at Deloitte in London, in Audit and Accounting. Ms. Standing obtained her Bachelor of Arts with Honors from Wadham College at University of Oxford.

f. Ms. Standing is also on the Board of Deriv/SERV, DDRL and DDRIE.

g. None.

h. None.

a. Nick Bush

b. Director, DDR

c. Since November 1, 2021

d. Since November 1, 2021

e. Mr. Bush is the Head of Regulatory and Reconciliations Information Technology at Deutsche Bank. In this role, Mr. Bush, manages a global team accountable for trade and transaction reporting to regulators. Mr. Bush has 35 years' experience of strategic and operational achievement in programme/project management, software development and client relationship management roles. Mr. Bush spent 19 years with Deutsche Bank in several pivotal roles, including positions in Delivery Excellence,

Corporate Trust and SWIFT Messaging. Mr. Bush also worked at Barclays Corporate as a Director of Host-to-Host Programme, Channels Technology. Mr. Bush obtained his BTEC HNC in Business and Finance from the Essex Institute of Higher Education.

- f. Mr. Bush is also on the Board of Deriv/SERV.
- g. None

a. Mathew J. Cossor

b. Director, DDR

c. Since November 1, 2021

d. Since November 1, 2021

e. Mr. Cossor is a Managing Director and Global Head of Data and Regulatory Reporting, Markets and Security Services, Operations at HSBC. In this role, Mr. Cossor manages a large global team and oversees the Trade and Transaction Reporting, Collateral management, Trade Conduct Controls and the Instrument, Pricing, Accounts, SSI's, Legal Docs, Books & Calendar data domains. Prior to joining HSBC in 2017, Mr. Cossor served as a Chief Data Officer at TP ICAP. Mr. Cossor held several pivotal positions at Royal Bank of Scotland, Societe Generale, Barclays Capital, Deutsche Bank and Morgan Stanley. Mr. Cossor obtained his Bachelor of Arts from University of Leicester; he is a qualified Accountant (ACMA / CGMA) and has a Post Graduate Qualification in Risk and Valuation.

f. Mr. Cossor is also on the Board of Deriv/SERV.

g. None

a. James Napleton

b. Director, DDR

c. Since November 1, 2021

d. Since November 1, 2021

e. Mr. Napleton is a Head of Global Regulatory Operations at Blackrock. In 2019 Mr. Napleton led a review of BlackRock's organizational framework related to Regulatory Reporting, including the creation of a dedicated Global Regulatory Operations ("Reg Ops") function, which he leads. The scope of Reg Ops included oversight, change management and operations for all of BlackRock's MIFIR Trade and Transaction Reporting, G20 Derivative Trade Reporting (including EMIR, FMIA, MAS & ASIC) and SFTR Reporting, as well as a number of other US and EMEA regulations. Mr. Napleton worked in the Asset Management industry for more than 20 years, initially at Merrill Lynch, before joining BlackRock in 2007. He led several global cross-functional programs as part of the BlackRock integrations of the MLIM and BGI businesses, focused on Equity Portfolio Management, Trading and BlackRock's Aladdin platform. Following the successful completion of the Integrations in 2014, Mr.

Napleton led BlackRock's strategic change management team in Europe, responsible for key business and regulatory initiatives including the MIFID II program. Mr. Napleton obtained his Bachelor of Arts Honors degree in French and Politics from University of Bristol.

- f.** Mr. Napleton is also on the Board of Deriv/SERV.
- g.** None

ⁱ Following approval by the DDR Board of Directors ("DDR Board"), new DDR Board nominees are subject to approval by the DTCC Governance Committee. Due to the timing of regulatory filing and the DTCC Governance Committee's meeting schedule, DDR may file updates to this exhibit prior to the DDR Board nominees receiving DTCC Governance Committee approval. In the event that a new Director is not approved by the DTCC Governance Committee, DDR will resubmit the exhibit reflecting any required changes.